

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lourie, Alan D	2. Court or Organization U.S. Ct of Appeals-Fed Circuit	3. Date of Report 03/10/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address Howard T. Markey Nat Cts Bldg 717 Madison Place NW Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust
2. Member	Temple Law School Board of Visitors
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 4/6/90	GlaxoSmithKline, successor to former employer, provides pension and retiree group health and life insurance
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Jan-Dec	GlaxoSmithKline pension	\$ 88,613
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Boston Patent Law Assoc	May 4-5	Boston, Mass	Attend judges' dinner	Airfare, hotel, and dinner at annual judges' dinner
2.	Federal Circuit Bar Association	June 28-29	Cambridge, MD	Attend bench and bar conf	Hotel and dinner
3.	Richard Linn Inn of Court	July 21-22	Chicago, IL	Attend dinner	Airfare, hotel, and dinner
4.	Temple Law School	October 5	Phila, PA	Attend Bd of Visitors Mtg	Hotel and dinner
5.	Seton Hall Law School	October 23	Newark, NJ	Give lecture	Trainfare, hotel, and dinner

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Tax Exempt MM Fund 1	C	Dividend	K	T					
2. Vanguard Tax Exempt MM Fund 2	A	Dividend	K	T					
3. Franklin Templeton Mutual Shares Fund	D	Dividend	M	T					
4. Vanguard Health Care Fund	E	Dividend	N	T					
5. T Rowe Price Cap Appreciation Fund (IRA)	D	Dividend	M	T					
6. Vamguard Windsor II Fund	D	Dividend	M	T					
7. Vanguard ST Bond Index Fund (IRA)	D	Dividend	M	T	buy	2/28	J		
8.					partial sale	5/14	J		see VIII
9.					partial sale	11/1	J		see VIII
10. TIAA-CREF	B	Interest	K	T	rollover	2/27	J		see VIII
11. PNC Bank checking account	A	Interest	J	T					
12. US Treasury Notes	C	Interest	L	T					
13. Vanguard 500 Index Fund	C	Dividend	M	T					
14. Vanguard Extended Market Index Fund	C	Dividend	N	T					
15. Vanguard Global Equity Fund	D	Dividend	M	T					
16. Fidelity Cap Appreciation Fund	D	Dividend	L	T					
17. Fidelity Value Fund	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Vanguard Internat Explorer Fund	D	Dividend	L	T					
19. Vanguard European Fund	C	Dividend	M	T					
20. T Rowe Price Summit Munic IM Fd	D	Dividend	N	T	buy	2/22	K		
21.					buy	5/22	K		
22.					buy	8/22	K		
23.					buy	11/22	J		
24. Vanguard Equity Income Fund	E	Dividend	N	T					
25. T Rowe Price Equity Income Fund	C	Dividend			sell	9/24	M	F	
26. T Rowe Price Short Term Bond Fund (IRA)	F	Dividend	P1	T	partial sale	2/22	K		see VIII
27.					partial sale	5/22	K		see VIII
28.					partial sale	8/22	K		see VIII
29.					partial sale	11/23	J		see VIII
30. Vanguard ST Tax Exempt Fund 1	E	Dividend	O	T	buy	2/9	O		
31. Vanguard ST Tax Exempt Fund 2	D	Dividend	N	T	buy	5/14	J		
32.					buy	11/1	J		
33. Vanguard Limited Term TE Fund	D	Dividend	N	T					
34. Vanguard Intermed TE Fund	E	Dividend	O	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

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35. TR Price Internat Disc Fd (IRA)	D	Dividend	M	T	buy	9/24	M		
36. TR Price New Horizon Fd (IRA)	D	Dividend	L	T	buy	9/25	L		
37. Trust 1 (following item)									
38. PNC Bank checking account		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Items 8, 9, 10, 26, 27, 28, and 29 in Part VII do not provide gains in D4, because they were within an IRA or a rollover so that there was no recognized gain.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544