



UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS

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ANDREW S. HANEN
U.S. DISTRICT JUDGE

FINANCIAL
DISCLOSURE OFFICE

600 E. HARRISON STREET, #301
BROWNSVILLE, TEXAS 77820-7114
TEL: (956) 548-2591
[REDACTED]

July 7, 2009

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301, One Columbus Circle, N.E.
Washington, D.C. 20544

[REDACTED]

Re: Amendment to 2008 Financial Disclosures for Judge Andrew S. Hanen

Dear Sir/Madam:

Pursuant to the Committee's letter request of June 29, 2009, please find the following additional information. All of the following pertains to information contained in Part VII:

119	-Fidelity Short Term Bond Fund									
-----	--------------------------------	--	--	--	--	--	--	--	--	--

Further, under Retirement Account #1 a listing for Fidelity Cash Reserves was accidentally omitted. It would logically fit between what is now line numbers 125 and 126—so for the purposes of this amendment I have just designated it as line number 125(A). Therefore, the report should include:

125(A)	-Fidelity Cash Reserves									
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I am enclosing three (3) extra copies of this response. Please call or write if you need additional information.

Yours truly,

[REDACTED]

Andrew S. Hanen
United States District Judge

ASH:am
Enclosures

Hanen_Andrew_S

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanan, Andrew S.	2. Court or Organization United States District Court - Texas	3. Date of Report 5/14/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Judge Andrew S. Hanan 600 East Harrison St., #301 Brownsville, Texas 78520	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Self-employed—Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of TX	5/22 - 5/23	Dallas	CLE Program	Airfare, Hotel, Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

Hanen, Andrew S.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA - Account #1	E	Interest	N	T					
2. -Treasury Strips									
3. -Chase Retirement Money Mkt Reserves									
4. IRA Account #2	A	Dividend	J	T					
5. -Alliance Bernstein Intl					Sold (part)	1/7	J	A	
6. -Alliance Bernstein Intl					Sold	5/2	J	A	
7. -Nationwide (f/k/a Gartmore) Emerging					Sold (part)	1/7	J	A	
8. -Nationwide Emerging (See Note 1)					Sold (part)	8/12	J	A	
9. -Aberdeen Developing					Buy	10/2	J		
10. -Nationwide Natural (f/k/a Gartmore Global)					Sold (part)	1/7	J	A	
11. -Nationwide Natural (See Note 2)					Sold (part)	5/2	J	A	
12. -Nationwide Opt					Sold (part)	1/7	J	A	
13. -Nationwide Opt (See Note 3)					Sold (part)	5/2	J	A	
14. -Aberdeen Opt					Sold (part)	8/12	J	A	
15. -Aberdeen Opt					Sold (part)	10/2	J	A	
16. -Nationwide U.S. Growth					Buy	1/7	J		
17. -Nationwide U.S. Growth (See Note 4)					Sold	5/2	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Nationwide Int					Buy	1/7	J		
19. -Nationwide Int (See Note 5)					Buy	5/2	J		
20. -Aberdeen Int					Sold (part)	8/12	J	A	
21. -Ivy Asset					Sold (part)	1/7	J	A	
22. -Ivy Asset					Sold (part)	8/12	J	A	
23. -Ivy Asset					Sold (part)	10/2	J	A	
24. -Cohen & Steers Int					Sold	1/7	J	A	
25. -Cohen & Steers Asian Pac					Sold (part)	1/7	J	A	
26. -Cohen & Steers Asian Pac					Sold	5/2	J	A	
27. -Cohen & Steers Global					Buy	5/2	J		
28. -Cohen & Steers Global					Sold (part)	10/2	J	A	
29. -Hartford Cap					Sold	1/7	J	A	
30. -Alpine Int					Sold	1/7	J	A	
31. -Janus Int					Sold (part)	5/2	J	A	
32. -Janus Int					Sold (part)	8/12	J	A	
33. -Janus Int					Buy	10/2	J		
34. -Janus Ad (See Note 12)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other			

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Janus Long/Short					Buy	1/7	J		
36. -Janus Long/Short					Sold (part)	5/2	J	A	
37. -Janus Long/Short					Sold (part)	10/2	J	A	
38. -Janus Forty					Buy	8/12	J		
39. -Janus Forty					Buy	10/2	J		
40. -Janus Global					Buy	5/2	J		
41. -Thornburg Global					Buy	1/7	J		
42. -Thornburg Global					Sold (part)	5/2	J	A	
43. -Thornburg Global					Sold (part)	8/12	J	A	
44. -Hartford Growth					Buy	1/7	J		
45. -Hartford Growth					Sold	5/2	J	A	
46. -Blackrock Global					Buy	1/7	J		
47. -Blackrock Global					Buy	5/2	J		
48. -Blackrock Global					Buy	8/12	J		
49. -Blackrock Global					Sold (part)	8/12	J	A	
50. -Blackrock Int					Buy	5/2	J		
51. -Blackrock Int					Sold (part)	8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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52. -John Hancock Global					Buy	5/2	J		
53. -John Hancock Global					Buy	8/12	J		
54. -John Hancock Global					Buy	10/2	J		
55. -Merrill Lynch Money Mkt Reserves (See Note 9)									
56. IRA Account #3	A	Dividend	L	T					
57. -Alliance Bernstein Intl					Sold (part)	1/7	J	A	
58. -Alliance Bernstein Intl					Sold	5/2	J	A	
59. -Nationwide (f/k/a Gartmore) Emerging					Sold (part)	1/15	J	A	
60. -Nationwide Emerging (See Note 1)					Buy	5/2	J		
61. -Nationwide Emerging					Sold (part)	8/12	J	A	
62. -Aberdeen Developing					Buy	10/2	J		
63. -Nationwide Natural (f/k/a Gartmore Global)					Sold (part)	1/7	J	A	
64. -Nationwide Natural (See Note 2)					Sold (part)	5/2	J	A	
65. -Aberdeen Natural					Sold (part)	8/12	J	A	
66. -Aberdeen Natural					Buy	10/2	J		
67. -Nationwide Opt					Sold (part)	1/7	J	A	
68. -Nationwide Opt (See Note 3)					Sold (part)	5/2	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -Aberdeen Opt					Sold (part)	8/12	J	A	
70. -Aberdeen Opt					Sold (part)	10/2	J	A	
71. -Nationwide U.S. Growth					Buy	1/7	J		
72. -Nationwide U.S. Growth (See Note 4)					Sold	5/2	J	A	
73. -Nationwide Int					Buy	1/7	J		
74. -Nationwide Int (See Note 5)					Buy	5/2	J		
75. -Aberdeen Int					Sold (part)	8/12	J	A	
76. -Ivy Asset					Sold (part)	1/7	J	A	
77. -Ivy Asset					Sold (part)	5/2	J	A	
78. -Ivy Asset					Sold (part)	8/12	J	A	
79. -Ivy Asset					Sold (part)	10/2	J	A	
80. -Cohen & Steers Int					Sold (part)	1/7	J	A	
81. -Cohen & Steers Asian Pac					Sold	5/2	J	A	
82. -Cohen & Steers Global					Buy	5/2	J		
83. -Cohen & Steers Global					Buy	8/12	J		
84. -Cohen & Steers Global					Sold (part)	10/2	J	A	
85. -Hartford Cap					Sold	1/7	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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86. -Alpine Int					Sold	1/7	J	A	
87. -Janus Int					Buy	1/7	J		
88. -Janus Int					Sold (part)	5/2	J	A	
89. -Janus Int					Sold (part)	8/12	J	A	
90. -Janus Int					Buy	10/2	J		
91. -Janus Adviser					Sold (part)	1/7	J	A	
92. -Janus Adviser					Buy	5/2	J		
93. -Janus Adviser					Sold (part)	10/2	J	A	
94. -Janus Long/Short					Buy	1/7	J		
95. -Janus Long/Short					Sold (part)	5/2	J	A	
96. -Janus Long/Short					Sold (part)	8/12	J	A	
97. -Janus Long/Short					Sold (part)	10/2	J	A	
98. -Janus Forty					Buy	8/12	J		
99. -Janus Forty					Buy	10/2	J		
100. -Janus Global					Buy	5/2	J		
101. -Thornburg Global					Buy	1/7	J		
102. -Thornburg Global					Sold (part)	5/2	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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103. -Thornburg Global					Sold (part)	8/12	J	A	
104. -Hartford Growth					Buy	1/7	J		
105. -Hartford Growth					Sold	5/2	J	A	
106. -Blackrock Global					Buy	1/7	J		
107. -Blackrock Global					Buy	5/2	J		
108. -Blackrock Global					Buy	8/12	J		
109. -Blackrock Global					Sold (part)	8/12	J	A	
110. -Blackrock Global					Sold (part)	10/2	J	A	
111. -Blackrock Int					Buy	5/2	J		
112. -Blackrock Int					Sold (part)	8/12	J	A	
113. -Blackrock Int					Buy	10/2	J		
114. -John Hancock Global					Buy	5/2	J		
115. -John Hancock Global					Buy	8/12	J		
116. -John Hancock Global					Buy	10/2	J		
117. -Merrill Lynch Money Mkt Reserves									
118. Retirement Account #1	A	Int./Div.	N	T					
119. -Short Term Bond Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Ginnie Mae Fund					Sold	3/24	K	C	
121. -Mgt Security Fund					Sold	3/24	L	B	
122. -Marshall Bond Fund									
123. -Pax Balanced Fund									
124. -Citizen Bank Flint Mich CD (See Note 11)									
125. -Strategic Cap Bk CD					Buy	4/9	L		
126. Brokerage Acct #1									
127. -Houston ISD Municipal Bonds	C	Interest	L	T					
128. -Pearland ISD Municipal Bonds	C	Interest	L	T					
129. -Killeen Tex. Municipal Bonds	C	Interest	M	T					
130. -Katy Tex. ISD Municipal Bonds	C	Interest	L	T					
131. -Lubbock Tx. Tax & Wtrworkds Municipal Bonds	C	Interest	M	T					
132. -Univ. Tex. Revs. Fin. Municipal Bonds (See Note 10)	D	Interest	M	T					
133. -Southlake Tex L/T Water Municipal Bonds	D	Interest	M	T					
134. -Georgetown ISD Municipal Bonds	C	Interest	M	T					
135. -Ft. Worth Tex ISD Municipal Bonds	C	Interest	M	T					
136. -Richardson Texas Municipal Bonds	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Allen Texas Rfdg. Municipal Bonds	C	Interest	M	T					
138. -Plano ISD Municipal Bonds	D	Interest	M	T					
139. -Burleson Tex ISD Municipal Bonds	A	Interest	L	T	Buy	8/11	M		
140. -Port Neches Grove ISD Municipal Bonds	B	Interest	L	T	Buy	4/3	M		
141. -Smith Barney Tax Free Money Market (See Note 7)	C	Interest	M	T					
142. Brokerage Acct #2									
143. -Canadian Riv. Mun. Municipal Bonds	D	Interest	M	T					
144. -Houston Tex Water Municipal Bonds	D	Interest	M	T					
145. -Brownsville Tex Municipal Bonds	C	Interest	L	T					
146. -Lower Col. Riv. Auth. Municipal Bonds	C	Interest	M	T					
147. -Arlington Texas ISD Municipal Bonds	D	Interest	M	T					
148. -Harris County Municipal Bonds	D	Interest	M	T					
149. -University of N. Tex Municipal Bonds	B	Interest	L	T					
150. -Gal. Texas Pub. Zero Coupon Mun. Bonds	B	Interest			Redeemed	5/1	K	A	
151. -Houston Tex ISD Zero Coupon Municipal Bonds	B	Interest			Redeemed	8/15	K	A	
152. -Houston Tex Wtr & Swr Zero Coupon Municipal Bonds	B	Interest			Redeemed	12/1	K	A	
153. -Houston Tex ISD Zero Coupon Municipal Bonds	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Sharyland ISD Municipal Bonds	C	Interest	M	T					
155. -Merrill Lynch CMA Tax Exempt Money Market	D	Interest	K	T					
156. -Compass (fka: Texas State Bank) (See Note 6)	A	Interest	K	T					
157. Northwestern Mutual EOL Life Ins #117	A	Dividend	J	T					
158. Northwestern Mutual EOL Life Ins #714	A	Dividend	J	T					
159. Northwestern Mutual Whole Life Ins #642	A	Dividend	J	T					
160. Northwestern Mutual Whole Life Ins #817	C	Dividend	L	T					
161. Northwestern Mutual Whole Life Ins #811	C	Dividend	L	T					
162. Northwestern Mutual EOL Life #840	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Gartmore Emerging became Nationwide Emerging in 2007. During 2008, this fund was taken over by Aberdeen and renamed Aberdeen Developing.
2. Gartmore Global became Nationwide Global in 2007. It was renamed Nationwide Natural and then in 2008 became Aberdeen Natural.
3. Nationwide Opt became Aberdeen Opt in 2008.
4. Nationwide U.S. Growth became Aberdeen Equity on May 1, 2008.
5. Nationwide Int became Aberdeen Int in 2008.
6. Texas State Bank, listed on line 102 of the 2007 report was purchased by BBVA/Compass Bank. The accounts therein remain the same and are shown on line 156 of this year's report.
7. This money market is also referred to as the "Western Asset Municipal Money Market."
8. The Garage - SPI Tex. Prop. listed on line 103 of the 2007 report has not been rented for two years and so per the instructions it is no longer included.
9. This is the same money market as in the 2007 report (Part VII, line 31), but the name is expanded as per the instructions to these forms.
10. The UT Dev. Municipal Bonds listed on line 79 of the 2007 report and the bonds described as Univ. Tex. Revs. Fin. Municipal Bonds listed on line 75 of the 2007 report have been prerefunded and reissued by the issuer with a 2011 call date. As a result, they are now reported [REDACTED] by the brokerage house and are reported herein [REDACTED] on line 129. Consequently, the UT Dev. Municipal Bonds are not listed separately here and will not be on any subsequent report.
11. The New Frontier CD listed on line 66 of the 2007 report was redeemed on November 20, 2007 and was replaced by the purchase of a new CD on November 29, 2007 from Citizen Bank Flint Michigan. The redemption of the New Frontier would have had an "L" value code and an "A" income gain code. The Citizens Bank CD has a value code of "L." That CD is listed in this year's (2008) report on line 124. These transactions were accidentally omitted from last year's report and the administrative staff for the Committee on Financial Disclosure instructed the undersigned to correct this oversight by including this note.
12. There were no sales or purchases involving Janus Ad inside IRA Account #2, but this fund was still owned by the IRA at year end and is included because the Filer's Checklist suggests that all assets inside of an IRA should be listed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544