

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PATEL, MARILYN H		2. Court or Organization Northern District California		3. Date of Report 05/11/2007	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge USDC		5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final		6. Reporting Period 01/01/2006 to 12/31/2006	
		5b. ☐ Amended Report			
7. Chambers or Office Address United States District Court P.O. Box 36060 San Francisco, CA 94102		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____

2. _____

3. _____

4. _____

5. _____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

2. _____

3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. AO	XXXVIII Transferee Judges' Conference; January 9-12, 2006 (meals, lodging and transportation)
2. U.S. District Court	Chaminde, Santa Cruz, CA; April 28-29, 2006 (meals, lodging and transportation)
3. U.S. Patent and Trademark Office	Intellectual Property Program, Munich, Germany; Sept. 11-13, 2006 (meals, lodging & transportation)
4. U.S. District Court	Judges' Retreat; Sonoma, CA; Oct. 11-13, 2006 (meals & mileage)

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5. American Business Trial Lawyers (ABTL)

ABTL, Maui, HI; October 17-20, 2006 (meals, lodging & transportation)

6. European Patent Academy

IP, Munich, Germany; November 15-21, 2006 (meals, lodging & transportation)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amkor Techs Inc.		None			Sell	9/20	K	Loss	
2. Applied Micro Circuits		None			Sell	9/20	J	Loss	
3. Arqule		None			Sell	9/20	J	Loss	
4. Avaya		None			Sell	12/16	K	Loss	
5. Avigen		None			Sell	9/20	K	Loss	
6. Axis Capital	A	Dividend			Sell	9/20	L	C	
7. Bancolumbia S.A.	A	Dividend			Sell	5/9	L	E	
8. Bank of America	D	Dividend	M	T					
9. Bay Area Toll Bonds	C	Interest	N	T	Buy	4/20	N		
10. BEA Systems		None			Sell	9/20	K	Loss	
11. Boeing	B	Dividend	M	T	Sell	9/20	M	E	portion sold; portion retained
12. Brocade Communications		None	J	T					
13. CA Dev Auth Bonds	E	Interest	N	T	Buy	4/20	N		
14. Calif. HLTH (Bonds)	D	Interest	N	T					
15. Carnival Corp					Buy	4/13	L		
16. Carnival Corp		None			Sell	9/20	L		
17. Charles Schwab Corp	A	Dividend			Sell	9/20	L	Loss	

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000

H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3=\$25,000,001 - \$50,000,000
Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

P4=More than \$50,000,000
S=Assessment
W=Estimated

T=Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Chicos Fas Inc.					Buy	6/15	L		
19. Chicos Fas Inc.		None			Sell	12/13	K	Loss	
20. CIA Vale Do Rio Doce					Buy	6/15	K		
21. CIA Vale Do Rio Doce		None			Sell	11/7	L	D	
22. Citizens PPTY Ins Bonds					Buy	4/20	N		
23. Citizens PPTY Ins Bonds	B	Interest			Partial redeem	6/15	N	A	Total
24. Citizens PPTY Ins Bonds	B	Interest			Partial redeem	11/13	K	A	Redemption
25. Citrix Systems		None			Sell	9/20	L	E	
26. Conexant Systems		None	J	T					
27. Consol. Energy	A	Dividend	L	T					
28. Cooper Cameron		None			Sell	3/24	L	B	
29. Corning Inc.		None			Sell	5/05	K	D	
30. Countrywide Cap		None	M	T	Buy	11/1	L		
31. Doral Financial	A	Dividend			Sell	12/13	J	Loss	
32. Dow Chemical	C	Dividend	L	T					
33. Drill-Quip Inc.					Buy	1/4	M		
34. Drill-Quip Inc.		None			Sell	3/24	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Drill-Quip Inc.					Buy	6/15	M		
36. Drill-Quip Inc		None			Sell	8/1	M	E	
37. Embraer Empresa Bras DDADS	A	Dividend			Sell	2/16	L	E	
38. Emulex		None	K	T					
39. Extreme Networks		None			Sell	9/20	J	Loss	
40. Finisar Corp		None	J	T					
41. FMC Technologies		None			Sell	8/1	M	E	
42. Fording CDN Coal					Buy	1/06	M		
43. Fording CDN Coal	D	Dividend			Sell	12/13	L		
44. Foundation Coal	A	Dividend	L	T					
45. Foundry Networks		None			Sell	4/11	K	Loss	
46. Freeport McMoran					Buy	6/15	L		
47. Freeport McMoran	C	Dividend			Sell	10/23	M	E	
48. Gilroy TH Bonds					Buy	9/22	M		
49. Gilroy TH Bonds	B	Interest			Redeem	12/26	M		
50. GMarket Inc.		None	K	T					
51. Google Inc.		None	M	T	Sell	10/23	M	E	

1. Income Gain Codes:
(See Columns B1 and D4)

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F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

3. Value Method Codes
(See Column C2)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

R = Cost (Real Estate Only)

P4 = More than \$50,000,000

T = Cash Market

Q = Appraisal

V = Other

S = Assessment

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U = Book Value

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52. Highmark California Tax Free	B	Dividend	N	T					
53. Honeywell Int'l	D	Dividend	N	T					
54. Informatica Corp.		None	K	T					
55. I Village Inc.		None			Sell	2/16	K	C	
56. Jo Ann Stores Inc.		None			Sell	9/20	K	D	
57. JP Morgan Chase	B	Dividend	K	T	Sell	9/20	K	D	portion sold; portion retained
58. King Pharmaceuticals		None			Sell	2/16	L	E	
59. Kopin Corp.		None			Sell	12/18	J	Loss	
60. LA County Met Trans Bonds	A	Interest	N	T	Buy	9/22	N		
61. Long Beach County Coll. Bonds	A	Interest	L	T	Buy	12/6	L		
62. Lyondell Chemical	A	Dividend			Sell	12/13	K	Loss	
63. MacQuarie Infrastruct. Co.	B	Dividend	K	T					
64. Mitsubishi FNL.	B	Dividend	N	T					
65. Monsanto Co.	A	Dividend			Sell	9/20	L	E	
66. Morgan Stanley	B	Dividend	M	T	Buy	4/13	M		
67. Morgan Stanley Captrust		None	M	T	Buy	10/5	L		
68. Nortel Networks		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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69. Polaris Industries	B	Dividend			Sell	11/7	K	Loss	
70. Qualcomm. Inc	B	Dividend	M	T	Buy	6/8	M		
71. Raytheon Co.	A	Dividend	L	T					
72. Renaissance Re	A	Dividend			Sell	9/20	L	D	
73. RF Micro Devices		None	J	T					
74. Riverbed Tech. Inc.		None	L	T	Buy	12/22	L		
75. RYL Bank Scotland	D	Dividend	M	T					
76. San Diego County Pension Bonds		None	M	T	Buy	12/4	M		
77. Schering Plough	A	Dividend	K	T					
78. Sun Microsystems		None	K	T					
79. Suncor Energy Inc.		None			Buy	6/15	L		
80. Suncor Energy Inc		None			Sell	11/7	L	D	
81. Sycamore Networks		None	J	T					
82. Syneron Medical LTD		None	L	T	Buy	12/22	L		
83. Unimproved Lot (See note in Section VIII)		None	L	W					Part VIII, #2
84. Union Pacific Corp.	A	Dividend	L	T	Sell	9/20	L	D	
85. United Healthcare GP Inc.	A	Dividend	M	T	Buy	4/13	M		

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(See Columns B1 and D4)

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E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
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86. Valero Energy Corp.	A	Dividend	L	T	Buy	1/19	L		
87. Vernon CA Elec Sys. Bonds	B	Interest	N	T	Buy	4/17	N		
88. Washington Mutual	C	Dividend	L	T					
89. Williams Co. Inc	A	Dividend			Sell	2/16	K	D	
90. World Savings	A	Interest	K	T					
91. Yahoo Inc.		None			Sell	4/18	K	Loss	
92. Xilinx Inc.	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. All assets are held unless otherwise noted.

2. Section VII (Investments and Trusts), No. 83: We have owned this lot since 1970. The value ascribed to it by the code reflects what appears to be values for other lots in the area that have gone on the market recently. It has not been appraised and the "current value" is only an approximation based on comparables.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date


May 11, 2007

NOTE: ANY INDIVIDUAL WHOSE NAME APPEARS ON THIS REPORT AND FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544