

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FERNANDEZ, FERDINAND F.	2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 4/15/10
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE- SENIOR	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/09 to 12/31/09
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

RECEIVED
APR 23 AM 10:01
FEDERAL JUDICIAL
CLERK'S OFFICE

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

FERMANDEZ, FERDINAND F

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. West Publishing Co	Books	\$1154.50
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

FERNANDEZ, FERDINAND F

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts at U.S. Bank (formerly Pomona Fst. Fed)	See Item	11, below							
2. Accts at Wells Fargo Bank	C	Int	M	T					
3. Accts at JPMorgan Chase Bank	C	Int	L	T					
4. Accts at Bank of America	B	Int.	L	T					
5. Accts at U.S. Bank (formerly Downey Savings)	See Item	11 below.							
6. Accts at Union Bank	C	Int.	L	T					
7. Accts at Community Bank	B	Int	L	T					
8. Accts at Calif. Bank and Trust (formerly Vineyard)	C	Int	L	T					
9. Accts at Wells Fargo Bank (formerly Wachovia)	See Item	2 above							
10. Acct at Pacific Western Bank	C	Int.	L	T					
11. Acct at U.S. Bank	D	Int	M	T					
12. Accts at Community Commerce Bank	C	Int.	M	T					
13. Accts at Bank of the West	A	Int	L	T					
14. Acct at Commerce Bank	None		L	T					
15. Acct at First Bank of Georgia	C	Int			close	1/30	L		
16. Acct. at Access Nat. Bank	None				open	2/4	L		
17. Am Fund Small Cap World CIA	A	Div	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

P = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18 Am. Fund Cap Income Builder, CIA	B	Div	L	T	Distrib from IRA	1/22	K		
19 Am Fund New Economy CIA	A	Div	J	T					
20 Income Fund of America, CIA	A	Div	K	T	Distrib from IRA	1/22	J		
21 Am Cap Fund CIA	A	Div	J	T					
22 Am Fund Balanced Fund CIA	A	Div	J	T					
23 Am Fund Capital World Growth Fund CIA	A	Div	K	T					
24 Am Fund Euro Pacific Growth Fund CIA	A	Div	K	T					
25 Am Fund Growth Fund CIA	A	Div	K	T					
26 Am Fund Investment Company of America CIA	A	Div	J	T					
27 Am Fund New World Growth Fund CIA	A	Div	K	T					
28 Washington Mutual Inv. Fund CIA	A	Div	K	T					
29 Van Kampen Enterprise Fund CIA	A	Div	J	T					
30 Van Kampen Cap Growth Fund CIA	A	Div	K	T					
31 Van Kampen Real Estate Sec. Fund CIA	A	Div	K	T					
32 Van Kampen Comstock Fund CIA	A	Div	J	T	Distrib from IRA	1/22	J		
33 IRA (Items 34-40) (Note: Items 66-70 in prior report)									
34 Select Notes Trust Sec. LTs	A	Int.	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

I = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

B = \$15,001 - \$50,000

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

4/15/10

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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35 GNMA Pool Cert.	A	Int.	J	T					
36 Am Fund Cap. Inc Builder C/A	A	Div	J	T	Partial Distrib	1/22	K		
37 Am. Fund Growth Fund of Am. C/A	A	Div	J	T					
38 Am Fund Inc. Fund of Am. C/A	A	Div	None		Distrib	1/22	J		
39 Van Kampen Comstock Fund C/A.	A	Div	None		Distrib	1/22	J		
40 Van Kampen Enterprise Fund C/A.	A	Div	J	T					
41 U.S. T. Bills	A	Int.	K	T					
42 U.S. T. Bills	A	Int	L	T					
43 U.S. T. Bills	A	Int	K	T					
44 U.S. T. Bills	A	Int	K	T					
45 U.S. T. Bills	A	Int	K	T					
46 U.S. T. Bills	A	Int	K	T					
47 U.S. T. Bills	A	Int	K	T					
48 U.S. T. Bills	A	Int	K	T					
49 U.S. T. Bills	B	Int	N	T					
50 U.S. T. Bills	A	Int	L	T					
51 U.S. T. Bills	A	Int	L	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

P3 = \$25,000,001 - \$50,000,000

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Appraisal

V = Other

W = Estimated

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FERMANDEZ, FERDINAND F

Date of Report

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52 Trust #1; Income Beneficiary (Items 53-71)									
53 (a) Wells Fargo, Tce.									
54 (i) Wells Fargo Common Trust Funds & Cash	C	Div/Int	L	T					
55 (ii) I shares MSCI Emerging Mkts	A	Div	None		Sell	2/11	J	A	
56 Vanguard (iii) REIT Viper	A	Div.	J	T	Buy	2/11	J	—	
57 Templeton Global Bond Fund #616	A	Div	J	T					
58 SPIC4 U.S. Equity Fund (Common Equity)	A	Div	J	T					
59 I shares Russell 1000 Growth	A	Div	None		Sold	2/11	J	A	
60 I shares TR Russell 1000 Index Fund	A	Div	None		Sold	2/11	J	A	
61 I shares TR Russell Midcap Index Fund	A	Div	None		Sold	2/11	J	A	
62 I shares TR Dow Jones Real Estate Index	A	Div	None		Sold	2/11	J	A	
63 Hussman Strategic Growth Fund #601	A	Div.	J	T					
64 Powershares DB Commodity Index	A	Div.	J	T					
65 I shares MSCI EAFE	A	Div.	K	T					
66 I shares Composite Bond Fund	A	Div.	J	T	Buy	2/11	J	—	
67 I shares TR S&P Midcap	A	Div	J	T	Buy	2/11	J	—	
68 Keeney Small Cap Value Fund	A	Div	J	T	Buy 130X	4/21 8/12	J T	—	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	I = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FERNANDEZ, FERDINAND F.

Date of Report

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69 Vanguard Emerging Mkt. ETF	A	Div.	J	T	BUY	2/11	J	—	
70 SPDR DJ Wilshire Int'l Real Estate	A	DIV	J	T	BUY	2/11	J		
71 (b) Real Prop. in Cliffman Ac (Trust)	None		J	W					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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FERNANDEZ, FERDINAND F

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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FERNANDEZ, FERDINAND F

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

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NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544