

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Briscoe, Mary B	2. Court or Organization U.S. Court of Appeals, 10th Cir	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge/Active	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 645 Massachusetts, Suite 400 Lawrence, KS 66044	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. '84-pres

Kansas Public Employees Retirement System (KPERS)-Judges' Retirement Program-vested-will draw benefits upon retirement

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FINANCIAL
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Briscoe, Mary ■

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

2003

KU Law School - Director, Legal Aid Clinic

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

Wichita Bar Association

4/11/03 Mileage -- CLE presenter

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☒ NONE (No reportable income, assets, or transactions)									
1. Capitol Federal-savings	B	Interest	L	T					
2. Capitol Federal-checking	A	Interest	J	T					
3. Capitol Federal-CD's	A	Interest	K	T					
4. Invesco Dyanmaics Fund (formerly United Mo. Bk)		None			Sell	10/22	J		
5. Vanguard Variable Annuity (formerly Sec. Benefit)	D	Interest	K	T					
6. ING-IRA (formerly Aetna)		Dividend	K	T					
7. ING-SEP (formerly Aetna)	B	Interest	M	T					
8. ING-Deferred comp (formerly Aetna)	E	Div&Int	N	T					
9. Royal Alliance-mun.bond (Denham Spgs.)	B	Interest	K	T					
10. Reliant Energy		None			Sell	6/19	J	A	
11. Centerpoint Energy (spin off from Reliant Energy)		None			Sell	6/19	J		
12. USAA-Tax ex.longterm	B	Interest	K	T					
13. USAA-subscr.svgs	A	Dividend	J	T					
14. Ks.Tax Ex.Tr.Fd.	A	Interest	J	T					
15. Alliance Growth Fd.		None			Partial Sale	6/19	K		
16. Alliance Growth Fd.		None			Partial Sale	10/21	K		
17. Sun America Money Market	B	Interest	J	T					
18. Cowley Co. USD 470-bds	B	Interest	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$3,000,000 H2 = More than \$3,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$3,000,000 P2 = \$3,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,000 or more

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. H.Davidson-stock	A	Dividend	K	T					
20. ING-CAB-KsBdRegents Ret.(def.comp) (formerly Actna)	D	Div&Int	L	T					
21. Alliance Premiere Growth Fd,Class B		None			Sell	10/20	J		
22. AIM Constellation Fund, Class B		None			Sell	7/2	J		
23. AIM Blue Chip Fund, Class B		None			Sell	7/2	J		
24. AIM Charter Fund		None			Sell	7/2	J		
25. AIM Pr.Equity Fd (formerly Value Fund)		None			Sell	7/2	J		
26. AIM International Growth Fd (formerly Equity Fd)		None			Sell	7/2	J		
27. ADM Lifetime Plus ■ Variable Annuity(Glenbrook Life)	D	Interest	K	T					
28. Alliance Health Care Fund	D	Dividend	K	T					
29. ISHARES TR S&P stock	A	Dividend	K	T					
30. Alliance Capital Reserves Fd		None			Sell	7/2	K		
31. Sedgwick Co. Ks. School Bond	A	Interest	K	T	Buy	6/27	K		
32. Harbor Bond Fund	A	Interest	K	T	Buy	10/21	K		
33. Dodge & Cox Stock Fund	A	Dividend	K	T	Buy	11/19	K		
34. 3rd Ave. Intern'l Value Fund	A	Interest	J	T	Buy	11/4	J		
35. Vanguard Intermediate Term-Mun. Bond Fund	B	Interest	K	T	Buy	1/17	K		
36. Vanguard Intermediate Term-Mun. Bond Fund	B	Interest	J	T	Buy	6/20	J		

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	
	R = \$25,000,001-\$50,000,000		S = More than \$50,000,000		
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. Vanguard Intermediate Term-Mun. Bond Fund	B	Interest	K	T	Buy	7/15	K		

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(See Columns C1 and D3)	N = \$250,000-\$500,000	● = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 12, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544