



Susan R. Bolton
United States District Judge

UNITED STATES DISTRICT COURT

DISTRICT OF ARIZONA
401 W. Washington St., SPC 50
Suite 522
Phoenix, Arizona 85003-2154

Telephone: (602) 322-7570
Fax: (602) 322-7579

July 8, 2004

Honorable Mary H. Lisi, Chair
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

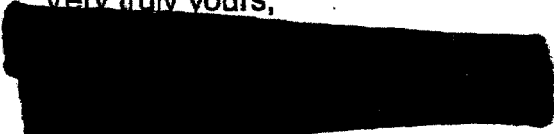
Re: Financial Disclosure for Calendar Year 2003

Dear Judge Lisi:

I have received your letter dated June 28, 2004, requesting additional information for my 2003 Financial Disclosure. Below is the additional information the committee has requested.

In Part VII, page 4, line 68 for "Maricopa Cty. Hospital Dist. #1 Muni Bond," Column B(2) should be "NONE." In Part VII, page 1 lines 11 and 12, Column C(2) should be "W" instead of "R".

Very truly yours,


Susan R. Bolton
District Court Judge

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FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Bolton, Susan R	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 4/29/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 401 W. Washington St., SPC 50 Suite 522 Phoenix, AZ 85003	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)
☐ **NONE** - (No reportable positions.)
POSITIONNAME OF ORGANIZATION/ENTITY

1. Secretary

Organizational Diagnostics LLC ([REDACTED] owned company)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)
☐ **NONE** - (No reportable agreements.)
DATEPARTIES AND TERMS

1. 1989

Arizona Elected Officials Retirement Plan (pension plan from prior employment as a state court judge)

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	Arizona Elected Officials Retirement Plan	38,386
2.			

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	Organizational Diagnostics, L.L.C.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Hewlett Foundation and the General Service Foundation	Nov. 5-8, 2003 - Sacramento, CA - conference for complex water litigation (transportation, meals and hotel)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. Sonoma National Bank, CA

Mortgage on one-third interest in office condominium (Pt. VII line 13)

K

2. Merrill Lynch Single Premium Life

Cash value loan

L

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. IBM common stock	A	Dividend	L	T					
2. Merrill Lynch Bank USA	B	Interest	L	T					
3. Bank of America, Arizona	A	Interest	J	T					
4. Merrill Lynch Single Premium Life-Insurance		None	K	T					
5. Philadelphia Life Insurance Company-Insurance		None	K	U					
6. U.S. Savings Bonds		None	N	T					
7. Oakhurst Managed Assets-Mutual Fund	A	Dividend	K	T					
8. MLF Retirement Plus-Annuity		None	M	T					
9. Transamerica Landmark Annuity		None	K	T					
10. Allianz Franklin-Annuity		None	K	T					
11. Nelson II Freeway Limited Partnership		None	J	R					
12. Sasser Interest Limited Partnership		None	L	R					
13. Office condo (1/3 interest), Phoenix, AZ (1994,\$60,000)		None	L	R					
14. Merrill Lynch Basic Retirement Plan-Profit Sharing	C	Dividend & I	N	T					
15. --First Midwest Bank CD					buy	2/18	K		
16. --Flagstar Bank CD					buy	9/8	K		
17. --Treasury Bank CD					buy	9/8	K		
18. --Hudson United Bank CD					buy	2/11	K		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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19. --US Treas. Inflation Note					buy	9/11	K		
20. --Retirement Reserves Money Market Fund					redeemed	7/18	J		
21. --Principal Treasury Zero Coupon Bond					redeemed	8/15	K		
22. --U.S. Treasury Note									
23. --Resolution Funding Corp. Zero Coupon Bond									
24. --ML Eurofund-mutual fund									
25. --ML International Equity-mutual fund									
26. --Putnam New Opportunities-mutual fund									
27. --Providian Bank - CD					redeemed	6/13	L		
28. Merrill Lynch Basic Retirement Plan-Money Purchase	C	Dividend & I	N	T					
29. --US Treasury Inflation Notes (2)									
30. --ML Government Money Market Fund					sold	9/11	K		
31. --Retirement Reserves Money Market Fund					redeemed	9/16	J		
32. --Price Legacy common stock(formerly Excel Legacy)									
33. --Motorola common stock									
34. --Vodafone common stock									
35. --Pacific Bell Note									
36. --ML Global Allocation Mutual Fund									

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. –US Treasury Inflation Note					buy	9/11	K		
38. –Resolution Funding Corp-zero coupon bond									
39. –US Treasury-zero coupon bond									
40. –Financing Corp.- zero coupon bond									
41. Merrill Lynch IRA	B	Dividend & I	M	T					
42. –ML Bank USA									
43. –US Treasury zero coupon bonds									
44. –Pepsico common stock									
45. –Yum Brands common stock									
46. –Petsmart common stock									
47. –Wal-Mart common stock									
48. –Oppenheimer Income Trust mutual fund									
49. –Providian Bank CD					redeemed	6/13	J		
50. –Washington Trust CD					buy	9/9	K		
51. Fidelity Equity Income Fund	A	Dividend	J	T					
52. Fidelity Magellan mutual fund	A	Dividend	K	T					
53. Fidelity Value mutual fund	A	Dividend	J	T					
54. Fidelity Asset Manager mutual fund	A	Dividend	J	T					

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55. Fidelity Puritan mutual fund	A	Dividend	J	T					
56. Janus Balanced mutual fund	A	Dividend	J	T					
57. Vanguard Growth Index Fund	A	Dividend	J	T					
58. Vanguard 500 Index Fund	A	Dividend	J	T					
59. Vanguard Prime Cap Fund	A	Dividend	J	T					
60. Vanguard Life Strategy Growth Fund	A	Dividend	J	T					
61. Vanguard Profit Sharing Plan	A	Dividend & I	J	T					
62. --Total Stock Market Index Fund									
63. --Growth Index Fund									
64. Vanguard IRA	A	Dividend	J	T					
65. --Growth Index Fund									
66. Vanguard IRA	A	Dividend	J	T					
67. --Total Stock Market Fund									
68. Maricopa Cty. Hospital Dist. #1 Muni Bond					redeemed	6/12	J		
69. Central AZ Water Conservation Dist. Muni Bond		None	K	T					
70. AZ Dept. of Transportation Muni Bond		None	K	T					
71. Maricopa Cty Gilbert School Dist. Bond		None	K	T					
72. Gilbert AZ Public Facility Muni Bond	A	Interest	K	T					

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73. Maricopa Cty. Hospital Dist. #1 Muni Bond	A	Interest	J	T					
74. Salt River Project Muni Bond	A	Interest	J	T					
75. Maricopa Cty School Dist #93 Cave Creek Imp. Muni Bond		None			redeemed	7/1	J	A	
76. Maricopa Cty.School No 40 Glendale Muni Bond		None	J	T					
77. Maricopa Cty.School Dist. No 14 Creighton Muni Bond		None			redeemed	7/1	K		
78. Merrillville IN muni bond zero coupon		None	K	T					
79. Intermountain Power Agency Muni Bond zero coupon		None	K	T					
80. Illinois DFA zero coupon muni bond		None	K	T					
81. Ten acres, Oroville, CA (1972, \$5,000)		None	J	R					
82. Arizona Elected Officials Retirement Plan	E	pension	M	T					
83. Arizona State Retirement System		None	L	T					
84. Keyport Keyannuity		None	M	T					
85. Keyport Keyannuity		None	L	T					
86. Merrill Lynch IRA	C	Dividend & I	N	T					
87. --MLBankUSA									
88. --American National Bank & Trust CD (see part VII)									
89. --US Treasury zero coupon bonds									
90. --Providian Bank CD					redeemed	6/13	J		

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91. --First Midwest Bank CD					buy	2/18	J		
92. --Pepsico common stock									
93. --Yum Brands common stock									
94. --Wal-Mart common stock									
95. --Oppenheimer Income Trust mutual fund									
96. --Treasury Bank CD's (2)					buy	9/8	L		
97. --CD MBNA Bank					redeemed	8/28	K		
98. --CD MBNA Bank									
99. --US Treasury Inflation Note									
100. Maricopa Cty Hosp. Dist. #1		None	K	T					
101. Maricopa Cty School Dist #014 Creighton		None	J	T					
102. U.S. Savings Bonds					buy	6/6	K		
103. U.S. Savings Bonds					buy	8/2	J		
104. U.S. Savings Bonds					buy	9/7	J		
105. U.S. Saving Bonds					buy	9/21	J		

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4/29/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544