

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hood, Joseph M	2. Court or Organization E.D. KY.	3. Date of Report 05/06/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address P.O. Box 2227 Lexington, KY 40588-2227	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	[REDACTED]
2.	Board of Managers	Diederich Educational Trust
3.	Director	Federal Judges Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	 Substitute Teacher
2. 2007	Proctor
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. FREE	8/12/07-8/16/07	Gallatin Gateway, MT	Non-PLC Educational Prgm	Housing, Travel & Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fifth Third Bank, Lexington, KY Checking		None	J	T					
2. Fifth Third Bank, Lexington Certificate (Y)									
3. AG Edwards - Single [REDACTED]									
4. -Centennial Money Market	A	Dividend	J	T					
5. -FT Interneatonal Port #6, First Trust #955 (Y)	A	Dividend			Redemption	04-17	J		
6. -FT Basic Materials, First Trust #936 (X) (Y)					Sell	1-12	J	A	
7. -FT INDL Port SA, First Trust #956 (X) (Y)					Sell	1-12	J	A	
8. -Amer Funds US Govvt Sec (Y)	A	Dividend			Sell	8-10	J	A	
9. -Amer Funds Bd Fund of Amer (Y)	A	Dividend			Sell	8-10	J	A	
10. -Amer Funds Capital Funds Income (Y)	A	Dividend			Sell	9-27	J	A	
11. -Amer Funds Capital Funds Income (Y)	A	Dividend			Sell	6-25	J	A	
12. -Amer Funds Capital Funds Income (Y)					Sell	9-27	J	A	
13. -Amer Funds Euro Pacific	A	Dividend	J	T	Sell part	4-11	J	A	
14. -Amer Funds Euro Pacific					Sell part	5-23	J	A	
15. -Amer Funds Euro Pacific					Sell part	8-20	J	A	
16. -Amer Funds Growth Fund of Amer	B	Dividend	K	T	Sell part	4-11	J	A	
17. -Amer Funds Growth Fund of Amer					Sell part	5-23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		
3. Value Method Codes (See Column C2)				T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Amer Funds Growth Fund of Amer					Buy part	12-12	J		
19. -Amer Funds Invmt Co of America	A	Dividend	J	T	Sell part	9-27	J	A	
20. -Amer Funds New Economy	A	Dividend	J	T					
21. -Amer Funds Small Cap World	A	Dividend	J	T	Sell part	4-11	J	A	
22. -Amer Funds Small Cap World					Sell part	5-23	J	A	
23. -Amer Funds Small Cap World					Sell part	6-25	J	A	
24. -Amer Funds Small Cap World					Sell part	8-20	J	A	
25. -Amer Funds Small Cap World					Buy part	12-12	J		
26. -Amer Funds Tax Exempt Bd Fund (Y)	A	Dividend			Sell	5-22	J	A	
27. -Amer Funds Washington Mutual	A	Dividend	J	T	Sell part	4-11	J	A	
28. -Amer Funds Washington Mutual					Sell part	8-20	J	A	
29. -Amer Funds Washington Mutual					Sell part	12-17	J	A	
30. Metlife Invs Ins Co Ins Prod		None	J	T					
31. John Hancock Life Ins Co		None	L	T					
32. Lincoln National Life Ins Co		None	J	T					
33. IRA #1			K	T					
34. -Centennial Money Market (X)									

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35. -Amer Funds US Govvt Sec									
36. -Amer Funds High Income Trust									
37. -Amer Funds Bd Fund of Amer									
38. -Amer Funds Growth Fund of Amer									
39. -Amer Funds Intm Bd Fund of Amer									
40. -Amer Funds Washington Mutual									
41. IRA #2			K	T					
42. -Centennial Money Market (X)									
43. -Amer Funds US Govvt Sec									
44. -Amer Funds High Income Trust									
45. -Amer Funds Bd Fund of Amer									
46. -Amer Funds Growth Fund of Amer									
47. -Amer Funds Intm Bd Fund of Amer									
48. -Amer Funds Washington Mutual									
49. A G Edwards - Estate		None	K	T					
50. -Centennial Money MKT									
51.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544