



UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF PENNSYLVANIA
UNITED STATES DISTRICT JUDGE
4000 UNITED STATES COURTHOUSE
INDEPENDENCE MALL
PHILADELPHIA, PENNSYLVANIA 19106

CHAMBERS OF
JUDGE JOEL H. SLOMSKY
UNITED STATES DISTRICT JUDGE

(267) 299-7340

April 27, 2009

Honorable Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N. E.
Washington, D.C. 20544

Re: Calendar Year 2008 Filing

Dear Judge Baldock:

Enclosed is an original and three copies of my Financial Disclosure Report for Calendar Year 2008. In accordance with your letter dated April 20, 2009, I am transmitting this report as an amended report dated April 27, 2009. I have provided the information requested in Columns D(1)-(3), in Part VII, page 4, lines 8 and 9, page 7, lines 57-61 and page 8, line 81.

Thank you for your attention.

Sincerely,

JHS:cmc
Enclosure

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Slomsky_Joel_H

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Slomsky, Joel H.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 04/27/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, Pennsylvania 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. sole proprietor	Joel Harvey Slomsky, Esquire
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2008	Joel Harvey Slomsky, Esquire, 401(k) Profit Sharing Plan, control
2. 2008	Joel Harvey Slomsky, Esquire, Defined Benefit Pension Plan, control
3.	

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Name of Person Reporting

Slomsky, Joel H.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Joel Harvey Slomsky, Esquire--Law Firm	\$352,290.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	 Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

Slomsky, Joel H.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	D	Dividend	L	T					
2. -Vanguard Wellington Fund									
3. -Vanguard Star Fund									
4. IRA #2	B	Interest	K	T					
5. --E*Trade Bank Cash Account					Closed	11/3	J	A	
6. --E*Trade Bank (CD)					Matured	11/3	K	B	
7. --E-Trade Bank (CD)					Matured	9/21	K		
8. --First Merchants Bank NA (CD)					Buy	11/04	K		
9. --Morgan Stanley Bank (CD)					Buy	11/04	K		
10. 401(k) Profit Sharing Plan	E	Int./Div.	P1	T					
11. --American Fund-Capital Income Builder					Sold	6/19	K	A	
12. --Ivy Asset Strategy Fund									
13. --Vanguard Wellington Fund									
14. --Vanguard Star Fund									
15. --U.S. Treasury Strip									
16. --Israel State Bond									
17. --America's Bank (CD)					Matured	10/27	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Slomsky, Joel H.

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18. --Banco Popular (CD)					Matured	6/26	J	A	
19. --Banco Popular (CD)									
20. --Banco Popular (CD)									
21. --Bank of the Ozarks (CD)									
22. --Cleveland State Bank (CD)									
23. --Discover Bank (CD)					Matured	7/17	K	B	
24. --Discover Bank (CD)									
25. --East West Bank (CD)									
26. --Farmers and Merchants Bank (CD)									
27. --Foundations Bank (CD)									
28. --First National Bank (CD)									
29. --LaSalle Bank NA (CD)					Matured	6/13	K	B	
30. --Magnet Bank (CD)									
31. --Paragon National Bank (CD)									
32. --Select Bank (CD)					Matured	6/20	K	A	
33. --Washington Mutual Bank (CD)					Matured	6/12	K	A	
34. --Citizens Bank (CD)					Matured	8/8	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --Citizens Bank (CD)					Matured	8/21	K	A	
36. --Fidelity Money Market Account									
37. --Main Street Bank (CD)					Matured	5/23	K	C	
38. --FirstBank Puerto Rico (CD)					Matured	4/18	J	A	
39. --FirstBank Puerto Rico (CD)					Matured	3/8	K		
40. --Columbian Bank & Trust Company (CD)					Matured	3/31	J	A	
41. --Washington Mutual Bank (CD)					Matured	11/1	J		
42. --Lehman Brothers Bank (CD)					Matured	4/28	J	A	
43. --GMAC Bank (CD)					Matured	3/31	L	B	
44. --Flagstar Bank (CD)					Matured	4/13	L		
45. --Lord Albert Mid-Cap Value Fund					Sold	1/2	K	A	
46. --Alger Mid-Cap Growth Fund					Sold	1/22	K	A	
47. --Federated Market Opportunity Fund					Sold	2/27	K		
48. --Federal National Mortgage Association Bond					Redeemed	11/9	M		
49. --Federal National Mortgage Association Bond					Redeemed	12/3	M		
50. --Federal Home Loan Mortgage Corporation Bond					Redeemed	5/15	M		
51. --Citizens Bank (CD)					Matured	5/8	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Slomsky, Joel H.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Bank Clark County (CD)									
53. --Mercantile Bank (CD)									
54. --Vanguard Intermediate Term Index Bond Fund									
55. --Vanguard Wellington Fund									
56. --Vanguard Star Fund									
57. --Capital One Bank USA (CD)					Buy	9/9	K		
58. --First Merchants Bank NA (CD)					Buy	11/4	K		
59. --Morgan Stanley Bank NA (CD)					Buy	11/4	K		
60. --First Commercial Bank FL (CD)					Buy	9/9	K		
61. --GMAC Bank (CD)					Buy	9/9	K		
62. --America Fund-Capital Income Builder									
63. Defined Benefit Pension Plan	D	Interest	N	T					
64. --Century Bank (CD)					Matured	9/8	K	A	
65. --Concord Bank (CD)									
66. --Cowlitz Bank (CD)									
67. --Discover Bank (CD)									
68. --Discover Bank (CD)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Slomsky, Joel H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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69. --First National Bank (CD)									
70. --Magnet Bank (CD)									
71. --Merrill Lynch Bank (CD)					Matured	8/21	K		
72. --Fidelity Money Market Account									
73. --GMAC Automotive Bank (CD)					Matured	3/31	J	A	
74. --Western Bank (CD)					Matured	2/15	L	B	
75. --Bank of Baroda NY (CD)					Matured	1/22	L	B	
76. --Lehman Brother Bank (CD)					Matured	9/4	L		
77. --Washington Mutual Bank (CD)					Matured	8/30	J		
78. --Merrill Lynch Bank and Trust Co. (CD)					Matured	6/26	K	B	
79. --Bank North (CD)									
80. --Mercantile Bank (CD)									
81. --First Commercial Bank FI (CD)					Buy	9/9	K		
82. Flexible Annuity Plan	A	Int./Div.	L	T					
83. --Mutual of America Conservative Allocation Fund									
84. --Mutual of America Moderate Allocation Fund									
85. --Mutual of America General Account									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Slomsky, Joel H.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. --Mutual of America Composite Fund					Sold	2/28	L	A	
87. ----Mutual of America Money Market Fund					Sold	2/28	K	A	
88. Tax Deferred Annuity	A	Int./Div.	N	T					
89. --Mutual of America Conservative Allocation Fund									
90. --Mutual of America Moderate Allocation Fund									
91. --Mutual of America Interest Accumulation Account									
92. --Mutual of America Composite Fund					Sold	2/28	M	A	
93. --Mutual of America Money Market Fund					Sold	2/28	M	C	
94. PNC Bank Money Market Account		None			Closed	4/23	M		
95. Citizens Bank Money Market Account (Y)									
96. Sovereign Bank Money Market Account	A	Interest			Closed	1/16	M	A	
97. Citizens Bank Money Market Account	A	Interest			Closed	3/27	L	A	
98. PNC Bank Money Market Account	B	Interest	M	T					
99. PNC Bank Money Market IOLTA Account		None			Closed	10/8	J		
100. Citizens Bank Select Money Market Account	A	Interest	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 99, Columns B-1 and D-4 were left blank because the account at PNC Bank was an IOLTA account. The interest earned on this account was sent by the Bank directly to the IOLTA Board under rules of the Pennsylvania Supreme Court. There is no income and no financial gain to a lawyer for maintaining an IOLTA account while practicing law.

Lines 7, 39, 41, 44, 47, 48, 49, 50, 51, 71, 76, 77 and 94 are left blank in Column D-4 because the Cds matured in 2007 and the Bank Account (line 94) was closed in 2007. These items were reported on the prior AO-10 form because, as a nominee, I was required to report investments starting January 1, 2007. Since these assets were terminated in 2007, there was no gain during the 2008 reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Slomsky, Joel H.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 03/16/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, Pennsylvania 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

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☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. sole proprietor	Joel Harvey Slomsky, Esquire
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2008	Joel Harvey Slomsky, Esquire, 401(k) Profit Sharing Plan, control
2. 2008	Joel Harvey Slomsky, Esquire, Defined Benefit Pension Plan, control
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Joel Harvey Slomsky, Esquire--Law Firm	\$352,290.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	[REDACTED] -Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	D	Dividend	L	T					
2. --Vanguard Wellington Fund									
3. --Vanguard Star Fund									
4. IRA #2	B	Interest	K	T					
5. --E*Trade Bank Cash Account					Closed	11/3	J	A	
6. --E*Trade Bank (CD)					Matured	11/3	K	B	
7. --E-Trade Bank (CD)					Matured	9/21	K		
8. --First Merchants Bank NA (CD)									
9. --Morgan Stanley Bank (CD)									
10. 401(k) Profit Sharing Plan	E	Int./Div.	P1	T					
11. --American Fund-Capital Income Builder					Sold	6/19	K	A	
12. --Ivy Asset Strategy Fund									
13. --Vanguard Wellington Fund									
14. --Vanguard Star Fund									
15. --U.S. Treasury Strip									
16. --Israel State Bond									
17. --America's Bank (CD)					Matured	10/27	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Banco Popular (CD)					Matured	6/26	J	A	
19. --Banco Popular (CD)									
20. --Banco Popular (CD)									
21. --Bank of the Ozarks (CD)									
22. --Cleveland State Bank (CD)									
23. --Discover Bank (CD)					Matured	7/17	K	B	
24. --Discover Bank (CD)									
25. --East West Bank (CD)									
26. --Farmers and Merchants Bank (CD)									
27. --Foundations Bank (CD)									
28. --First National Bank (CD)									
29. --LaSalle Bank NA (CD)					Matured	6/13	K	B	
30. --Magnet Bank (CD)									
31. --Paragon National Bank (CD)									
32. --Select Bank (CD)					Matured	6/20	K	A	
33. --Washington Mutual Bank (CD)					Matured	6/12	K	A	
34. --Citizens Bank (CD)					Matured	8/8	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --Citizens Bank (CD)					Matured	8/21	K	A	
36. --Fidelity Money Market Account									
37. --Main Street Bank (CD)					Matured	5/23	K	C	
38. --FirstBank Puerto Rico (CD)					Matured	4/18	J	A	
39. --FirstBank Puerto Rico (CD)					Matured	3/8	K		
40. --Columbian Bank & Trust Company (CD)					Matured	3/31	J	A	
41. --Washington Mutual Bank (CD)					Matured	11/1	J		
42. --Lehman Brothers Bank (CD)					Matured	4/28	J	A	
43. --GMAC Bank (CD)					Matured	3/31	L	B	
44. --Flagstar Bank (CD)					Matured	4/13	L		
45. --Lord Albert Mid-Cap Value Fund					Sold	1/2	K	A	
46. --Alger Mid-Cap Growth Fund					Sold	1/22	K	A	
47. --Federated Market Opportunity Fund					Sold	2/27	K		
48. --Federal National Mortgage Association Bond					Redeemed	11/9	M		
49. --Federal National Mortgage Association Bond					Redeemed	12/3	M		
50. --Federal Home Loan Mortgage Corporation Bond					Redeemed	5/15	M		
51. --Citizens Bank (CD)					Matured	5/8	L		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-69 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Bank Clark County (CD)									
53. --Mercantile Bank (CD)									
54. --Vanguard Intermediate Term Index Bond Fund									
55. --Vanguard Wellington Fund									
56. --Vanguard Star Fund									
57. --Capital One Bank USA (CD)									
58. --First Merchants Bank NA (CD)									
59. --Morgan Stanley Bank NA (CD)									
60. --First Commercial Bank FL (CD)									
61. --GMAC Bank (CD)									
62. --America Fund-Capital Income Builder									
63. Defined Benefit Pension Plan	D	Interest	N	T					
64. --Century Bank (CD)					Matured	9/8	K	A	
65. --Concord Bank (CD)									
66. --Cowlitz Bank (CD)									
67. --Discover Bank (CD)									
68. --Discover Bank (CD)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --First National Bank (CD)									
70. --Magnet Bank (CD)									
71. --Merrill Lynch Bank (CD)					Matured	8/21	K		
72. --Fidelity Money Market Account									
73. --GMAC Automotive Bank (CD)					Matured	3/31	J	A	
74. --Western Bank (CD)					Matured	2/15	L	B	
75. --Bank of Baroda NY (CD)					Matured	1/22	L	B	
76. --Lehman Brother Bank (CD)					Matured	9/4	L		
77. --Washington Mutual Bank (CD)					Matured	8/30	J		
78. --Merrill Lynch Bank and Trust Co. (CD)					Matured	6/26	K	B	
79. --Bank North (CD)									
80. --Mercantile Bank (CD)									
81. --First Commercial Bank FI (CD)									
82. Flexible Annuity Plan	A	Int/Div.	L	T					
83. --Mutual of America Conservative Allocation Fund									
84. --Mutual of America Moderate Allocation Fund									
85. --Mutual of America General Account									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. --Mutual of America Composite Fund					Sold	2/28	L	A	
87. ----Mutual of America Money Market Fund					Sold	2/28	K	A	
88. Tax Deferred Annuity	A	Int./Div.	N	T					
89. --Mutual of America Conservative Allocation Fund									
90. --Mutual of America Moderate Allocation Fund									
91. --Mutual of America Interest Accumulation Account									
92. --Mutual of America Composite Fund					Sold	2/28	M	A	
93. --Mutual of America Money Market Fund					Sold	2/28	M	C	
94. PNC Bank Money Market Account		None			Closed	4/23	M		
95. Citizens Bank Money Market Account (Y)									
96. Sovereign Bank Money Market Account	A	Interest			Closed	1/16	M	A	
97. Citizens Bank Money Market Account	A	Interest			Closed	3/27	L	A	
98. PNC Bank Money Market Account	B	Interest	M	T					
99. PNC Bank Money Market IOLTA Account		None			Closed	10/8	J		
100. Citizens Bank Select Money Market Account	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 99, Columns B-1 and D-4 were left blank because the account at PNC Bank was an IOLTA account. The interest earned on this account was sent by the Bank directly to the IOLTA Board under rules of the Pennsylvania Supreme Court. There is no income and no financial gain to a lawyer for maintaining an IOLTA account while practicing law.

Lines 7, 39, 41, 44, 47, 48, 49, 50, 51, 71, 76, 77 and 94 are left blank in Column D-4 because the Cds matured in 2007 and the Bank Account (line 94) was closed in 2007. These items were reported on the prior AO-10 form because, as a nominee, I was required to report investments starting January 1, 2007. Since these assets were terminated in 2007, there was no gain during the 2008 reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544