

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Caldwell, William W	2. Court or Organization U.S.D.C. Middle Dist. of PA	3. Date of Report 05/1/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address P.O.Box 11877 Harrisburg, PA 17108-1877	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. (Monthly)	Commonwealth of PA - 2007 Retirement income	\$ 19,368.60
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Fulton Financial Corp. stock	F	Dividend	P1	T					
2. Allied Irish Bank stock	D	Dividend	N	T					
3. Intel Corp. stock	A	Dividend	L	T					
4. Smith-Barney Bond Fund	D	Interest	M	T					
5. Tyco International stock	A	Dividend	J	T					
6. Harsco Corp. stock	A	Dividend	J	T	Part Sold	11/22	J	D	
7. Actuant Corp. stock		None			Sold	10/12	J	D	
8. Dentsply Intern. stock	A	Dividend			Sold	10/12	J	D	
9. Maxim Integrated stock	A	Dividend	J	T					
10. Carlisle Corp. stock	A	Dividend	K	T					
11. Hewlett-Packard stock	A	Dividend	K	T					
12. Johnson & Johnson stock	A	Dividend	K	T					
13. Tidewater, Inc. stock	A	Dividend	K	T					
14. Sunrise Senior Living stock		None	J	T	Part Sold	9/21	J	B	
15. Capital World Growth Fund	A	Dividend	K	T					
16. Income Fund of America	A	Dividend	K	T					
17. Investment Co. of America Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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18. Littelfuse, Inc. stock		None	J	T					
19. Zimmer Holdings, Inc. stock		None	J	T					
20. EuroPacific Growth Fund	A	Dividend	K	T					
21. GMO Foreign Fund	A	Dividend	K	T					
22. Blairsville-Saltzburg School District - Bond	A	Interest	J	T					
23. Eastern York School District - Bond	A	Interest	J	T					
24. Hanover Public School District - Bond	A	Interest	J	T					
25. Fox Chapel Area School District - Bond	A	Interest	J	T					
26. Shaler Area School District - Bond	A	Interest	K	T					
27. Greensburg Salem School District - Bond	A	Interest	K	T	Buy	9/12	K		
28. Wyoming Area School District - Bond	A	Interest	K	T	Buy	8/23	K		
29. Hanover Area School District - Bond	A	Interest	J	T	Buy	2/7	J		
30. Northeast Bradford School District - Bond	A	Interest	K	T	Buy	9/18	K		
31. Muhlenberg School District - Bond	A	Interest	J	T	Buy	7/6	J		
32. Unionville-Chadds Ford School District - Bond	A	Interest	K	T	Buy	10/17	K		
33. Blackhawk Penn School District - Bond	A	Interest	K	T	Buy	12/19	K		
34. Lower Merion School District - Bond	B	Interest	K	T	Buy	8/27	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Seneca VL, PA School District - Bond	A	Interest	K	T	Buy	11/20	K		
36. Proctor & Gamble - stock		Dividend	J	T	Buy	10/12	J		
37. Quality Systems, Inc - stock		None	J	T	Buy	9/10	J		
38. Covidien LTD - stock		None	J	T	spin off	10/15	J		
39. Tyco Electronics - stock		None	J	T	spin off	10/15	J		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544