

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                       |                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br>McMahon, Colleen                                                                                                                      | <b>2. Court or Organization</b><br>US District Court-Southern Dis                                                                                                                                                                                                     | <b>3. Date of Report</b><br>08/06/2008                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>US District Judge-Active                                                       | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br>01/01/2007<br>to<br>12/31/2007 |
| <b>7. Chambers or Office Address</b><br>500 Pearl Street<br>New York, NY 10007<br>Chambers 640 Moynihan Courthou                                                                                       | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                      |                                                              |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                       |                                                              |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. Trustee      | Trust # 1                          |
| 2. Trustee      | Trust #2                           |
| 3. Trustee      | Foundation #1                      |
| 4. Director     | Music Bridges Internatinal Inc     |
| 5.              |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|----|-------------|------------------------|----------------------------------------|
| 1. |             |                        |                                        |
| 2. |             |                        |                                        |
| 3. |             |                        |                                        |
| 4. |             |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u>                            |
|----|-------------|---------------------------------------------------|
| 1. | 2007        | Morgan Stanley & Co.-Deferred Compensation        |
| 2. | 2007        | [REDACTED]-Consulting Fee & Deferred Compensation |
| 3. | 2007        | Emmis Communications Corp -Director Fees          |
| 4. | 2007        | CSG Systems Inc-Director Fee                      |
| 5. | 2007        | Linx Partners LLC- Director Fee                   |
| 6. | 2007        | Kohl's Department Stores Inc-Director Fee         |
| 7. | 2007        | Northstar Realty Finance Corp -Director Fee       |
| 8. | 2007        | [REDACTED] Managing Director                      |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|----|---------------|--------------|-----------------|----------------|-------------------------------|
| 1. |               |              |                 |                |                               |
| 2. |               |              |                 |                |                               |
| 3. |               |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>                            | <u>DESCRIPTION</u>   | <u>VALUE CODE</u> |
|----|--------------------------------------------|----------------------|-------------------|
| 1. | Morgan Stanley Venture Investors III (IRA) | Capital Contribution | K                 |
| 2. | Morgan Stanley Venture Investors III LP    | Capital Contribution | K                 |
| 3. | Skyline Venture Partners LP                | Capital Contribution | K                 |
| 4. |                                            |                      |                   |
| 5. |                                            |                      |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Thornburg Ltd. Term Muni. Fd Nat'l                                                                                       | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 2. Kohl's Corp. Com (IRA)                                                                                                   |                                         | None                                          | O                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 3. Thornberg Value Fund Class A Mut Fd. (IRA)                                                                               | F                                       | Dividend                                      | O                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 4. PW First Jewel Associate,L.P.                                                                                            |                                         | None                                          | J                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 5. Morgan Stanley Venture Investors III LP (IRA)                                                                            |                                         | None                                          | K                                               | U                                         | Partial Sale                                    | 7/17                          | J                               |                                |                                                                   |
| 6. Hewlett Packard Com (IRA)                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 1/29                          | J                               | B                              |                                                                   |
| 7. Intel Corp Com (Trust #2)                                                                                                |                                         | None                                          |                                                 |                                           | Sold                                            | 1/19                          | K                               | E                              |                                                                   |
| 8. Kohl's Corp Com (Trust #2)                                                                                               |                                         | None                                          | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 9. Morgan Stanley Venture Investors III LP                                                                                  |                                         | None                                          | K                                               | U                                         | Partial Sale                                    | 7/17                          | J                               |                                |                                                                   |
| 10. Psychemedics Corp. Com                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 11. Thornburg Ltd Term Muni Fund Nat'l                                                                                      | E                                       | Dividend                                      |                                                 |                                           | Sold                                            | 3/26                          | P1                              |                                |                                                                   |
| 12. EES 1986 LP                                                                                                             |                                         | None                                          | J                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 13. Citibank(Checking)                                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 14. Citibank(Savings)                                                                                                       | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 1/2                           | J                               |                                |                                                                   |
| 15. Citibank(Savings)                                                                                                       | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 1/2                           | J                               |                                |                                                                   |
| 16. Citibank(Savings)                                                                                                       | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 1/2                           | J                               |                                |                                                                   |
| 17. Skyline Venture Partners,L.P.                                                                                           |                                         | None                                          | M                                               | U                                         | Partial Sale                                    | 11/15                         | J                               |                                |                                                                   |

|                                                  |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Information Associates-II,L.P.                                                                                          |                                         | None                                          |                                                 |                                           | Terminated                                      | 11/19                         | K                               |                                |                                                                   |
| 19. MSCP III L.P.                                                                                                           | D                                       | Distribution                                  | L                                               | U                                         | Partial Sale                                    | 1/12                          | N                               |                                | See Note # 1                                                      |
| 20. McKinley Capital Realty Partners,LLC                                                                                    |                                         | None                                          | J                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 21. [REDACTED] (1998 300,000)                                                                                               |                                         | None                                          | N                                               | R                                         |                                                 |                               |                                 |                                |                                                                   |
| 22. [REDACTED] (1998 300,000)                                                                                               |                                         | None                                          | N                                               | R                                         |                                                 |                               |                                 |                                |                                                                   |
| 23. Mellon Bank of NE                                                                                                       | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 11/19                         | K                               |                                |                                                                   |
| 24. Bond Finance International Con Due<br>7/(IRA)                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 25. Northwestern Mututal Life                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 26. Citibank Checking (Trust # 2)                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 27. EVILCO Life Insurnace (Trust # 1)                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 28. Northwestern Mututal Life (Trust#1)                                                                                     | A                                       | Interest                                      | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 29. Northwestern Mututal Life(Trust #1)                                                                                     |                                         | None                                          | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 30. PHL Insurnace (Trust #1)                                                                                                |                                         | None                                          | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 31. Passport Money Market Fund (IRA)                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 32. SFM Domestic Investments LLC                                                                                            |                                         | None                                          | P1                                              | U                                         | Buy                                             | 2/14                          | K                               |                                |                                                                   |
| 33.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/9                          | L                               |                                |                                                                   |
| 34.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 2/14                          | M                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 8/5                           | K                               |                                |                                                                   |
| 36.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/29                         | K                               |                                |                                                                   |
| 37. Sunrise Capital Special Partners, LLC                                                                                   | G                                       | Distribution                                  | N                                               | U                                         | Partial Sale                                    | 4/6                           | N                               |                                | See Note # 1                                                      |
| 38. CSG Systems International Inc.Com                                                                                       |                                         | None                                          | N                                               | T                                         | Donation                                        | 9/5                           | N                               |                                |                                                                   |
| 39.                                                                                                                         |                                         |                                               |                                                 |                                           | Donation                                        | 11/14                         | O                               |                                |                                                                   |
| 40. Quantum Fund(IRA)                                                                                                       |                                         | None                                          |                                                 |                                           | Liquidated                                      | 1/2                           | P1                              |                                | See Note # 6                                                      |
| 41. Summit Mariner, LLC                                                                                                     |                                         | None                                          | P1                                              | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 42. Skyline Venture Partners Qualified Purchase Fund II                                                                     | G                                       | Distribution                                  | O                                               | U                                         | Buy                                             | 10/17                         | J                               |                                | See Note # 1                                                      |
| 43.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 8/16                          | N                               |                                |                                                                   |
| 44.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/17                         | K                               |                                |                                                                   |
| 45.                                                                                                                         |                                         |                                               |                                                 |                                           | Stock Distr                                     | 5/18                          | J                               |                                | See Note # 3                                                      |
| 46. Trident Capital Fund-IV LP                                                                                              | D                                       | Distribution                                  | L                                               | U                                         | Partial Sale                                    | 6/15                          | J                               |                                | See Note # 1                                                      |
| 47. Sentinel Capital Partners II LP                                                                                         | G                                       | Distribution                                  | L                                               | U                                         | Partial Sale                                    | 8/6                           | J                               |                                | See Note # 1                                                      |
| 48.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/19                         | N                               |                                |                                                                   |
| 49. [REDACTED] LLC                                                                                                          | H1                                      | Distribution                                  | N                                               | U                                         | Partial Sale                                    | 12/20                         | P1                              |                                | See Note # 1                                                      |
| 50. Summit Mariner LLC/JPMorgan Checking                                                                                    | A                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 51. Rental # 1 /JPMorgan Checking                                                                                           | B                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Rental # 1 [REDACTED] (1999 \$ 825,000,)(2006 \$1,100,000)                                                              |                                         | None                                          | P1                                              | R                                         |                                                 |                               |                                 |                                |                                                                   |
| 53. Rental # 2 New York, NY(1999 \$ 900,000)                                                                                | E                                       | Rent                                          | O                                               | R                                         |                                                 |                               |                                 |                                |                                                                   |
| 54. Rental # 2/JP Morgan -Checking                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 55. JP Morgan(Checking)-                                                                                                    | C                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 56. Andex Resources LLC                                                                                                     |                                         | None                                          | O                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 57. Sorco Interfund LP                                                                                                      |                                         | None                                          |                                                 |                                           | Partial Sale                                    | 8/21                          | M                               |                                |                                                                   |
| 58.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 9/10                          | J                               |                                |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 9/25                          | L                               |                                |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/2                          | K                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Sale                                            | 10/9                          | J                               |                                |                                                                   |
| 62. Long Island Power Authority Bond 6% 12/07                                                                               | E                                       | Interest                                      |                                                 |                                           | Redemption                                      | 12/3                          | N                               |                                |                                                                   |
| 63. NYS Dorm. Authority Bond 5.75% due 07/08                                                                                | E                                       | Interest                                      | O                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 64. NYS Dorm. Authority Bond 7.5% due 07/10                                                                                 | C                                       | Interest                                      | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 65. JP Morgan NY Tax-Free M/M Fund                                                                                          | F                                       | Interest                                      | P2                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 66. Vacant Land [REDACTED] (1999 \$ 425,000 )                                                                               |                                         | None                                          | N                                               | R                                         |                                                 |                               |                                 |                                |                                                                   |
| 67. Five Point Fund LLC                                                                                                     |                                         | None                                          | K                                               | U                                         | Partial Sale                                    | 5/14                          | J                               |                                |                                                                   |
| 68. Trident Capital Fund V LP                                                                                               | D                                       | Distribution                                  | N                                               | U                                         | Buy                                             | 3/15                          | K                               |                                | See Note # 1                                                      |

|                                                  |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

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Date of Report

08/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 6/22                          | K                               |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 9/19                          | J                               |                                |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 12/26                         | K                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Stock Distr                                     | 5/1                           | J                               |                                | See Note # 3                                                      |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Stock Distr                                     | 8/21                          | J                               |                                | See Note # 3                                                      |
| 74. Nassau Point Partners I LP                                                                                              | H1                                      | Distribution                                  |                                                 |                                           | Terminated                                      | 5/15                          | P1                              |                                | See Note # 1                                                      |
| 75. Nimblegen Systems LLC Preferred                                                                                         |                                         | None                                          |                                                 |                                           | Sold                                            | 8/9                           | P2                              | H1                             |                                                                   |
| 76. Karsch Capital II LP                                                                                                    | G                                       | Distribution                                  | P1                                              | U                                         |                                                 |                               |                                 |                                | See Note # 1                                                      |
| 77. Origin Investors LP                                                                                                     | C                                       | Distribution                                  | J                                               | U                                         | Partial Sale                                    | 9/6                           | J                               |                                | See Note # 1                                                      |
| 78. Linx Partners (Q) LP                                                                                                    | H1                                      | Distribution                                  | P1                                              | U                                         | Partial Sale                                    | 9/18                          | N                               |                                | See Note # 1                                                      |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 9/5                           | L                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 9/5                           | L                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/28                         | P1                              |                                |                                                                   |
| 82. Grove Capital Commitment Partners LP                                                                                    | G                                       | Distribution                                  | P1                                              | U                                         | Buy                                             | 1/24                          | M                               |                                | See Note # 1                                                      |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 9/26                          | L                               |                                |                                                                   |
| 84.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 1/27                          | M                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 3/14                          | O                               |                                |                                                                   |

|                                                  |                                                                                 |                                                    |                                                                                     |                                                          |                       |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J=\$15,000 or less<br>N=\$250,001 - \$500,000<br>P3=\$25,000,001 - \$50,000,000 | K=\$15,001 - \$50,000<br>O=\$500,001 - \$1,000,000 | L=\$50,001 - \$100,000<br>P1=\$1,000,001 - \$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001 - \$250,000<br>P2=\$5,000,001 - \$25,000,000 |                       |
| 3. Value Method Codes<br>(See Column C2)         | Q=Appraisal<br>U=Book Value                                                     | R=Cost (Real Estate Only)<br>V=Other               | S=Assessment<br>W=Estimated                                                         | T=Cash Market                                            |                       |

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| 86.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial sale                                    | 9/26                          | N                               |                                |                                                                   |
| 87. Martha's Vineyard Golf Partners LLC                                                                                     |                                         | None                                          | K                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 88. Silvaris Corp Preferred B                                                                                               |                                         | None                                          | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 89. NY College Savers Program Series 151                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 90. JP Morgan (Checking)                                                                                                    | G                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 91. NY State Dorm.Auth 6.1% due 07/19                                                                                       | E                                       | Interest                                      | O                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 92. NY NY Transitional 5.3% due 11/09                                                                                       | E                                       | Interest                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 93. NYS Mtge Agency 5.65% due 10/11                                                                                         | D                                       | Interest                                      |                                                 |                                           | Sold                                            | 10/25                         | M                               |                                |                                                                   |
| 94. Rome NY HSG DEV 6.25% due 01/18                                                                                         | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 95. Oneida Cnty NY 6.25% due 06/15                                                                                          | E                                       | Interest                                      | O                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 96. NY City HSG Dev 5.5% due 11/09                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 97. JK & B Capital IV QIP LP                                                                                                |                                         | None                                          | P1                                              | U                                         | Buy                                             | 1/17                          | L                               |                                |                                                                   |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 4/27                          | M                               |                                |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Partial Sale                                    | 5/1                           | L                               |                                |                                                                   |
| 100. Perseus Biopharmaceutical Investor LP                                                                                  | G                                       | Distribution                                  | P1                                              | U                                         | Buy                                             | 3/2                           | L                               |                                | See Note # 1                                                      |
| 101.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 6/6                           | L                               |                                |                                                                   |
| 102.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/7                           | M                               |                                |                                                                   |

|                                                  |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
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| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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| 103.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 5/2                           | N                               |                                |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 9/7                           | N                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/25                         | M                               |                                |                                                                   |
| 106. Skyline Venture Partners Qualified<br>Purchaser Fund III LP                                                            |                                         | None                                          | O                                               | U                                         | Buy                                             | 1/11                          | J                               |                                |                                                                   |
| 107.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 2/5                           | J                               |                                |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 3/6                           | K                               |                                |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 6/7                           | J                               |                                |                                                                   |
| 110.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 7/26                          | J                               |                                |                                                                   |
| 111.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/10                         | J                               |                                |                                                                   |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           | Stock Dist                                      | 5/24                          | J                               |                                | See Note # 3                                                      |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Stock Dist                                      | 10/27                         | J                               |                                | See Note # 3                                                      |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           | Stock Distr                                     | 11/30                         | K                               |                                | See Note # 3                                                      |
| 115.                                                                                                                        |                                         |                                               |                                                 |                                           | Stock Distr                                     | 7/28                          | K                               |                                | See Note # 3                                                      |
| 116. Towerbrook Private Equity Partners II<br>LP(name change)                                                               | H1                                      | Distribution                                  | P2                                              | U                                         | Partial Sale                                    | 8/9                           | P2                              |                                | See Note # 1                                                      |
| 117. TCP Limited Partners LLC                                                                                               | H1                                      | Distribution                                  | P3                                              | U                                         | Partial Sale                                    | 8/9                           | P2                              |                                | See Note # 1                                                      |
| 118.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 12/21                         | M                               |                                |                                                                   |
| 119. Grove II LP                                                                                                            | H1                                      | Distribution                                  | O                                               | U                                         | Partial Sale                                    | 1/29                          | M                               |                                | See Note # 1                                                      |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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| 120.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 3/10                          | O                               |                                |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 3/19                          | M                               |                                |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 12/26                         | O                               |                                |                                                                   |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 1/29                          | K                               |                                |                                                                   |
| 124.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/26                         | K                               |                                |                                                                   |
| 125. Tactics II LP                                                                                                          |                                         | None                                          | O                                               | U                                         | Buy                                             | 8/24                          | M                               |                                |                                                                   |
| 126. Bk of NY-Govt 3rd Party Repo (Found #1)                                                                                | D                                       | Interest                                      |                                                 |                                           | Sold                                            | 7/20                          | M                               |                                |                                                                   |
| 127. JP Morgan Checking(Foundation #1)                                                                                      | A                                       | Interest                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 128. Nassau Point Partners II LP                                                                                            | H1                                      | Distribution                                  |                                                 |                                           | Terminated                                      | 5/15                          | P1                              |                                | See Note # 1                                                      |
| 129. MM Community Funding III Sub Note                                                                                      | F                                       | Dividend                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 130. OR Music LLC                                                                                                           | F                                       | Distribution                                  | K                                               | U                                         | Partial Sale                                    | 11/26                         | M                               |                                | See Note # 1                                                      |
| 131. The EPI Companies LLC                                                                                                  |                                         | None                                          | L                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 132. Windstar Capital LLC Notes Receivable                                                                                  |                                         | None                                          | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 133. Jetblue Airways Rstd Com                                                                                               |                                         | None                                          |                                                 |                                           | Sold                                            | 9/14                          | K                               | E                              |                                                                   |
| 134. Jetblue Airways Rstd Com                                                                                               |                                         | None                                          |                                                 |                                           | Sold                                            | 9/14                          | K                               | E                              |                                                                   |
| 135. Jetblue Airways Rstd Com                                                                                               |                                         | None                                          |                                                 |                                           | Sold                                            | 9/14                          | K                               | E                              |                                                                   |
| 136. SFM Participation LP                                                                                                   |                                         | None                                          | J                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
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| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. Capital Income Builders Fd CI A(IRA)                                                                                   | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 138. Kinderhook NY GO 6.0 6/15/07                                                                                           | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 6/15                          | L                               |                                |                                                                   |
| 139. NYS Env 6.7 6/15/10                                                                                                    | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 140. MTA 5.00 11/15/07                                                                                                      | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 11/15                         | M                               |                                |                                                                   |
| 141. NY HSG 6.375 9/15/15                                                                                                   | D                                       | Interest                                      |                                                 |                                           | Sold                                            | 9/18                          | L                               |                                |                                                                   |
| 142. NYC Health & Hosp 4.2 2/15/07                                                                                          | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 2/15                          | M                               |                                |                                                                   |
| 143. Onondaga Cnty NY 4.125 5/1/07                                                                                          | B                                       | Interest                                      |                                                 |                                           | Redemption                                      | 5/1                           | M                               |                                |                                                                   |
| 144. MSILF M/M                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 145. MSILF M/M                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 146. MSILF M/M                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 147. Nassau Cnty NY GO 5.125 1/1/07                                                                                         | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 1/2                           | M                               |                                |                                                                   |
| 148. NYS Dormitory 5.0 8/15/07                                                                                              | C                                       | Interest                                      |                                                 |                                           | Redemption                                      | 8/15                          | L                               |                                |                                                                   |
| 149. NYS TWY Auth 5.0 4/1/07                                                                                                | B                                       | Interest                                      |                                                 |                                           | Redemption                                      | 4/2                           | L                               |                                |                                                                   |
| 150. Puerto Rico Pub Bldg 4.0 7/1/26                                                                                        | E                                       | Interest                                      |                                                 |                                           | Sold                                            | 7/2                           | N                               |                                |                                                                   |
| 151. NYS Dormitory 5.0 2/15/07                                                                                              | C                                       | Interest                                      |                                                 |                                           | Redemption                                      | 2/15                          | M                               |                                |                                                                   |
| 152. Suffolk County WTR 4.625 6/1/07                                                                                        | A                                       | Interest                                      |                                                 |                                           | Redemption                                      | 6/1                           | K                               |                                |                                                                   |
| 153. Nassau Cnty NY DD 6.5 5/1/07                                                                                           | C                                       | Interest                                      |                                                 |                                           | Redemption                                      | 5/1                           | M                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

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Name of Person Reporting

McMahon, Colleen

Date of Report

08/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. NYS Urban Dev 5.7 1/1/27                                                                                               | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 1/3                           | M                               |                                |                                                                   |
| 155. Glen Cove NY Ser A 5.375 1/15/10                                                                                       | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 156. NYS Dormitory 9.0 10/10/07                                                                                             | E                                       | Interest                                      |                                                 |                                           | Redemption                                      | 10/1                          | M                               |                                |                                                                   |
| 157. Northstar Realty Finance Corp Com                                                                                      | G                                       | Dividend                                      | P1                                              | T                                         | Buy                                             | 8/13                          | N                               |                                |                                                                   |
| 158.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/18                          | M                               |                                |                                                                   |
| 159. Quadramed Corp Com                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 11/5                          | J                               | B                              |                                                                   |
| 160. Bank of NY Gov't Repo MM                                                                                               | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 9/10                          | N                               |                                |                                                                   |
| 161. Tidewater Acquisition Inc Com                                                                                          |                                         | None                                          | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 162. Tidewater Acquisition Inc Pfd                                                                                          | D                                       | Dividend                                      | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 163. ARX Global HighYield Sec Fund I LP                                                                                     |                                         | None                                          | P1                                              | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 164. Eclectic Investment Partners LP                                                                                        |                                         | None                                          | O                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 165. Kinderhook Capital I LP                                                                                                |                                         | None                                          | P1                                              | U                                         | Buy                                             | 2/1                           | L                               |                                |                                                                   |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 5/31                          | K                               |                                |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/5                          | K                               |                                |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 2/1                           | L                               |                                |                                                                   |
| 169. Morris Global Strategies LP                                                                                            | G                                       | Distribution                                  | P1                                              | U                                         | Buy                                             | 1/2                           | M                               |                                | See Note # 1                                                      |
| 170. Capital World Grth & Income Fd Cl A(IRA)                                                                               | E                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 9/17                          | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

McMahon, Colleen

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. Thornburg CRE GWT I                                                                                                    | A                                       | Dividend                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 172. Thornburg CRE GWT I                                                                                                    | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 173. Thornburg CRE GWT I                                                                                                    | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 174. STIT Liq Asset(IRA)                                                                                                    |                                         | None                                          |                                                 |                                           | Closed                                          | 1/2                           | J                               |                                |                                                                   |
| 175. Thornburg-Core Growth A(Trust #2)                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 1/2                           | L                               |                                |                                                                   |
| 176. Long Island Power 5.5 12/1/09                                                                                          | F                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 177. NYC GO 5.25 8/1/09                                                                                                     | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 178. NY NY DD 5.0 8/15/16                                                                                                   | D                                       | Interest                                      |                                                 |                                           | Sold                                            | 8/15                          | M                               |                                |                                                                   |
| 179. NYS Dormitory 5.0 8/1/07                                                                                               | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 8/1                           | M                               |                                |                                                                   |
| 180. NYS Dormitory 5.0 7/1/09                                                                                               | C                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 181. NYS Dormitory 6.0 7/1/07                                                                                               | E                                       | Interest                                      |                                                 |                                           | Redemption                                      | 7/2                           | N                               |                                |                                                                   |
| 182. NYS Power Auth 6.5 1/1/08                                                                                              | D                                       | Interest                                      | L                                               | T                                         | Partial Sale                                    | 1/2                           | M                               |                                |                                                                   |
| 183. NYS GO 5.25 8/1/08                                                                                                     | F                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 184. Erie Cnty NY 5.0 5/1/09                                                                                                | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 185. NYS GO 6.0 11/15/08                                                                                                    | E                                       | Interest                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 186. Niagra Falls NY 5.625 6/15/10                                                                                          | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 187. NYC GO 4.125 3/15/10                                                                                                   | C                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

McMahon, Colleen

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. NYC Transitional 5.25 11/15/11                                                                                         | E                                       | Interest                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 189. NYS Dormitory 5.0 2/15/11                                                                                              | E                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 190. Suffolk County NY 5.0 2/1/09                                                                                           | E                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 191. NYC Mun Water 5.8 6/15/29                                                                                              | E                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 192. Tobacco Settlement Finc Corp 5.0 6/1/07                                                                                | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 6/1                           | N                               |                                |                                                                   |
| 193. NYS Dormitory 5.75 2/15/08                                                                                             | F                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 194. NYS Dormitory 4.0 8/1/07                                                                                               | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 8/1                           | N                               |                                |                                                                   |
| 195. NYS Dormitory 5.25 7/1/09                                                                                              | F                                       | Interest                                      | P1                                              | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 196. NYS TWY Auth 5.5 4/1/07                                                                                                | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 4/2                           | N                               |                                |                                                                   |
| 197. Port Authority NY NJ 4.375 12/1/07                                                                                     | D                                       | Interest                                      |                                                 |                                           | Redemption                                      | 12/3                          | M                               |                                |                                                                   |
| 198. Yonkers NY 6.25 2/1/16                                                                                                 | E                                       | Interest                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 199. NYS TWY Auth 5.25 4/1/08                                                                                               | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 200. NYC GO 5.125 3/15/19                                                                                                   | D                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 201. Nassau Cnty NY 4.0 11/15/08                                                                                            | C                                       | Interest                                      | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 202. JP Morgan Tax Free MM Premier Sweep                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 203. UTS Energy Corp (Com)                                                                                                  |                                         | None                                          | M                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 204. Safeway Inc (Com)                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 1/12                          | N                               | G                              |                                                                   |

|                                                  |                                                                                 |                                                    |                                                                                     |                                                          |                       |
|--------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------|-------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------|
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. Emmis Broadcasting Corp Cl A (Com)                                                                                     |                                         | None                                          |                                                 |                                           | Buy                                             | 2/14                          | J                               |                                |                                                                   |
| 206.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 7/17                          | M                               |                                |                                                                   |
| 207.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 9/18                          | J                               |                                |                                                                   |
| 208. Merrill Lynch Redi Assets (MM)                                                                                         | B                                       | Dividend                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 209. R Thompson Trucking(Subordinated Note)                                                                                 |                                         | None                                          | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 210. R Thompson Trucking(Preferred Stock)                                                                                   | D                                       | Dividend                                      |                                                 |                                           | Redemption                                      | 9/6                           | K                               |                                |                                                                   |
| 211. R Thompson Trucking(Com)                                                                                               |                                         | None                                          | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 212. The EPI Co LLC Sub Note                                                                                                |                                         | None                                          | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 213. [REDACTED] Capital Partners Ltd                                                                                        | E                                       | Distribution                                  | P1                                              | U                                         |                                                 |                               |                                 |                                | See Note # 1 & Note # 5                                           |
| 214. --Snow Beverage Corp Preferred C                                                                                       |                                         | None                                          |                                                 |                                           | Buy                                             | 11/15                         | M                               |                                | See Note # 5                                                      |
| 215. --Intent Mediaworks Ser A Preferred                                                                                    |                                         | None                                          |                                                 |                                           | Partial Sale                                    | 11/15                         | M                               |                                | See Note # 5                                                      |
| 216. --Intent Mediaworks Senior Convertible Promissory Note                                                                 |                                         | None                                          |                                                 |                                           |                                                 |                               |                                 |                                | See Note # 5                                                      |
| 217. -Dynova Laboratories Inc (Com)                                                                                         |                                         | None                                          |                                                 |                                           | Buy                                             | 5/9                           | N                               |                                | See Note # 5                                                      |
| 218. Alba Advisors LLC                                                                                                      | A                                       | Distribution                                  | J                                               | U                                         | Partial Sale                                    | 2/26                          | L                               |                                | See Note # 1                                                      |
| 219. Camulos Partners LP                                                                                                    | G                                       | Distribution                                  | P2                                              | U                                         |                                                 |                               |                                 |                                | See Note # 1                                                      |
| 220. Capital SLI Group LLC                                                                                                  |                                         | None                                          | P1                                              | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 221. CGI Partners,LP                                                                                                        | G                                       | Distribution                                  | P2                                              | U                                         | Buy                                             | 6/20                          | O                               |                                | See Note # 1                                                      |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McMahon, Colleen

Date of Report

08/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 7/2                           | P1                              |                                |                                                                   |
| 223.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/17                          | M                               |                                |                                                                   |
| 224.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 1/10                          | N                               |                                |                                                                   |
| 225.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 4/26                          | L                               |                                |                                                                   |
| 226.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial sale                                    | 7/2                           | O                               |                                |                                                                   |
| 227. Duma Global Opportunity Fund LP                                                                                        | G                                       | Dividend                                      |                                                 |                                           | Sale                                            | 3/31                          | P1                              |                                |                                                                   |
| 228. Micell Technologies Inc.(Com)                                                                                          |                                         | None                                          | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 229. 441 East 57th Street LLC                                                                                               |                                         | None                                          | P1                                              | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 230. Half Shell Entertainment Films LLC                                                                                     |                                         | None                                          | K                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 231. ICP D100 LLC                                                                                                           | A                                       | Distribution                                  | M                                               | U                                         |                                                 |                               |                                 |                                | See Note # 1                                                      |
| 232. ICP D400 LLC                                                                                                           |                                         | None                                          | M                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 233. ICP D200 LLC                                                                                                           |                                         | None                                          | M                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 234. ICP 2800A LLC                                                                                                          |                                         | None                                          | N                                               | U                                         | Partial Sale                                    | 5/11                          | J                               |                                |                                                                   |
| 235.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 7/31                          | J                               |                                |                                                                   |
| 236.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 9/13                          | J                               |                                |                                                                   |
| 237.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 12/17                         | J                               |                                |                                                                   |
| 238. Linx Partners II LP                                                                                                    |                                         | None                                          | P1                                              | U                                         | Buy                                             | 4/23                          | K                               |                                |                                                                   |

|                                                  |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

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Name of Person Reporting

McMahon, Colleen

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08/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 256.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 3/23                          | P1                              |                                |                                                                   |
| 257. Triad Liquidating Company LLC                                                                                          |                                         | None                                          | J                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 258. HorseTV Media Group Inc Ser A (Com)                                                                                    |                                         | None                                          |                                                 |                                           | Worthless                                       | 12/31                         |                                 |                                |                                                                   |
| 259. Venn Global Opportunity Fund LP                                                                                        |                                         | None                                          |                                                 |                                           | Partial Sale                                    | 7/11                          | O                               |                                |                                                                   |
| 260.                                                                                                                        |                                         |                                               |                                                 |                                           | Sale                                            | 12/17                         | L                               |                                |                                                                   |
| 261. North Salem Acquisition & Development<br>Fund 2005 LP                                                                  | G                                       | Distribution                                  | P1                                              | U                                         | Partial Sale                                    | 1/11                          | K                               |                                | See Note # 1                                                      |
| 262.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 2/12                          | K                               |                                |                                                                   |
| 263.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 3/9                           | K                               |                                |                                                                   |
| 264.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 4/20                          | K                               |                                |                                                                   |
| 265.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 5/31                          | K                               |                                |                                                                   |
| 266.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 6/26                          | K                               |                                |                                                                   |
| 267.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 7/30                          | K                               |                                |                                                                   |
| 268.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 8/20                          | K                               |                                |                                                                   |
| 269.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 9/25                          | K                               |                                |                                                                   |
| 270.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/30                         | K                               |                                |                                                                   |
| 271.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 12/5                          | K                               |                                |                                                                   |
| 272.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 12/17                         | K                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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Name of Person Reporting

McMahon, Colleen

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08/06/2008

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 273. Thornburg Core Growth Cl A (IRA)                                                                                       | A                                       | Dividend                                      | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 274. STIT Liquid Asset Port M/M(Trust#2)                                                                                    |                                         | None                                          |                                                 |                                           | Closed                                          | 1/2                           | J                               |                                |                                                                   |
| 275. Thornburg Invt Tr Intl Value Cl 1                                                                                      | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 276. Thornburg Invt Tr Intl Value Cl 1                                                                                      | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 277. Thornburg Invt Tr Intl Value Cl 1                                                                                      | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 278. Jetblue (Com)                                                                                                          |                                         | None                                          | O                                               | T                                         | Donated                                         | 2/13                          | O                               |                                |                                                                   |
| 279.                                                                                                                        |                                         |                                               |                                                 |                                           | Donated                                         | 8/10                          | M                               |                                |                                                                   |
| 280.                                                                                                                        |                                         |                                               |                                                 |                                           | Donated                                         | 11/9                          | N                               |                                |                                                                   |
| 281. ML Institutional Fund MM                                                                                               | F                                       | Dividend                                      | P1                                              | T                                         | Opened                                          | 3/11                          | O                               |                                |                                                                   |
| 282. Interactive Brokers LLC--Futures Fund                                                                                  | D                                       | Interest                                      | M                                               | T                                         | Buy                                             | 6/5                           | M                               |                                |                                                                   |
| 283. ICP D600 LLC                                                                                                           |                                         | None                                          | P1                                              | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 284. ICP 2800B LLC                                                                                                          | A                                       | Distribution                                  | M                                               | U                                         |                                                 |                               |                                 |                                | See Note # 1                                                      |
| 285. Horse TV Media Group Inc SerB (Pfd)                                                                                    |                                         | None                                          |                                                 |                                           | Worthless                                       | 12/31                         |                                 |                                |                                                                   |
| 286. [REDACTED] West 12th St LLC                                                                                            |                                         | None                                          | P1                                              | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 287. Aisling Capital II LP                                                                                                  |                                         | None                                          | P1                                              | U                                         | Buy                                             | 2/2                           | M                               |                                |                                                                   |
| 288.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 4/2                           | M                               |                                |                                                                   |
| 289.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 7/2                           | M                               |                                |                                                                   |

|                                                  |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McMahon, Colleen

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 239.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 6/15                          | O                               |                                |                                                                   |
| 240.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 7/31                          | O                               |                                |                                                                   |
| 241.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 6/15                          | K                               |                                |                                                                   |
| 242. Lone Cypress Company Ltd                                                                                               | G                                       | Distribution                                  | P1                                              | U                                         | Partial Sale                                    | 6/22                          | M                               |                                | See Note # 1                                                      |
| 243. West 4th Street LLC                                                                                                    | G                                       | Distribution                                  | O                                               | U                                         | Partial Sale                                    | 7/9                           | O                               |                                | See Note # 1                                                      |
| 244.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 7/12                          | O                               |                                |                                                                   |
| 245. SEEF Manager LLC                                                                                                       | H1                                      | Distribution                                  | J                                               | U                                         | Partial Sale                                    | 4/3                           | M                               |                                | See Note # 1                                                      |
| 246.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 5/25                          | N                               |                                |                                                                   |
| 247.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 6/27                          | P1                              |                                |                                                                   |
| 248. Semper Vic Partners (QP)LP                                                                                             | E                                       | Distribution                                  |                                                 |                                           | Stock Distr                                     | 12/31                         | N                               |                                | See Note # 1 & See<br>Note # 3.                                   |
| 249.                                                                                                                        |                                         |                                               |                                                 |                                           | Sale                                            | 12/31                         | N                               |                                |                                                                   |
| 250. Sentinel Capital Investors III LP                                                                                      | G                                       | Distribution                                  | O                                               | U                                         | Buy                                             | 1/23                          | L                               |                                | See Note # 1                                                      |
| 251.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 6/20                          | L                               |                                |                                                                   |
| 252.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/3                          | M                               |                                |                                                                   |
| 253.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 1/23                          | M                               |                                |                                                                   |
| 254.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial sale                                    | 9/26                          | L                               |                                |                                                                   |
| 255. SFM Participation II LP                                                                                                | H1                                      | Distribution                                  | P1                                              | U                                         | Buy                                             | 3/23                          | L                               |                                | See Note # 1                                                      |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 290.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/17                         | N                               |                                |                                                                   |
| 291. By The Way Productions LLC                                                                                             |                                         | None                                          | L                                               | U                                         |                                                 |                               |                                 |                                |                                                                   |
| 292. HMLP Private Investor LLC                                                                                              | G                                       | Distribution                                  | P1                                              | U                                         |                                                 |                               |                                 |                                | See Note # 1                                                      |
| 293. IM Safer Inc. Preferred Ser A                                                                                          |                                         | None                                          | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 294. J K & B Capital V LP                                                                                                   |                                         | None                                          | J                                               | U                                         | Buy                                             | 5/4                           | M                               |                                |                                                                   |
| 295.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 6/12                          | N                               |                                |                                                                   |
| 296.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 8/14                          | M                               |                                |                                                                   |
| 297.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/17                          | K                               |                                |                                                                   |
| 298.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/4                          | L                               |                                |                                                                   |
| 299. SEEF II LP                                                                                                             |                                         | None                                          | P1                                              | U                                         | Buy                                             | 2/5                           | M                               |                                |                                                                   |
| 300.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 4/20                          | N                               |                                |                                                                   |
| 301.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/20                          | N                               |                                |                                                                   |
| 302.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 6/6                           | O                               |                                |                                                                   |
| 303. Stafford Logistics Inc. Promissory Note                                                                                | C                                       | Interest                                      | K                                               | T                                         | Partial Sale                                    | 12/27                         | J                               |                                |                                                                   |
| 304. Stafford Logistics Inc (Com)                                                                                           |                                         | None                                          | L                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 305. Towerbrook Investors II Executive Fund LP                                                                              |                                         | None                                          | P1                                              | U                                         | Buy                                             | 1/17                          | P1                              |                                |                                                                   |
| 306. Trident VI LP                                                                                                          |                                         | None                                          | N                                               | U                                         | Buy                                             | 3/15                          | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimate d                                                         | T = Cash Market                                              |                         |

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Name of Person Reporting

McMahon, Colleen

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08/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 307.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 5/21                          | K                               |                                |                                                                   |
| 308.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 7/31                          | K                               |                                |                                                                   |
| 309.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/20                          | J                               |                                |                                                                   |
| 310.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 11/26                         | K                               |                                |                                                                   |
| 311.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/19                         | J                               |                                |                                                                   |
| 312. Origin Low Net Exposure LP                                                                                             |                                         | None                                          |                                                 |                                           | Sold                                            | 12/32                         | O                               |                                |                                                                   |
| 313. Kinderhook Capital Fund II LP                                                                                          |                                         | None                                          | J                                               | U                                         | Buy                                             | 2/2                           | M                               |                                |                                                                   |
| 314.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 4/16                          | O                               |                                |                                                                   |
| 315.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 5/29                          | N                               |                                |                                                                   |
| 316.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 7/26                          | N                               |                                |                                                                   |
| 317.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 11/5                          | N                               |                                |                                                                   |
| 318. Pfizer Corp (Com)                                                                                                      | D                                       | Dividend                                      |                                                 |                                           | Sold                                            | 1/29                          | O                               | E                              |                                                                   |
| 319. General Electric Inc (Com)                                                                                             | D                                       | Dividend                                      |                                                 |                                           | Sold                                            | 3/7                           | O                               |                                |                                                                   |
| 320. Plum Creek Timber Co Inc (Com)                                                                                         | E                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/20                         | N                               | F                              |                                                                   |
| 321. Kohls Corp (Com).                                                                                                      |                                         | None                                          | N                                               | T                                         |                                                 |                               |                                 |                                |                                                                   |
| 322. Thornburg Inv. Tr Intl Value Cl I Fund<br>(Foundation #1)                                                              | G                                       | Dividend                                      | P1                                              | T                                         | Partial Sale                                    | 3/27                          | O                               | F                              |                                                                   |
| 323. US Treasury Bill Due 1/11/07                                                                                           | C                                       | Interest                                      |                                                 |                                           | Redemption                                      | 1/11                          | O                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McMahon, Colleen

Date of Report

08/06/2008

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 324. US Treasury Bill Due 2/1/07                                                                                            | E                                       | Interest                                      |                                                 |                                           | Redemption                                      | 2/1                           | P1                              |                                |                                                                   |
| 325. Baxter International Inc Com(X)                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 326. Citigroup Inc Com(X)                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 327. Johnson & Johnson Inc Com(X)                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 328. Thornburg Mortgage Asset Corp Com(X)                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 329. US Bancorp Del Com(X)                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 330. Wachovia Corp Com(X)                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 331. Butler Cnty OH 4.75 Hosp (X)                                                                                           |                                         | None                                          |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 332. Franklin County OH 5.5 Health Care(X)                                                                                  | A                                       | Interest                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 333. Franklin County OH Mtg 5.0(X)                                                                                          |                                         | None                                          |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 334. Hamilton County OH 5.125 Health Care (X)                                                                               | A                                       | Interest                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 335. Madison OH 5 % Cfts Part(X)                                                                                            |                                         | None                                          |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 336. Ohio State Enviorn Impt 5.625 (X)                                                                                      |                                         | None                                          |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 337. South Zanesville Ohio GO 5.25(X)                                                                                       |                                         | None                                          |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 338. Summit County OH Health Care 5.75(X)                                                                                   |                                         | None                                          |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 339. Wadsworth OH Hsg Dev Mtge 6.2 (X)                                                                                      | A                                       | Interest                                      |                                                 |                                           | Transferred                                     | 4/13                          | J                               |                                | See Note # 4                                                      |
| 340. Manulife Financial corp Com (X)                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 3/7                           | J                               | A                              | See Note # 4                                                      |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

McMahon, Colleen

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 341. Thornburg Ltd Term Muni Fund (X)                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | K                               |                                | See Note # 4                                                      |
| 342. Dreyfus Intermediate Muni Bond Fd(X)                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | K                               |                                | See Note # 4                                                      |
| 343. North Salem Aquisition & Dev Fund 2005<br>LP-Preferred Equity                                                          | F                                       | Interest                                      | O                                               | T                                         | Buy                                             | 2/26                          | M                               |                                |                                                                   |
| 344. KPB Partners LP                                                                                                        |                                         | None                                          | P1                                              | U                                         | Buy                                             | 12/31                         | P1                              |                                |                                                                   |
| 345. US Gov't CI B Fund(X)                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Transferred                                     | 4/13                          | K                               |                                | See Note # 4                                                      |
| 346. USA Bills 2/15/07 Dtd 8/17/06                                                                                          | C                                       | Interest                                      |                                                 |                                           | Buy                                             | 1/5                           | P1                              |                                |                                                                   |
| 347.                                                                                                                        |                                         |                                               |                                                 |                                           | Redemption                                      | 2/15                          | P1                              |                                |                                                                   |
| 348. USA Bills 2/8/07 Dtd 8/10/06                                                                                           | C                                       | Interest                                      |                                                 |                                           | Buy                                             | 1/16                          | O                               |                                |                                                                   |
| 349.                                                                                                                        |                                         |                                               |                                                 |                                           | Redemption                                      | 2/8                           | O                               |                                |                                                                   |
| 350. USA Bills 3/8/07 Dtd 9/7/06                                                                                            | E                                       | Interest                                      |                                                 |                                           | Buy                                             | 1/5                           | P1                              |                                |                                                                   |
| 351.                                                                                                                        |                                         |                                               |                                                 |                                           | Redemption                                      | 3/8                           | P1                              |                                |                                                                   |
| 352. NYS Convention Center 5.75 % due 6/1/08                                                                                | E                                       | Interest                                      | O                                               | T                                         | Buy                                             | 2/7                           | O                               |                                |                                                                   |
| 353. NYS Thruway 5 % due 4/1/16                                                                                             | C                                       | Interest                                      |                                                 |                                           | Buy                                             | 2/9                           | N                               |                                |                                                                   |
| 354.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 4/2                           | N                               |                                |                                                                   |
| 355. Childrens Trust Fund-Puerto Rico 5 % due<br>7/1/08                                                                     | D                                       | Interest                                      | O                                               | T                                         | Buy                                             | 2/13                          | O                               |                                |                                                                   |
| 356. NYS Dormitory 5.25 % due 5/15/09                                                                                       | D                                       | Interest                                      | N                                               | T                                         | Buy                                             | 2/20                          | N                               |                                |                                                                   |
| 357. NYS Dormitory 5 % due 7/1/29                                                                                           | C                                       | Interest                                      |                                                 |                                           | Buy                                             | 2/20                          | M                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 358.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 7/2                           | M                               |                                |                                                                   |
| 359. NYS Dormitory 5 % due 8/15/07                                                                                          | D                                       | Interest                                      |                                                 |                                           | Buy                                             | 2/22                          | N                               |                                |                                                                   |
| 360.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 8/15                          | N                               |                                |                                                                   |
| 361. NYS Dormitory 5.125 % due 5/15/21                                                                                      | E                                       | Interest                                      | O                                               | T                                         | Buy                                             | 3/19                          | O                               |                                |                                                                   |
| 362. MTA 5 % due 11/15/07                                                                                                   | D                                       | Interest                                      |                                                 |                                           | Buy                                             | 3/22                          | P1                              |                                |                                                                   |
| 363.                                                                                                                        |                                         |                                               |                                                 |                                           | Redemption                                      | 11/15                         | P1                              |                                |                                                                   |
| 364. NYS Environmental 5.5 % due 6/15/07                                                                                    | D                                       | Interest                                      |                                                 |                                           | Buy                                             | 3/16                          | P1                              |                                |                                                                   |
| 365.                                                                                                                        |                                         |                                               |                                                 |                                           | Redemption                                      | 6/15                          | O                               |                                |                                                                   |
| 366. NYS Thruway 5% due 4/1/10                                                                                              | E                                       | Interest                                      | P1                                              | T                                         | Buy                                             | 3/28                          | P1                              |                                |                                                                   |
| 367. NYS Dormitory Authority 5.25% due 11/15/23                                                                             | E                                       | Interest                                      | P1                                              | T                                         | Buy                                             | 4/4                           | P1                              |                                |                                                                   |
| 368. NYS Dormitory 5.2 % due 7/1/09                                                                                         | C                                       | Interest                                      |                                                 |                                           | Buy                                             | 4/9                           | N                               |                                |                                                                   |
| 369.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 8/20                          | M                               |                                |                                                                   |
| 370. Brookhaven NY 4..25% 1due 11/1/37                                                                                      | E                                       | Interest                                      | P1                                              | T                                         | Buy                                             | 4/5                           | O                               |                                |                                                                   |
| 371.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 4/26                          | M                               |                                |                                                                   |
| 372.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 5/3                           | M                               |                                |                                                                   |
| 373.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 6/7                           | L                               |                                |                                                                   |
| 374. Dutchess County NY 5 % due 8/1/11                                                                                      | D                                       | Interest                                      | O                                               | T                                         | Buy                                             | 4/18                          | O                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

McMahon, Colleen

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 375. NYS Dormitory Zero Coupon due 8/15/40                                                                                  | D                                       | Interest                                      | N                                               | T                                         | Buy                                             | 5/17                          | N                               |                                |                                                                   |
| 376. Puerto Rico 5 % due 7/1/09                                                                                             | A                                       | Interest                                      | N                                               | T                                         | Buy                                             | 6/4                           | N                               |                                |                                                                   |
| 377. City of NY Genl Pur 5 % due 8/1/12                                                                                     | B                                       | Interest                                      | O                                               | T                                         | Buy                                             | 7/16                          | O                               |                                |                                                                   |
| 378. NYS Dormitory 4.5 % due 7/1/11                                                                                         |                                         | None                                          | P1                                              | T                                         | Buy                                             | 7/18                          | P1                              |                                |                                                                   |
| 379. NYS Dormitory 7.5 % due 7/1/10                                                                                         |                                         | None                                          | N                                               | T                                         | Buy                                             | 7/30                          | N                               |                                |                                                                   |
| 380. NYS Local Gov't Assis Corp 5 % due 4/1/09                                                                              | C                                       | Interest                                      | P1                                              | T                                         | Buy                                             | 8/16                          | P1                              |                                |                                                                   |
| 381. NYS Local Gov't Asis 5.4 % due 4/1/15                                                                                  | D                                       | Interest                                      |                                                 |                                           | Buy                                             | 8/16                          | P1                              |                                |                                                                   |
| 382.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/1                          | P1                              |                                |                                                                   |
| 383. City of NY Genl Pur adj rate due 8/1/16                                                                                | D                                       | Interest                                      | P1                                              | T                                         | Buy                                             | 10/23                         | P1                              |                                |                                                                   |
| 384.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/25                         | N                               |                                |                                                                   |
| 385. City of NY Adj rate due 8/1/17                                                                                         | B                                       | Interest                                      | N                                               | T                                         | Buy                                             | 10/30                         | N                               |                                |                                                                   |
| 386. City of NY- Adj rate due 8/15/18                                                                                       | B                                       | Interest                                      | N                                               | T                                         | Buy                                             | 10/23                         | N                               |                                |                                                                   |
| 387. NYS Dormitory 5.25 % due 7/1/09                                                                                        |                                         | None                                          | N                                               | T                                         | Buy                                             | 12/6                          | N                               |                                |                                                                   |
| 388. Troy NY 5 % due 9/1/37                                                                                                 |                                         | None                                          | P1                                              | T                                         | Buy                                             | 12/7                          | P1                              |                                |                                                                   |
| 389. NY NY 6.1 % due 6/15/31                                                                                                |                                         | None                                          | M                                               | T                                         | Buy                                             | 12/18                         | M                               |                                |                                                                   |
| 390. NYS Dormitory Adj rate due 11/15/29                                                                                    |                                         | None                                          | N                                               | T                                         | Buy                                             | 12/20                         | N                               |                                |                                                                   |
| 391. Thornburg-Income Builder Cl 1                                                                                          | C                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 5/8                           | K                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

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Name of Person Reporting

McMahon, Colleen

Date of Report

08/06/2008

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                        |   |          |   |   |              |       |   |  |              |
|----------------------------------------|---|----------|---|---|--------------|-------|---|--|--------------|
| 392. Thornburg Global Opportunity Cl 1 | B | Dividend | L | T | Buy          | 5/8   | K |  |              |
| 393. Hansen Medical Inc(Com)           |   | None     |   |   | Distributed  | 5/21  | J |  | See Note # 2 |
| 394.                                   |   |          |   |   | Donated      | 6/7   | K |  |              |
| 395.                                   |   |          |   |   | Distributed  | 10/27 | J |  | See Note # 2 |
| 396.                                   |   |          |   |   | Donated      | 11/5  | L |  |              |
| 397. Sirtris (Com)                     |   | None     |   |   | Distributed  | 11/30 | K |  | See Note # 2 |
| 398.                                   |   |          |   |   | Donated      | 12/17 | L |  |              |
| 399. Merck (Com)                       |   | None     |   |   | Distributed  | 10/11 | K |  | See Note # 2 |
| 400.                                   |   |          |   |   | Donated      | 10/11 | L |  |              |
| 401. Xenoport Inc (Com)                |   | None     |   |   | Distributed  | 5/18  | J |  | See Note # 2 |
| 402.                                   |   |          |   |   | Donated      | 5/15  | K |  |              |
| 403. I Robot (Com)                     |   | None     |   |   | Distribution | 5/1   | J |  | See Note # 2 |
| 404.                                   |   |          |   |   | Donated      | 5/15  | J |  |              |
| 405.                                   |   |          |   |   | Distribution | 8/21  | J |  | See Note # 2 |
| 406.                                   |   |          |   |   | Donated      | 9/27  | K |  |              |
| 407. Thornburg CRE GWT 1 (Trust # 2)   | A | Dividend | K | T | Buy          | 1/19  | K |  |              |
| 408.                                   |   |          |   |   | Buy          | 6/12  | K |  |              |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

McMahon, Colleen

Date of Report

08/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 409. Altria Corp(Com)                                                                                                       |                                         | None                                          | O                                               | T                                         | Distributed                                     | 12/31                         | N                               |                                | See Note # 2                                                      |
| 410. Martin Marietta Materials Inc (Com)                                                                                    |                                         | None                                          | N                                               | T                                         | Distributed                                     | 12/31                         | M                               |                                | See Note # 2                                                      |
| 411. Morgan Stanley Bank (Savings)                                                                                          | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 11/1                          | K                               |                                |                                                                   |
| 412. Morgan Stanley Bank (Savings)                                                                                          | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 11/1                          | K                               |                                |                                                                   |
| 413. Morgan Stanley Bank (Savings)                                                                                          | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 11/1                          | K                               |                                |                                                                   |
| 414. Morgan Stanley-Cash Account                                                                                            |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/26                         | K                               |                                |                                                                   |
| 415. Morgan Stanley-Cash Account                                                                                            |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/26                         | K                               |                                |                                                                   |
| 416. Morgan Stanley-Cash Account                                                                                            |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/26                         | K                               |                                |                                                                   |
| 417. Thornburg Global Opportunity Fd 1                                                                                      | F                                       | Dividend                                      | P1                                              | T                                         | Buy                                             | 3/26                          | P1                              |                                |                                                                   |
| 418. Tescro Tech Inc (Com)                                                                                                  |                                         | None                                          |                                                 |                                           | Buy                                             | 8/13                          | N                               |                                |                                                                   |
| 419.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/21                         | N                               | F                              |                                                                   |
| 420. Wellcare Health Plans Inc (Com)                                                                                        |                                         | None                                          |                                                 |                                           | Buy                                             | 10/31                         | N                               |                                |                                                                   |
| 421.                                                                                                                        |                                         |                                               |                                                 |                                           | Donated                                         | 12/20                         | N                               |                                |                                                                   |
| 422. Thornburg CRE GWT (IRA)                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 2/1                           | J                               |                                |                                                                   |
| 423. Morgan Stanley Bank-(Savings)                                                                                          | C                                       | Interest                                      | M                                               | T                                         | Buy                                             | 9/10                          | M                               |                                |                                                                   |
| 424. Morgan Stanley Trust Bank-(Savings)                                                                                    | B                                       | Interest                                      | L                                               | T                                         | Buy                                             | 9/10                          | L                               |                                |                                                                   |
| 425. Highbridge Statistical Market Neutral Fd<br>Select Sh                                                                  | E                                       | Dividend                                      |                                                 |                                           | Buy                                             | 1/18                          | O                               |                                |                                                                   |

|                                                  |                                                                  |                                                      |                                                             |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                    | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000       | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000                  | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000    | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | P3 =\$25,000,001 - \$50,000,000<br>Q =Appraisal<br>U =Book Value | R =Cost (Real Estate Only)<br>V =Other               | P4 =More than \$50,000,000<br>S =Assessment<br>W =Estimated | T =Cash Market                                             |                        |

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Name of Person Reporting

McMahon, Colleen

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08/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 426.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/31                         | O                               |                                |                                                                   |
| 427. Atticus Global LP                                                                                                      | F                                       | Distribution                                  | P1                                              | U                                         | Buy                                             | 4/2                           | O                               |                                | See Note # 1                                                      |
| 428. DLH Capital Partners LP                                                                                                | E                                       | Distribution                                  | P1                                              | U                                         | Buy                                             | 1/2                           | O                               |                                | See Note # 1                                                      |
| 429. Inavein LLC                                                                                                            |                                         | None                                          | N                                               | U                                         | Buy                                             | 11/19                         | N                               |                                |                                                                   |
| 430. Ironwood Management Partners Fund II LP                                                                                |                                         | None                                          | M                                               | U                                         | Buy                                             | 11/20                         | K                               |                                |                                                                   |
| 431.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/12                         | M                               |                                |                                                                   |
| 432. KCM Alphan Only Fund LP                                                                                                | F                                       | Distribution                                  | P1                                              | U                                         | Buy                                             | 3/1                           | O                               |                                | See Note # 1                                                      |
| 433. Linx-Cimarron Energy Co-Invest, LLC                                                                                    | C                                       | Interest                                      | N                                               | U                                         | Buy                                             | 8/13                          | M                               |                                |                                                                   |
| 434.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/23                         | J                               |                                |                                                                   |
| 435. Linx-McBride America Co-Invest, LLC                                                                                    | B                                       | Interest                                      | N                                               | U                                         | Buy                                             | 6/26                          | N                               |                                |                                                                   |
| 436.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/23                         | J                               |                                |                                                                   |
| 437. MB Growth Partners II LP                                                                                               |                                         | None                                          | N                                               | U                                         | Buy                                             | 3/26                          | N                               |                                |                                                                   |
| 438. Olympus Capital Asia III LP                                                                                            |                                         | None                                          | O                                               | U                                         | Buy                                             | 8/13                          | O                               |                                |                                                                   |
| 439. The Optima Partners Focus Fund LLC                                                                                     | G                                       | Distribution                                  | P1                                              | U                                         | Buy                                             | 1/2                           | O                               |                                | See Note # 1                                                      |
| 440. RGI Co Investment Fund LP                                                                                              |                                         | None                                          | O                                               | U                                         | Buy                                             | 11/30                         | O                               |                                |                                                                   |
| 441. RGI Partners Ltd                                                                                                       |                                         | None                                          | P1                                              | U                                         | Buy                                             | 7/26                          | O                               |                                |                                                                   |
| 442.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 11/27                         | O                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 443. SC Capital (P) LP                                                                                                      |                                         | None                                          | P1                                              | U                                         | Buy                                             | 2/27                          | P1                              |                                |                                                                   |
| 444.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 5/21                          | J                               |                                |                                                                   |
| 445.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/7                           | J                               |                                |                                                                   |
| 446.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 11/27                         | J                               |                                |                                                                   |
| 447. Sire Global Partners LP                                                                                                | D                                       | Distribution                                  | O                                               | U                                         | Buy                                             | 2/15                          | N                               |                                | See Note # 1                                                      |
| 448. [REDACTED] Capital Partners LP                                                                                         |                                         | None                                          | P1                                              | U                                         | Buy                                             | 1/19                          | K                               |                                |                                                                   |
| 449.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 8/8                           | M                               |                                |                                                                   |
| 450.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 9/25                          | N                               |                                |                                                                   |
| 451.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/26                         | P1                              |                                |                                                                   |
| 452. [REDACTED] Management LP                                                                                               |                                         | None                                          | O                                               | U                                         | Buy                                             | 6/12                          | O                               |                                |                                                                   |
| 453. [REDACTED] LP                                                                                                          |                                         | None                                          | P1                                              | U                                         | Buy                                             | 11/19                         | P1                              |                                |                                                                   |
| 454. Unigo LLC                                                                                                              |                                         | None                                          | O                                               | U                                         | Buy                                             | 8/6                           | O                               |                                |                                                                   |
| 455. REN Private Investors LLC                                                                                              |                                         | None                                          | L                                               | U                                         | Buy                                             | 1/2                           | O                               |                                |                                                                   |
| 456.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 5/1                           | O                               |                                |                                                                   |
| 457.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/12                         | L                               |                                |                                                                   |
| 458. Camulos Special Situations Fund LP                                                                                     |                                         | None                                          | O                                               | U                                         | Buy                                             | 4/2                           | O                               |                                |                                                                   |
| 459. ICP D600B LLC-Preferred Equity                                                                                         |                                         | None                                          | O                                               | T                                         | Buy                                             | 12/17                         | O                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 460. Wall Street Sources Inc(Com)                                                                                           |                                         | None                                          | O                                               | T                                         | Buy                                             | 4/10                          | O                               |                                |                                                                   |
| 461. Navagate Inc (Promissory Note)                                                                                         |                                         | None                                          | P1                                              | T                                         | Buy                                             | 10/19                         | P1                              |                                |                                                                   |
| 462. Omnilink Systems Inc (Ser B Preferred Stock)                                                                           |                                         | None                                          | O                                               | T                                         | Buy                                             | 1-16                          | O                               |                                |                                                                   |
| 463. American Home Food Products Inc (Com)                                                                                  |                                         | None                                          | N                                               | T                                         | Buy                                             | 6/6                           | M                               |                                |                                                                   |
| 464.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 6/18                          | M                               |                                |                                                                   |
| 465. IM Safer Inc-(Convertible Promissory Note)                                                                             |                                         | None                                          | K                                               | T                                         | Buy                                             | 6/29                          | K                               |                                |                                                                   |
| 466. Vanguard Prime Money Market FD(IRA)                                                                                    | D                                       | Dividend                                      | O                                               | T                                         | Buy                                             | 1/2                           | O                               |                                | See Note # 6                                                      |
| 467. Vanguard Short Term Inv Gr Inc (IRA)                                                                                   | E                                       | Dividend                                      | P1                                              | T                                         | Buy                                             | 1/2                           | P1                              |                                | See Note # 6                                                      |
| 468. Pend Vest LP                                                                                                           |                                         | None                                          | N                                               | T                                         | Buy                                             | 12/12                         | N                               |                                |                                                                   |
| 469. [REDACTED] Partners                                                                                                    | G                                       | Distribution                                  | O                                               | T                                         | Buy                                             | 1/2                           | O                               |                                | See Note # 1                                                      |
| 470.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 5/2                           | M                               |                                |                                                                   |
| 471.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 9/7                           | M                               |                                |                                                                   |
| 472.                                                                                                                        |                                         |                                               |                                                 |                                           | Partial Sale                                    | 10/25                         | L                               |                                |                                                                   |
| 473. Syndicate Holdings Corp                                                                                                |                                         | None                                          | K                                               | U                                         | Buy                                             | 3/26                          | J                               |                                |                                                                   |
| 474.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 8/22                          | J                               |                                |                                                                   |
| 475.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/26                         | J                               |                                |                                                                   |
| 476.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/17                         | J                               |                                |                                                                   |

|                                                  |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

### Note #1

Explanation Re:Section VII Item #

19,37,42,46,47,49,68,74,76,77,78,82,100,116,117,119,128,130,169,213,218,219,221,231,242,243,245,248,250,255,261,284,292,427,428,432,439,447,469

The income from investments in Limited partnerships usually contain more than one type of income. For income valuation purposes, the various amounts were combined to determine the amount code in column B(1). The type of income in column B(2) is labeled "distribution", to denote more than one category of income was contained in schedule K-1 received from the partnership.

### Note # 2

Explanation Re:Section VII Item #'s 393,395,397,399,401,403,405,409,410

These securities were not purchased but were received from time to time as in-kind distributions from the various Limited Partnerships. These securities are listed as a "Distribution" in column D(1). The date in column D(2) is the effective date of the distribution. The Value in column D(3) is the distributee's pro rata share of the total acquisition of the assets by the Limited Partnership, as reported by the General Partner.

### Note # 3

Explanation Re:Section VII Item # 45,72,73,112,113,114,115,248

The Limited Partnership distributed shares of securities that were purchased and held by the partnership. This information is noted as "Stock Distr" in Column D(1). The date the shares were distributed is listed in Column D(2). The value in column D(3) is the distributee's pro rata share of the total acquisition of the assets by the Limited Partnership, as reported by the General Partner.

### Note # 4

Explanation Re:Section VII Item #325,326,327,328,329,330,331,332,333,334,335,336,337,338,339,340,341,342,345

On May 25, 2006, Judge McMahon's father died. Judge McMahon thereupon obtained a beneficial interest in the holdings of the [REDACTED] Revocable Trust, [REDACTED] Trustee. The disposition date of each reportable security in the Trust is noted. All securities remaining in the Trust as of April 13, 2007 were rolled into the Marital Trust in favor of Mr. McMahon's wife, whereupon Judge McMahon ceased to have a reportable beneficial interest in those securities.

### Note # 5

Explanation Section VII Item # 213,214,215,216,217

[REDACTED] Capital Partners Ltd is a Sub-chapter S corporation owned 100% by Judge McMahon's [REDACTED]. The corporation purchased various securities during 2007 and are listed below the corporation. The value of the securities and promissory note is included in the total indicated on line 253 Column C(1).

### Note # 6

Explanation Section VII Item # 40,466,467

The Quantum Fund (IRA) was liquidated and transferred to Vanguard Prime Money Market and Vanguard Short Term Inv Gr Inc.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544