

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cummings, Samuel R.	2. Court or Organization U.S. District Court Northern District of Texas	3. Date of Report 04/20/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 1205 Texas Avenue Room C-210 Lubbock, Texas 79401	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☒ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. money market-savings - Wells Fargo Bank	B	Interest	M	T					
2. CD - Wells Fargo Bank	F	Interest	P1	T					
3. CD-IRA - Wells Fargo Bank	B	Interest	N	T	Buy	05/19/10	N		trad'l IRA
4. Oil & Gas Royalty & Working Interests:									
5. --Dynergy (Targo) Midstream Service	B	Royalty	J	W					
6. --Roy Furr Estate Operator	B	Royalty	J	W					
7. Wells Fargo Brokerage Account:									
8. --CD - First Merchants Bank Central Indiana, Anderson, IN	A	Interest			Redeemed	01/19/10	J		proceeds bought another CD
9. --CD - Live Oak Bank, Wilmington, NC	C	Interest			Redeemed	04/08/10	M		proceeds bought another CD
10. --CD - Patriot Bank, Houston, TX	D	Interest			Redeemed	04/23/10	M		proceeds purchased bond
11. --CD - Select Bank & Trust, Greenville, NC	B	Interest			Redeemed	04/23/10	K		proceeds purchased bond
12. --CD - Key Bank NA, Cleveland, OH	C	Interest			Redeemed	09/24/10	L		proceeds purchased bond
13. --CD - Key Bank NA, Cleveland, OH	D	Interest			Redeemed	10/08/10	M		proceeds purchased bond
14. --CD - Summit County Bank, Moorefield, WV	C	Interest			Redeemed	11/12/10	L		proceeds purchased bond
15. --CD - Morgan Stanley Bank, Salt Lake City, UT	B	Interest	K	T					
16. --CD - Investors Community Bank, Manitowoc, WI	B	Interest			Redeemed	11/24/10	K		proceeds purchased bond
17. --CD - 1st Advantage Bank, St. Peters, MO	B	Interest	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. --CD - Bank of America, Charlotte, NC	A	Interest			Redeemed	10/08/10	K		proceeds purchased bond
19. --CD - Freedom Bank, Columbia Falls, MT	A	Interest	K	T					
20. --CD - Gulf Coast Bank & Trust, New Orleans, LA	B	Interest	K	T					
21. --CD - American Premier Bank, Arcadia, CA	B	Interest			Redeemed	06/18/10	L		proceeds purchased bond
22. --CD - First State Bank, Buxton, ND	B	Interest	L	T					
23. --CD - Mason State Bank, Mason, MI	C	Interest	L	T					
24. --CD - Morton Community Bank, Morton, IL	C	Interest	L	T					
25. --CD - Citizens State Bank, Clayton, WI	B	Interest	K	T					
26. --CD - National Republic Bank, Chicago, IL	B	Interest	L	T					
27. --CD - Bank of North Carolina, Thomasville, NC	B	Interest	K	T					
28. --CD - Provincial Financial Bank, Clayton, MO	B	Interest	K	T					
29. --CD - National Republic Bank, Chicago, IL	A	Interest	J	T	Buy	01/25/10	J		see part VIII
30. --CD - Roundbank, Waseca, MN	C	Interest	M	T	Buy	04/19/10	M		see part VIII
31. --Bond - Eagle Mountain-Saginaw TX School District	B	Interest	L	T	Buy	04/27/10	L		see part VIII
32. --Bond - Travis Co. TX	B	Interest	L	T	Buy	05/04/10	L		see part VIII
33. --Bond - Pflugerville TX ISD Building PSF	B	Interest	K	T	Buy	05/06/10	K		see part VIII
34. --Bond - Duncanville TX ISD	B	Interest	L	T	Buy	05/13/10	L		see part VIII

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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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V = Other

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --Bond - Barbers Hill TX ISD	B	Interest	K	T	Buy	05/20/10	K		see part VIII
36. --Bond - Ft. Worth TX CTF oblig.	B	Interest	L	T	Buy	06/24/10	L		see part VIII
37. --Bond - NW Harris County Municipal Utility District	C	Interest	L	T	Buy	10/28/10	L		see part VIII
38. --Bond - Ft. Bend County Municipal Utility District	C	Interest	L	T	Buy	10/26/10	L		see part VIII
39. --Bond - Donna TX ISD School Building PSF	B	Interest	L	T	Buy	10/18/10	L		see part VIII
40. --Bond - Pharr San Juan Alamo TX ISD	B	Interest	L	T	Buy	10/18/10	L		see part VIII
41. --Bond - Dallas TX ISD Building Fund	B	Interest	K	T	Buy	11/22/10	K		see part VIII
42. --Bond - Univsersity of TX Revs. Fin. Sys.	C	Interest	M	T	Buy	11/24/10	M		see part VIII
43. Wells Fargo Retirement Brokerage Account:									
44. --CD - Bank of Commerce, Wood Dale, IL	B	Interest			Redeemed	05/05/10	N		Proceeds purchased IRA CD
45. --CD - Bank of North Carolina, Thomasville, NC	B	Interest	M	T					
46. --CD - Discover Bank, Greenwood, DE	B	Interest	M	T					
47. --CD - First Bank Highland Park, Highland Park, IL	B	Interest	M	T					
48. --CD - Luana Savings Bank., Luana, IA	B	Interest	M	T	Buy	01/05/10	M		see part VIII

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3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

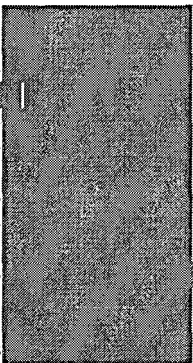
S = Assessment
W = Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, p. 5, line 29. Sam R. Cummings		tenants in common
Part VII, p. 5, line 30. Sam R. Cummings		tenants in common
Part VII, p. 5, line 31. Sam R. Cummings		tenants in common
Part VII, p. 5, line 32. Sam R. Cummings		tenants in common
Part VII, p. 5, line 33. Sam R. Cummings		tenants in common
Part VII, p. 5, line 34. Sam R. Cummings		tenants in common
Part VII, p. 6, line 35. Sam R. Cummings		tenants in common
Part VII, p. 6, line 36. Sam R. Cummings		tenants in common
Part VII, p. 6, line 37. Sam R. Cummings		tenants in common
Part VII, p. 6, line 38. Sam R. Cummings		tenants in common
Part VII, p. 6, line 39. Sam R. Cummings		tenants in common
Part VII, p. 6, line 40. Sam R. Cummings		tenants in common
Part VII, p. 6, line 41. Sam R. Cummings		tenants in common
Part VII, p. 6, line 42. Sam R. Cummings	tenants in common	
Part VII, p. 6, line 48. Wells Fargo Bank IRA C/F Sam R. Cummings		

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Samuel R. Cummings**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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