

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) COFFIN, FRANK M	2. Court or Organization FIRST CIRCUIT	3. Date of Report 3/25/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR CIRCUIT JUDGE	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 156 FEDERAL STREET PORTLAND, ME 04101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. TRUSTEE EMERITUS	BATES COLLEGE
2. DIRECTOR	THE GOVERNANCE INSTITUTE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

✓ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	12/2003	W. W. NORTON & COMPANY, INC. royalties	\$538.61

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Governance Institute	February 14-17 -- Washington, DC; Meeting of the Governance Institute (Transportation, Meals, and Room)
2.	American Bar Association	March 18-20 -- Washington, DC; Meeting of the Commission on Loan Repayment and Forgiveness (Transportation, Meals, and Room)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII: INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Allmerica Financial Corp.		None	K	T					
2. Amer. Intl. Group Inc.	A	Dividend	K	T					
3. FleetBoston Financial Corp.	A	Dividend	K	T					
4. H. J. Heinz	A	Dividend	J	T					
5. MERCK	A	Dividend	J	T	Partial Sale	2/13	J	D	
6. ME Health & Higher Ed 6.2% (15,000 due 7/1/03)	A	Interest			Redeem	7/01	J	A	
7. RMA MONEY MRT PORTFOLIO	A	Interest	J	T					
8. Maine State 4.875% (10,000 due 6/15/04)	A	Interest	J	T					
9. Exxon Mobil Corp.	A	Dividend	J	T					
10. Maine St. Hsg. 4.350% (20,000 due 11/15/04)	A	Interest	K	T					
11. Maine Hlth & Hgr Ed. 4.1% (10,000 due 7/1/06)	A	Interest	J	T					
12. Maine St. Highway 4.375% (10,000 due 6/15/08)	A	Interest	J	T					
13. ME Mun. Bd. 4.350% (10,000 due 11/1/07)	A	Interest	J	T					
14. Maine Hlth & Ed. 4.550% (10,000 due 7/1/07)	A	Interest	J	T					
15. Intel Corp	A	Dividend	J	T	Buy Add.	2/13	J		
16. International Business Mach	A	Dividend	J	T					
17. Templeton Foreign Fund	A	Dividend	K	T					
18. ME Hlth & Hgr Ed 4.75% (10,000 due 7/1/05)	A	Interest	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Janus Worldwide Fund (IRAs)	A	Distribution	J	T					
20. General Electric	A	Dividend	J	T					
21. Advent Software, Inc.		None		T	sold	2/13			
22. Cisco Systems, Inc		None	J	T					
23. CVS Corp.	A	Dividend	J	T					
24. Johnson & Johnson	A	Dividend	J	T					
25. McGraw Hill Inc.	A	Dividend	J	T					
26. Microsoft Corp.	A	Dividend	J	T					
27. Oshkosh Truck B	A	Dividend	K	T					
28. Tiffany & Co.	A	Dividend	J	T					
29. Affiliated Computer Serve CLA		None	J	T					
30. Automatic Data Processing	A	Dividend	J	T					
31. Cintas Corp	A	Dividend	J	T	Buy Add.	2/13	J		
32. Home Depot Inc	A	Dividend	J	T					
33. Totalfina Elf SA ADR	A	Dividend	J	T					
34. Wells Fargo & Co	A	Dividend	J	T					
35. Del Monte Foods Co		None			Sold	2/13	J	A	
36. Cardinal Health Inc.	A	Dividend	J	T	Buy	2/13	J		

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. ME HLTH & HIGH ED 2.5%/due 7/1/08	A	Dividend	K	T	Buy	9/18	K		
38. Omnicom Group, Inc.	A	Dividend	J	T	Buy	2/13	J		
39. UBS Bank Deposit Program	A	Interest	J	T	Buy	10/24	J		
40. Medco Health Solutions, Inc. (spinoff of Merck)		None	J	T	Spinoff	8/20	J		
41.					Partial Sale	8/20	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date March 24, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILE INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544