

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Robert B	2. Court or Organization U.S. Court of Appeals, 4th Cir	3. Date of Report 05/09/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	[REDACTED] Education Trust, United Bank (corporate Trustee)
2. Member, Board of Directors	West Virginia University Alumni Association (Resigned position 4/2007)
3. Vice Chairman, Board of Directors	WVU College of Law Alumni Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Bank	Real Estate Loan	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TimeWarner Inc. (com)	B	Dividend	M	T					
2. Cisco Systems Inc. (com)		None	K	T					
3. Dell Computer Corp. (com)		None			Sold	04/25	K	A	
4. Proctor & Gamble Co. (com)	B	Dividend	M	T	Buy	04/19	K		
5.					Buy	11/21	K		
6. Intel Corp. (com)	B	Dividend	M	T	Buy	05/19	K		
7. Microsoft Corp. (com)	B	Dividend	L	T	Part sold	06/23	K	A	
8. RF Micro Devices Inc. (com)		None	L	T					
9. Sun Micro Systems Inc. (com)		None	K	T					
10. Wells Fargo & Co. (com)	B	Dividend			Sold	04/19	M	E	
11. Yahoo Inc. (com)		None			Sold	11/21	K	D	
12. Morgan Stanley Dean Witter & Co. (com)	C	Dividend	N	T					
13. Starbucks (com)		None	L	T	Buy	04/19	K		
14.					Buy	11/21	K		
15. Legg Mason Special Investment Trust	D	Distribution	K	T	Buy	04/20	J		
16. Legg Mason Value Trust		None	K	T					
17. Legg Mason Growth Trust		None	K	T	Buy	04/20	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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18.					Buy	11/21	J		
19. Legg Mason Emerging Markets Trust	B	Distribution	J	T	Buy	04/20	J		
20. Legg Mason International Equity Trust	B	Distribution	L	T	Buy	04/20	K		
21.					Buy	11/21	J		
22. RoyceTotal Return Fund	A	Distribution	K	T	Buy	04/20	J		
23.					Buy	11/21	J		
24. Legg Mason U.S. Small- Capitalization Value Trust	C	Distribution		T	Buy	04/20	K		
25. Legg Mason Partners Aggressive Growth Fund		None	K	T	Buy	04/20	K		
26. Legg Mason Opportunity Trust	A	Distribution	K	T	Buy	04/20	J		
27.					Buy	11/21	K		
28. Royce Pennsylvania Mutual Fund	A	Distribution	J	T	Buy	04/20	J		
29. TimeWarner Inc. (com)	A	Dividend	J	T					
30. Cisco Systems Inc. (com)		None	J	T	Sold	04/19	J	A	
31. Dell Computer Corp. (com)		None	J	T					
32. E.I. DuPont De Nemours & Co. (com)	A	Dividend	J	T					
33. Federal Home Loan Corp. (com)	A	Dividend	J	T					
34. Merck & Co. Inc.	A	Dividend	J	T	Sold	04/19	J	A	

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Oil and Gas Interests, Tyler and other counties, WV:									
36. a. East Resources Inc.	B	Dividend	J	W					
37. b. Ergon Oil Purchasing	A	Dividend	J	W					
38. c. Dominion Appalachian Dev. Inc.	A	Dividend	J	W					
39. [REDACTED] Education Trust (as follows):	A	Dividend	K	T					See Note 1 in Part VIII
40.		Interest							
41. - Note from Beneficiary dated 1/1/99									
42. - Note from Beneficiary dated 6/6/00									
43. - Federated Prime Obligations Inst. Srv. Shs. Fund #396					Buy	01/03	J		
44.					Buy	01/04	J		
45.					Part sold	01/05	J	A	
46.					Buy	01/06	J		
47.					Buy	01/10	J		
48.					Buy	01/23	J		
49.					Part sold	01/24	J	A	
50.					Buy	01/26	J		
51.					Part sold	01/27	J	A	

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52.					Buy	02/02	J		
53.					Buy	02/07	J		
54.					Buy	02/22	J		
55.					Buy	03/01	J		
56.					Buy	03/21	J		
57.					Part sold	03/22	J	A	
58.					Buy	03/28	J		
59.					Part sold	03/30	J	A	
60.					Buy	04/03	J		
61.					Buy	04/04	J		
62.					Part sold	04/17	J	A	
63.					Part sold	04/18	J	A	
64.					Buy	04/21	J		
65.					Buy	04/25	J		
66.					Buy	05/01	J		
67.					Buy	05/23	J		
68.					Buy	06/02	J		

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69.					Buy	06/21	J		
70.					Part sold	06/22	J	A	
71.					Buy	06/27	J		
72.					Buy	07/03	J		
73.					Buy	07/11	J		
74.					Buy	07/20	J		
75.					Buy	07/21	J		
76.					Part sold	07/24	J	A	
77.					Part sold	08/01	J	A	
78.					Buy	08/01	J		
79.					Part sold	08/11	J	A	
80.					Buy	08/22	J		
81.					Buy	09/01	J		
82.					Buy	09/01	J		
83.					Part sold	09/05	J	D	
84.					Buy	09/05	J		
85.					Part sold	09/20	J	A	

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value				
3. Value Method Codes (See Column C2)					

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86.					Buy	90/20	J		
87.					Part sold	09/22	J	A	
88.					Buy	09/26	J		
89. - Federated Total Return Bond Fund #288					Part sold	01/25	J	A	
90. - Pimco All Asset Fund Adm. Shs. #588					Part sold	01/25	J	A	
91. - Federated Kaufmann Small Cap. Fund #757					Part sold	01/25	J	A	
92. - Federated Kaufmann Fund - CLA Fd. #066					Part sold	01/25	J	A	
93. - Federated Cap. Appreciation Fund A #674					Part sold	01/25	J	A	
94.					Part sold	08/31	J	A	
95. - Federated Market Opportunities CLA #257					Sold	01/25	J	C	
96. - Goldman Sachs Large Cap. Value Fund CLA #1213					Part sold	01/25	J	B	
97. - Goldman Sachs Capital Growth Fund #807					Sold	01/25	J	C	
98. - Federated Strategic Value Fund CLA #661					Part sold	01/25	J	A	
99. - Fidelity Advisor Leveraged Fund CLA					Part sold	01/25	J	A	
100. - Fidelity Advisor Strategic Real Return					Buy	01/25	J		
101.					Part sold	08/31	J	B	
102. - Am. Funds Growth Fund of Am.CLA #05					Buy	01/25	J		

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2. Value Codes (See Columns C1 and D3)					
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103.					Part sold	08/31	J	A	
104. - UBS US Large Cap Equity Fund-A					Buy	01/25	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note 1: This Trust is reported under the special rules for an "Aggregate Ownership Arrangement."

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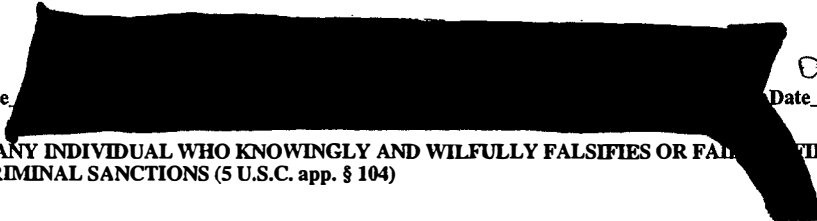
IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

 May 9, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544