

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, Rebecca B.	2. Court or Organization Eastern District of Virginia	3. Date of Report 05/13/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States District Court 600 Granby Street Norfolk, Virginia 23510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	General Douglas MacArthur Foundation, Norfolk, Va
2. Power of Attorney	 Account #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Smith, Rebecca B.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Smith, Rebecca B.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	 - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

Smith, Rebecca B.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date num/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Branch Bank & Trust Co. Bank Account	A	Interest	J	T					
2. Investment Account #1 directing (line 3)									
3. IRA-Brokerage Account #1 holding (lines 4-33)									
4. Schwab Govt. Money Fund	A	Int./Div.	J	T					
5. Hampton Roads Bankshares Inc		None	J	T					
6. The Diamonds Trust		None			Sold	09/08/10	K		
7. Dow Jones Select Div Index Fund		None			Sold	09/08/10	J		
8. Standard & Poor's Midcap 400	A	Int./Div.	J	T					
9. S & P 500 Growth Index Fund		None			Sold	09/08/10	K		
10. Tr MSCI EAFE Index Fund	B	Int./Div.	L	T					
11. Standard & Poor's Latin American 40 Index Fund		None			Sold	09/08/10	J	D	
12. Standard & Poor's 500 Depository Receipts Trust	B	Int./Div.	M	T					
13. A T & T Inc New	A	Int./Div.	J	T					
14. Verizon Communications	A	Int./Div.	J	T					
15. IShares MSCI Emrg Mkt Fd	A	Int./Div.	K	T					
16. IShares S & P Smcap Value	A	Int./Div.	J	T					
17. IShares TR Barclays Bond Intermediate	A	Int./Div.	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Smith, Rebecca B.	05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IShares TR Barclays Fund Aggregate	A	Int./Div.	J	T					
19. IShares Tr Cohen & Steer	A	Int./Div.	J	T					
20. SPDR Trust Unit Sr I	A	Int./Div.	J	T					
21. IShares Barclays Bond (1-3 yr credit bond)	A	Int./Div.	K	T	Buy	08/02/10	K		
22. IShares Trust (High Yield Corp)	A	Int./Div.	J	T	Buy	03/01/10	J		
23. Taxable Bonds:									
24. Gov't Natl Mtg. Assn Pool 5/15/20 Medium Term Notes	A	Int./Div.	J	T					
25. U.S. TSY Inflation Index Note 1/15/10		None			Redeemed	01/15/10	J		
26. US TSY Inflation Index Notes 7-15-13	A	Int./Div.	J	T					
27. U.S. T-Note 5/31/13	A	Int./Div.	K	T					
28. U.S. T-Note 8/15/12	A	Int./Div.	J	T					
29. U.S. T-Note 8/15/15	A	Int./Div.	J	T					
30. U.S. T-Note 10/31/11	A	Int./Div.	J	T					
31. Fed Farm CR BK 6/8/17	A	Int./Div.	K	T					
32. Oregon School Board Bond Due 6-30-10	A	Int./Div.			Redeemed	06/30/10	J	A	
33. Conocophillips 10/15/16	A	Int./Div.	J	T					
34. Farm, [REDACTED] VA		None	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Investment Account #2 directing (line 36)									
36. Brokerage Account #2 holding (lines 37-91)									
37. Schwab Govt Money Fund	A	Int./Div.	K	T					
38. Equities/Stocks:									
39. A T & T Inc.	B	Dividend	K	T					
40. Ace Limited	A	Dividend	J	T					
41. Auto Data Processing	A	Dividend	J	T					
42. Cisco Systems Inc.		None	J	T					
43. Dell Inc.		None			Sold	03/01/10	J		
44. Dollar Tree Inc.		None	K	T					
45. DuPont E I De Nemours & Co.	A	Dividend	J	T					
46. Duke Energy Corp.	A	Dividend	J	T					
47. Exxon Mobil Corp.	A	Dividend	K	T					
48. General Electric Co.	A	Dividend	K	T					
49. Hampton Roads Bankshares		None	J	T					
50. Hewlett-Packard Company	A	Dividend	K	T					
51. Honeywell International	A	Dividend	K	T					

- | | | | | | |
|--|---|--|--|--|-------------------------|
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(See Columns B1 and D4) | A = \$1,000 or less
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
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Name of Person Reporting

Smith, Rebecca B.

Date of Report

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52. Johnson & Johnson	A	Dividend	K	T					
53. J.P. Morgan Chase & Co.	A	Dividend	J	T					
54. Kraft Foods, Inc.	A	Dividend	K	T					
55. Medtronic Inc.	A	Dividend	J	T					
56. Microsoft Corp.	A	Dividend	K	T					
57. PepsiCo Inc.	A	Dividend	K	T					
58. Raytheon Company	A	Dividend	J	T					
59. St. Joe Co.		None			Sold	01/28/10	J		
60. Tyco International Ltd.	A	Dividend	J	T					
61. Verizon Communications	A	Dividend	J	T					
62. I Shares Trust MSCI Eafe Index Fund		None			Sold	12/16/10	J		
63. Canadian Natl Ry Co	A	Dividend	J	T					
64. Chesapeake Energy Corp	A	Dividend	J	T					
65. Harris Corporation	A	Dividend	J	T					
66. Home Depot	A	Dividend	J	T					
67. Intel Corp	A	Dividend	J	T					
68. Marathon Oil Corp	A	Dividend	J	T					

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(See Columns B1 and D4)

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2. Value Codes
(See Columns C1 and D3)

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L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

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69. Proctor & Gamble	A	Dividend	J	T					
70. Travelers Companies	A	Dividend	J	T					
71. Rydex SGI MGD Futures		None	K	T					
72. Vanguard Div Appreciation	A	Dividend	J	T					
73. Chevron Corporation	A	Dividend	J	T	Buy	12/14/10	J		
74. Noble Corp.	A	Dividend	J	T	Buy	12/09/10	J		
75. Wal-Mart Stores Inc	A	Dividend	J	T	Buy	12/30/10	J		
76. SPDR Index Shares Fund	A	Dividend	K	T	Buy	12/16/10	K		
77. Tax Exempt Bonds:									
78. Alexandria VA 6/15/15	A	Interest			Redeemed	06/15/10	J		
79. Arlington Cnty VA 2/1/13	A	Interest	K	T					
80. Bristol, VA 11/1/16	A	Interest	J	T					
81. Chesterfield Cnty 1/15/14	A	Interest	J	T					
82. Fairfax Cnty VA 10/1/11	A	Interest	K	T					
83. Middle Riv. Regl. 5/15/12	A	Interest	K	T					
84. Montgomery Cnty, VA 1/15/11	A	Interest	K	T					
85. Prince William County VA CTF Partn 6/1/15	A	Interest	J	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
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86. Virginia College Bl. 9/1/15	A	Interest	J	T					
87. Virginia College Bl. 4/1/16	A	Interest	K	T					
88. VA Res Auth Infrastructure Rev. Pooled Loan Bond 11/1/14	A	Interest	K	T					
89. Appamattox Cnty Va 05/01/14	A	Interest	K	T	Buy	04/07/10	K		
90. Fairfax Cnty Va ECO 05/15/17	A	Interest	J	T	Buy	04/27/10	J		
91. Virginia St Hsg 04/01/13	A	Interest	K	T	Buy	02/24/10	K		
92. Wells Fargo	B	Interest	M	T					
93. [REDACTED]		None			Sold	01/01/10	O	G	Blue Thunder Acquisition
94. Rental Property #1, Norfolk, VA	E	Rent	N	W					
95. C.A. Associates, 2%, Norfolk, VA	D	Dividend	K	W					
96. GJF Currituck Beach Associates, NC		None	J	W					
97. IRA - Equi-Vest	A	Interest	K	T					
98. Deferred Annuity - Equitable Life Ins. Co.	A	Interest	K	T					
99. Holly Holdings, Inc.	D	Interest	N	T	Open	01/20/10	N		
100. IRA-Brokerage Acct #3 directing and holding (lines 101-132)									
101. UBS Pace Money Market Investment Fund	A	Int./Div.	J	T					
102. First Eagle Sogen Global Fund	A	Int./Div.	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
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I2=More than \$5,000,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000
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103. First Eagle Overseas Fund		None			Sold	06/23/10	J	A	
104. Royce Micro-Cap Fund	A	Int./Div.			Sold	06/23/10	J	A	
105. Washington Real Estate Investment Fund	A	Int./Div.	K	T					
106. AFBA 5 Star Science & Technology Fund	A	Int./Div.			Sold	06/23/10	J	A	
107. Allianz NFJ Small-Cap Fund	A	Int./Div.			Sold	06/23/10	J	A	
108. Allianz OCC Growth Fund	A	Int./Div.			Sold	06/23/10	J	B	
109. Dryden Financial Services Fund	A	Int./Div.			Sold	06/23/10	J	B	
110. Eaton Vance Traditional Worldwide Health Sciences	A	Int./Div.	J	T					
111. Federated Prudent Bear Fund		None			Sold	06/23/10	J		
112. ING Global Value Choice Fund	A	Int./Div.			Sold	06/23/10	J	B	
113. Ivy Small Cap Value Fund	B	Int./Div.	J	T					
114. Matthews China Fund	B	Int./Div.	J	T					
115. Nuveen Tradewinds Global	B	Int./Div.	J	T					
116. Oppenheimer Global Opportunities Fund	C	Int./Div.	J	T					
117. Rydex Retailing Fund	A	Int./Div.			Sold	06/23/10	J	A	
118. Rydex S & P Midcap 400 Growth Fund	A	Int./Div.			Sold	06/23/10	J	B	
119. Seligman Large Cap Value Fund	A	Int./Div.			Sold	06/23/10	J	B	

- | | | | | | |
|--|---|---|--|---|---|
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H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = \$15,001 - \$50,000 | E = \$15,001 - \$50,000
J = \$50,001 - \$100,000 |
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(See Columns C1 and D3) | J = \$15,000 or less
K = \$15,001 - \$50,000 | L = \$50,001 - \$100,000
M = \$100,001 - \$250,000 | N = \$250,001 - \$500,000
O = \$500,001 - \$1,000,000 | P = \$1,000,001 - \$5,000,000
Q = \$5,000,001 - \$25,000,000 | R = \$25,000,001 - \$50,000,000
S = \$50,000,001 - \$100,000,000 |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Nuveen Multi-Strategy Income Fund	A	Int./Div.			Sold	06/23/10	J	A	
121. JP Morgan Highbridge Stat Market Fund		None			Sold	06/23/10	J		
122. Rydex/SGI Managed Futures Fund		None			Sold	06/23/10	J		
123. First Eagle Gold Fund	A	Int./Div.			Sold	06/23/10	J	A	
124. Federated Capital Income Fund	B	Int./Div.	J	T					
125. Janus Balanced Fund	A	Int./Div.	J	T					
126. Calvert Income Fund	A	Int./Div.	J	T	Buy	06/23/10	J		
127. Federated Government Income Secs Fund		None	K	T	Buy	06/23/10	K		
128. Blackrock Global Allocation Fund	A	Int./Div.	J	T	Buy	06/23/10	J		
129. Ivy Asset Strategy Fund	B	Int./Div.	J	T	Buy	06/23/10	J		
130. Tax Exempt Bonds:									
131. VA St Pub Sch Auth 8/1/20	A	Interest	K	T					
132. Virginia Beach Va Pub Impt 7/15/23	A	Interest	J	T					
133. Brokerage Account #4 directing and holding (lines 134-161)									
134. Resource Management Tax-Free Fund, Inc.	A	Interest	J	T					
135. Equities/Stocks:									
136. iShares Trust Russell 1000 Value Index Fund	A	Dividend	J	T					

- | | | | | | |
|--|--|--|---|---|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. IShares Trust Russell 1000 Growth Index Fund	A	Dividend	J	T					
138. IShares Trust DJ U.S. Basic Materials	A	Dividend			Sold	04/27/10	J	A	
139. IShares Trust Russell Midcap Value Fund	A	Dividend			Sold	08/26/10	J	A	
140. IShares Trust Russell Midcap Index Fund	A	Dividend	J	T					
141. IShares MSCI Emerging Market Fund		None			Sold	08/26/10	J		
142. IShares MSCI EAFE Index Fund	A	Dividend	J	T					
143. Rydex ETF Trust S & P 500	A	Dividend	J	T					
144. Vanguard Index Funds	A	Dividend			Sold	08/26/10	J	A	
145. Vanguard Intl Equity Index Fd	A	Dividend	J	T					
146. Vanguard Divid Appreciation ETF	A	Dividend	J	T					
147. IShares Iboxx \$ Invt Gra De Corporate Bond Fund		None	K	T					
148. IShares Barclays 1-3 yr Treas Bond Fund	A	Dividend	K	T					
149. IShares Barclays Tips Bond Fund	A	Dividend			Sold	05/25/10	J	A	
150. IShares Barclays MBS Bond Fd		None	K	T					
151. SPDR Barclays Capital Int Term Treasure ETF	A	Dividend	K	T					
152. SPDR Barclays Capital Tips		None			Sold	05/25/10	K	A	
153. SPDR DB Intl Govt Inflation Protected Bond		None			Sold	05/27/10	J		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. SPDR Lehman Intl Treas Bond	A	Dividend	K	T					
155. IShares S & P GSCI Commdity Ind	A	Dividend	J	T					
156. Powershares DB Multi Section Commodity	A	Dividend	J	T					
157. IShares Barclays 20+ year Treasury Bd		None	K	T	Buy	08/26/10	K		
158. SPDR Ser Trust Nuveen Barclays Cap Short Term Bd		None	K	T	Buy	05/28/10	K		
159. SPDR Gold Trust	A	Dividend	J	T	Buy	04/27/10	J		
160. Permanent Portfolio Fund Inc.	A	Dividend	J	T	Buy	08/26/10	J		
161. Pimco All Assets		None	J	T	Buy	08/26/10	J		
162. Brokerage Account #5 directing and holding (lines 163-165)					Open	04/21/10	L		
163. Henrico Cnty Va Econ Auth Res Care 10/1/35	B	Interest	K	T	Buy	05/20/10	K		
164. Virginia Small Business Fing Auth 11/1/21		None	K	T	Buy	12/01/10	K		
165. B B & T Financial Money Market Acct		None	K	T	Open	12/21/10	K		
166. Heritage Bank Account	B	Interest	M	T					
167.  Account #1 (line 168)									
168. Branch Bank & Trust Co. Bank Account	A	Interest			Closed	04/08/10	N		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/13/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/13/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rebecca B. Smith

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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