

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BENNETT, MARK W.		2. Court or Organization USDC/Northern District of Iowa		3. Date of Report 07/10/2009	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge		5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final		6. Reporting Period 01/01/2008 to 12/31/2008	
5b. ☐ Amended Report					
7. Chambers or Office Address 313 United States Courthouse 320 Sixth Street Sioux City, IA 51101		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Bennett, Mark W

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Aspen Publishing	\$4,000.00
2. 2008	Drake University Law School	\$3,600.00
3. 2008	Nebraska College of Law	\$6,058.09
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Government	1/3/08-1/5/08	Cedar Rapids, IA	Cthouse project meeting	airfare, lodging, meals
2. American Conference Institute	1/22/08-1/24/08	New York, NY	2008 EPLI Conference	airfare, lodging, meals
3. American College of Trial Lawyers	1/24/08-1/26/08	Frisco, TX	Nat. Symposium	airfare, lodging, meals
4. Federal Government	2/18/08-2/20/08	Cedar Rapids, IA	Design Workshop	airfare, lodging, meals
5. Federal Judicial Center	3/9/08-3/12/08	Redondo Beach, CA	Workshop for Dist Judges	airfare, lodging, meals
6. Federal Judicial Center	3/17/08-3/19/08	New York, NY	Workshop on Emp Law	mileage, lodging, meals
7. American Bar Association	3/26/08-3/29/08	Tucson, AZ	Nat. Conf. on EEO Law	airfare, lodging, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

8. Federal Judicial Center	3/30/08-4/2/08	Cambridge, MA	Law and Society Seminar	airfare, lodging, meals
9. Federal Government	4/15/08-4/17/08	Washington, DC	Long Range Planning Mtg.	airfare, lodging, meals
10Federal Government	4/20/08-4/21/08	Washington, DC	Defender Services Meeting	airfare, lodging, meals
11Minnesota CLE	5/27/08-5/30/08	St. Paul, MN	Upper Midwest Emp. Law In	airfare, lodging, meals
12Pacific Northwest Labor & Employment Law	6/4/08-6/6/08	Seattle, WA	Pac Coast Labor & Emp Law	airfare, lodging, meals
13Federal Government	6/8/08-6/11/08	Long Island, NY	Def. Services Meeting	airfare, lodging, meals
14Federal Government	6/18/08-6/21/08	Las Vegas, NV	InfoComm AV show	airfare, lodging, meals
15Federal Government	6/24/08-6/27/08	Long Beach, CA	Nat. Sent. Policy Inst.	airfare, lodging, meals
16Federal Government	7/20/08-7/22/08	St. Louis, MO	Courtroom Mock-up	airfare, lodging, meals
17Federal Government	8/11/08-8/15/08	Chicago, IL	8th Cir. Judicial Conf.	airfare, lodging, meals
18Federal Government	8/17/08-8/18/08	St. Louis, MO	Courthouse Meeting	airfare, lodging, meals
19American Bar Association	9/10/08-9/14/08	Denver, CO	ABA Annual Meeting	airfare, lodging, meals
20Iowa Intellectual Property Law Assoc.	10/9/08-10/10/08	Des Moines, IA	IA Intell. Prop. Law Mtg	mileage, lodging, meals
21Federal Government	10/20/08-10/21/08	Cedar Rapids, IA	Site Peer Review	airfare, lodging, meals
22Federal Government	12/9/08-12/14/08	Charleston, SC	Def. Services Comm Mtg	airfare, lodging, meals
23University of Nebraska Law School	Spring Semester	Lincoln, NE	Co-Teaching law class	mileage, lodging, meals
24Drake Law School	Spring Semester	Des Moines, IA	Co-Teaching law class	mileage, lodging, meals
25.				
26.				
27.				
28.				
29.				
30.				
31.				

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (IRA/Schwab)									
2. Aim Global Health Care		None	J	T					
3. Buffalo Small Cap Fund		None			Sold	1/15	J	C	
4. Cambiar Opportunity Fund		None			Sold	1/15	J	D	
5. CGM Focus Fund	A	Dividend	J	T	Buy (add'l)	1/29	J		
6.					Buy (add'l)	2/21	J		
7. Columbia Select Opportunity Fund	A	Dividend	J	T					
8. Columbia Value & Restructuring	A	Dividend	J	T					
9. Excelsior Equity Opportunities Fund		None			Merged (with line 7)				
10. Excelsior Value and Restructuring Fund		None			Merged (with line 8)				
11. Harding Loevner Emerging	A	Dividend	J	T	Sold (part)	7/28	J	A	
12.					Sold (part)	8/27	J	B	
13.					Sold (part)	10/29	J	B	
14. Janus Overseas Fund		None			Sold (part)	9/26	J	B	
15.					Sold	11/24	J	B	
16. Metzler/Payden European		None			Sold (part)	4/24	J	B	
17.					Sold (part)	6/24	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Sold	7/28	J	B	
19. Rainier Small/Mid Cap Equity Portfolio		None	J	T	Sold (part)	5/23	J	B	
20.					Sold (part)	11/24	J	A	
21.					Sold (part)	12/30	J	B	
22. T Rowe Price Capital		None			Sold	1/15	J	D	
23. Wasatch International Growth Fund		None			Sold	1/15	J	B	
24. Schwab Money Market Fund		None	J	T					
25. Non-IRA (Fidelity Account)									
26. ANTX		None	J	T					
27. Fidelity Emerging Markets	A	Dividend	J	T	Buy (add'l)	11/4	J		
28. Franklin Income Class C	A	Dividend	J	T					
29. FundX Aggressive Upgrader Fund		None	J	T	Buy	1/11	J		
30. Hartford Capital Appreciation CL C	A	Dividend	J	T					
31. JDS Uniphase		None	J	T					
32. Surebeam Corp.		None	J	T					
33. Wasatch Core Growth		None			Sold	1/10	J	D	
34. WasatchUltra Growth		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

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35. Williams Co's Inc.		None			Sold	1/14	J	D	
36. Miscellaneous Holdings									
37. Iowa College Savings Account-- Mark Bennett custodian		None	K	T	Contribute		J		
38. Iowa College Savings Account . . . custodian		None	K	T	Contribute		J		
39. CKG ACCT; Fidelity, Boston MA	A	Interest	J	T					
40. Wells Fargo Checking Account	A	Interest	J	T					
41. Iowa/Nebraska State Bank Checking Account	A	Interest	J	T			J		
42. REINSURANCE AGREEMENT; 1st Colony Life Ins. Co.		None	N	W					
43. Belcour Pension Invest VI		None	J	T					
44. LMTD PTRSHIP; Riverfront Ass'n; Des Moines,		None	J	W					
45. LMTD PTRSHIP-Shearson Senior Income Fund		None	J	W					
46. LMTD PTRSHIP-Shearson Coast Savings		None	J	W					
47. LMTD PTRSHIP-Caryle Real Estate		None	J	W					
48. RESIDENTIAL LOT; Sioux City, IA		None	K	W					
49. IRA Fidelity									
50. Aim International Small Company	A	Dividend	J	T	Sold (part)	9/30	J		
51. Allianceber International		None			Sold (part)	1/2	J	B	

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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

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52.					Sold	11/14	J		
53. Baron Partners Fund		None	J	T					
54. Berkshire Hathaway Inc DEL CL B		None	K	T					
55. FBR Focus Fund * see notes	A	Dividend	J	T	Sold (part)	9/26	J	A	
56. Fidelity Cash Reserves	A	Dividend	J	T					
57. Fidelity Convertible Securites		None			Sold	2/29	J		
58. Fidelity Emerging Markets		None	J	T	Sold (part)	2/5	J	B	
59. Fidelity New Millenium		None			Sold	2/29	J		
60. First Eagle Global Class C		None	J	T	Buy	2/26	J		
61.					Buy (add'l)	5/2	J		
62.					Buy (add'l)	10/10	J		
63.					Buy (add'l)	10/14	J		
64.					Buy (add'l)	10/17	J		
65.					Buy (add'l)	10/21	J		
66. First Potomac RLTY		None	J	T	Buy	11/13	J		
67. Franklin Income Class C	A	Dividend			Sold	3/5	J	A	
68. FundX Aggressive Upgrader Fund		None	J	T	Buy	1/3	J		

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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

BENNETT, MARK W.

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69. Harding Loevner Emerging Market		None			Sold (part)	2/5	J	B	
70.					Sold (part)	7/16	J	A	
71.					Sold (part)	9/26	J	A	
72.					Sold	11/12	J		
73. Ishares Inc. MSCI BRazil Index Fund	A	Dividend	J	T	Buy	3/10	J		
74. Lord Abbett Develop Growth		None	J	T	Sold (part)	9/30	J		
75. Matthews Pacific Tiger Fund	A	Dividend	J	T	Sold (part)	1/2	J	C	
76. Metzler Payden		None	J	T	Sold (part)	4/24	J		
77. Neurberger Berman Partners Trust	A	Dividend	J	T					
78. Oakmark Equity & Income FD	A	Dividend	K	T	Buy (add'l)	9/18	J		
79.					Buy (add'l)	10/14	J		
80. ProShares Ultra Short Consumer Services	A	Dividend	J	T	Buy	3/7	J		
81.					Sold (part)	9/22	J		
82.					Sold (part)	10/15	J	A	
83.					Buy (add'l)	10/21	J		
84.					Sold (part)	11/17	J	A	
85.					Buy (add'l)	11/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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86.					Sold (part)	11/20	J		
87.					Buy (add'l)	11/24	J		
88.					Sold (part)	11/24	J	A	
89.					Buy (add'l)	11/25	J		
90.					Sold (part)	11/25	J	B	
91.					Sold (part)	11/25	J	A	
92.					Buy (add'l)	11/28	J		
93. ProShares Ultra Short FINLS	A	Dividend	J	T	Buy	3/7	J		
94.					Sold (part)	7/16	J	A	
95.					Sold (part)	9/22	J	A	
96.					Sold (part)	10/15	J	A	
97.					Buy (add'l)	11/25	J		
98.					Sold (part)	11/25	J	A	
99.					Buy (add'l)	11/25	J		
100.					Buy (add'l)	12/1	J		
101. ProShares Ultra Short MSCI Emerging Mkt	A	Dividend	J	T	Buy	3/7	J		
102.					Buy (add'l)	3/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	9/22	J	A	
104.					Sold (part)	10/20	J	A	
105. ProShares Ultra Short Oil & Gas	A	Dividend			Buy	4/29	J		
106.					Sold	8/4	J	A	
107. Oppenheimer Gold & SPL Minerals		None	J	T	Buy	1/8	J		
108. Perkins Discovery		None	J	T					
109. Quantitative Emerging Markets	A	Dividend	J	T					
110. T. Rowe Price	A	Dividend	J	T	Buy (add'l)	10/15	J		
111. Van Eck Emerging Markets	A	Dividend	J	T					
112. Vanguard Inflation	A	Dividend	K	T	Buy (add'l)	3/3	J		
113. Wasatch Hoisington US	A	Dividend	J	T					
114. IRA Smith Barney									
115. Bank Deposit Program * see notes		None							
116. Citibank NA South Dakota Bank Deposit Program		None	J	T	Open	9/16	J		
117. Constellation Engery Grp		None	J	T	Buy	6/20	J		
118. Diamonds Trust	A	Dividend	J	T	Buy	7/31	J		
119. First Eagle Global Fund		None	J	T	Buy	2/4	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120.					Buy (add'l)	5/19	J		
121.					Buy (add'l)	5/19	J		
122. Franklin Income Fund Class C	A	Dividend	K	T	Buy (add'l)	12/18	J		
123.					Buy (add'l)	12/18	J		
124. Hartford Capital Appreciation Fund	A	Dividend	J	T					
125. Henderson European Focus Fund	A	Dividend	J	T					
126. ING Groep	A	Dividend	J	T	Buy	6/10	J		
127. Legg Mason Value Trust		None			Sold	1/2	J		
128. National Bank of Greece	A	Dividend	J	T	Buy	5/30	J		
129.					Buy (add'l)	5/30	J		
130. Olstein All Cap Value Fund		None			Sold	1/2	K		
131. Oppenheimer Gold and Special Minerals Class C	A	Dividend	J	T	Buy	1/3	J		
132. Prudential Financial Inc.		None	J	T	Buy	6/24	J		
133. Washington Mutual Bank COD		None	K	T					
134. Western Asset Money Market Fund		None			Redeemed (part)	2/7	J		
135.					Redeemed (part)	5/22	J		
136.					Redeemed (part)	6/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Redeemed (part)	6/17	J		
138.					Redeemed (part)	8/5	J		
139.					Redeemed (part)	9/22	J		
140.					Redeemed	12/23	J		
141. Wilmington Savings Fund CD * see notes		None							

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FBR Focus Fund (#55) was inadvertently reported as completely sold in 2007. The 2007 FDR report should have indicated only a partial sale on 12.28.07

Bank Deposit Program (#115) was closed in September of 2007.

Wilmington Savings Fund PC (#141) matured in August of 2007. The 2007 FDR report should have indicated this. Thus, it is no longer owned.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

BENNETT, MARK W.

Date of Report

07/10/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544