

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dorsey, Peter C	2. Court or Organization U.S. District Court	3. Date of Report 04/22/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 141 Church Street New Haven, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Dorsey, Peter C

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. American International Group, Inc.	A	Dividend	L	T					
2. AT&T Inc.	A	Dividend	K	T					
3. Boeing Co.	B	Dividend	L	T					
4. Bristol-Myers Squibb Co.	D	Dividend	M	T					
5. Burlington Northern - Santa Fe	A	Dividend	M	T					
6. Citigroup	A	Dividend	L	T					
7. Deere & Co.	A	Dividend	M	T					
8. Dun & Bradstreet	A	None	L	T					
9. Equity, Inc. Fund	C	Dividend	L	T					
10. Exxon-Mobil, Inc.	B	Dividend	L	T					
11. Bank of America	D	Dividend	M	T					
12. IDEARK	A	Dividend	J	T					
13. IMS Health	A	Dividend	K	T					
14. IBM	B	Dividend	L	T					
15. Johnson & Johnson	D	Dividend	M	T					
16. McGraw Hill, Inc.	B	Dividend	L	T					
17. Mellon Financial	C	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Moody's Corp.	A	Dividend	L	T					
19. New Alliance Bank	A	Dividend	K	T					
20. Northrop Grumman Corp.	A	Dividend	K	T					
21. Pfizer Inc.	D	Dividend	M	T					
22. Plum Creek	B	Dividend	K	T					
23. Prudential Financial	A	Dividend	K	T					
24. St. Paul Travelers	A	Dividend	J	T					
25. TECO Energy	A	Dividend	J	T					
26. UI Holding Corp.	B	Dividend	K	T					
27. Chevron Corp.	A	Dividend	L	T					
28. Verizon Communications Corp.	B	Dividend	K	T					
29. Zimmer Holdings Inc.		None	K	T					
30. CMA Money Mkt. Fund	A	Dividend	K	T	return-princ	10/20	J	J	
31. UTS Municipal Bond Fund	C	Dividend	L	T					
32. Insured Mun. Bond (Blackrock National)	B	Dividend	L	T	conversion	7/23	L	none	
33. Evergreen Conn. Mun. Bond Fund	B	Dividend	L	T					
34. Evergreen High Income Mun. Bond Fund	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. Columbia Conn. Tx Exempt Fund	B	Dividend	L	T					
36. Merrill Lynch - Mun. Bond Fund	A	Dividend	J	T	converted to Blackrock	7/23			
37. Univ. of Connecticut	C	Interest	M	T	redeemed	3/1	K	A	
38. State of Connecticut Bond	C	Interest	L	T					
39. Danbury, CT Bond	A	Interest	J	T					
40. Middletown, CT Bond	A	Interest	K	T					
41. Wachovia	C	Interest	K	T					New Haven, CT
42. Bank of America	A	Interest	J	T					New Haven, CT
43. New Alliance	A	Interest	J	T					New Haven, CT
44. Wachovia	B	Interest	J	T					New Haven, CT
45. Kennebunk Savings	A	Interest	J	T	Closed	9/1	J	A	York, Maine
46. Webster Bank	A	Interest	J	T					New Haven, CT
47. Merrill Lynch Bank	A	Interest	J	T					New Haven, CT
48. Fidelity Mutual Fund - Held in Trust FBO	A	Dividend	K	T	Gift in Trust	11/1	K		
49. Colonial Broadwater Partnership	A	Dividend	K	T					
50. REAL PROPERTY									
51. North Haven, CT		None	O	W					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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52. York, Maine		None	P1	W	Sold	9/1	P1	H1	Sharon Sullivan

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544