

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ASPEN, MARVIN E	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/16/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT J	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 219 SOUTH DEARBORN STREET ROOM 2578 CHICAGO, IL 60604	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. AMERICAN BAR ASSOCIATION/AMERICAN BAR ASSOCIATION RETIREMENT FUNDS	HOUSE OF DELEGATES/TRUSTEE
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	STATE OF ILLINOIS (PENSION)	\$ 20,563.56
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	[REDACTED] (Salary)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. AMERICAN BAR ASSOCIATION AND ABA RETIREMENT FUNDS	Travel-related expenses/coach air; hotel; meals
2.	2/16-17 (Phoenix, AZ)
3.	3/22-24 (Miami, FL)
4.	6/14-16 (Chicago, IL)
5.	6/20-23 (San Diego, CA)

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6. 8/4-6 (Honolulu, HI)

7. 9/6-9 (Montreal, Canada)

8. 10/12-13 (Chicago, IL)

9.

10.

11.

12.

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. J. P. MORGAN CHASE, formerly Bank One	C	Interest	M	T					
2. FIRST BANK OF HIGHLAND PARK	A	Interest	J	T					
3. AMERICAN TELEPHONE & TELEGRAPH CO. (COMMON STOCK)	A	Dividend	J	T					
4. EXXON MOBIL CORPORATION (COMMON STOCK)	B	Div	L	T					
5. PACIFIC GAS & ELECTRIC CO. (COMMON STOCK)	A	Dividend	J	T					
6. PFIZER, INC. (COMMON STOCK)	C	Div	M	T					
7. R.J. REYNOLDS-NABISCO CO. (COMMON STOCK)	A	Div	J	T					
8. COMMERCIAL BUILDING, NORTHBROOK, IL (LTD PTNRSHIP)	D	Dividend	L	U					
9. SBC COMMUNICATIONS (COMMON STOCK)	B	Div	K	T					
10. BELL SOUTHERN (COMMON STOCK)	B	Div	K	T					
11. I.D.S. FINANCIAL SERVICES (*MUTUAL FUND)	A	Dividend	J	T	Sold	5/11	J		
12. BSV (LIMITED PARTNERSHIP)	F	Dividend	L	U					
13. MICROSOFT CORP.	A	Dividend	J	T					
14. NASDAQ 100 TRUST SERI	A	Dividend	J	T					
15. MANUAL FINANCIAL CORP	A	Dividend	J	T					
16. GRANT PRIDECO, INC. X	A	Dividend	J	T					
17. IDEARS, INC. X	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. INTEGRYS ENERGY GROUP X	A	Dividend	J	T					
19. INTEL CORP X	A	Dividend	J	T					
20. LSI CORP X	A	Dividend	J	T					
21. METROMEDIA INTL. GROUP INC. X	A	Dividend	J	T					
22. MORGAN STANLEY X	A	Dividend	J	T					
23. MOTOROLA X	A	Dividend	J	T					
24. ORASURE TECHNOLOGIES, INC. X	A	Dividend	J	T					
25. P & G CORP X	B	Dividend	K	T					
26. RELIANT ENERGY, INC. X	A	Dividend	J	T					
27. TEREX CORP X	A	Dividend	J	T					
28. TIME WARNER X	A	Dividend	J	T					
29. VERIZON COMMUNICATIONS X	A	Dividend	J	T					
30. WEATHERFORD INT. LTD. X	A	Dividend	J	T					
31. ALL STATE CORP X	A	Dividend	J	T					
32. AVAYA, INC. X	A	Dividend	J	T					
33. BAKER MICHAEL CORP X	A	Dividend	J	T					
34. COMCAST CORP X	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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35. CONNOCO PHILLIPS X	A	Dividend	J	T					
36. GENERAL EEELECTRIC CO X	A	Dividend	J	T					
37. EMBARQ CORP. X	A	Dividend	J	T					
38. HEWLETT PACKARD CO. X	A	Dividend	J	T					
39. SPRINT NEXTEL CORP. X	A	Dividend	J	T					
40. TEXAS INSTRUMENTS INC X	A	Dividend	J	T					
41. VIACOM INTL. X	A	Dividend	J	T					
42. FIDELITY MUTUAL FUND X	B	Dividend	K	T					
43. DREYFUS MUTUAL FUND X	B	Dividend	K	T					
44. SIT INVESTMENT ASSOC. MUTUAL FUND X	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/16/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY MISREPRESENTS OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544