

COPY

AO 10
Rev. 1/2007FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vazquez, Martha A	2. Court or Organization District Court - New Mexico	3. Date of Report 09/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge -Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Courthouse 106 S. Federal Pl., Second Flr Santa Fe, NM 87501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Council Member	University of Notre Dame Law School
2. Member	Hispanic Women's Council
3. Member	American Law Institute
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
 2007 SEP 20 A 10:39
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Vazquez, Martha A

Date of Report

09/14/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	US Dept. of Transportation, Federal Highway Admin. - Salary
2. 2006	City of Espanola, New Mexico - Salary
3. 2006	Institute of Building Technology & Safety for Board Members - Stipend
4. 2006	Self-employed, sole proprietor of Engineering Consulting Business
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. New Mexico Municipal League	National League of Cities (NLC) Congress of Cities Conf. (March 2006) Washington, D.C. - Travel Expenses
2. New Mexico Municipal League	NLC Expo of Cities (Dec. 2006) Reno, NV - Travel Expenses
3. Institute of Bldg. Technology & Safety	Board of Directors meeting (July 2006) Las Vegas, NV - Travel Expenses
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Vazquez, Martha A

Date of Report

09/14/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital One Mastercard	Credit Card	J
2.	Discover	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Vazquez, Martha A

Date of Report

09/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Savings Bond	A	Int./Div.	J	T					
2. U.S. Savings Bond	A	Int./Div.	J	T					
3. U.S. Savings Bond	A	Int./Div.	J	T					
4. U.S. Savings Bond	A	Int./Div.	J	T					
5. Leon Rios	A	None	K	T					See Note in Part VIII
6. Nicholas II, Mutual Fund, IRA	A	Dividend	K	T					
7. Del Norte Credit Union Accounts	D	Dividend	J	T					
8. Rental Property #1, Santa Fe, NM; Appraisal - 7/17/2000	E	Rent	M	Q					
9. Rental Property #2, Santa Fe, NM (X)	B	Rent	O	W					See Note in Part VIII
10. Liquor License, Santa Cruz, Santa Fe County, NM (X)	A	None	N	W					See Note in Part VIII
11. Liquore Store Bldg & Land, Santa Cruz, Santa Fe County, NM X	A	None	N	Q					See Note in Part VIII
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Vazquez, Martha A

Date of Report

09/14/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. Line 5 - Income Type - Leon Rios' payment of personal loan

Line 9 - I was living in this home until my marriage on June 16, 2006
I am now renting the house

Line 10 - Property belongs to my husband. We were married on June 16, 2006
Income Type - has value if sold but not selling liquor license at this time

Line 11 - Property belongs to my husband. We were married on June 16, 2006
Income Type - has value if sold but not selling property at this time

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Vazquez, Martha A

Date of Report

09/14/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

Sept. 14, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544