



**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Owen, Priscilla R.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 05/16/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address Homer Thornberry Judicial Bldg 903 San Jacinto Blvd., Rm 434 Austin, Texas 78701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Texas Hearing and Service Dogs
2. Advisory Board Member	Federalist Society, Austin Chapter
3. Advisory Board Member	Federalist Society, Houston Chapter
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010	State of Texas Employee Retirement System, Judicial Retirement Plan System II; age 65, 50% of state salary paid TX Supreme Court justice or 40% at 60
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	United States Department of Agriculture - Direct and Counter Cyclical Program	\$217.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Federated Prime Obligation #10	A	Dividend	J	T	Sold (part)	01/14/10	J		
2.					Sold (part)	02/16/10	J		
3.					Sold (part)	03/15/10	J		
4.					Sold (part)	04/15/10	J		
5.					Sold (part)	05/17/10	J		
6.					Sold (part)	06/15/10	J		
7.					Sold (part)	07/15/10	J		
8.					Sold (part)	08/16/10	J		
9.					Sold (part)	09/16/10	J		
10.					Sold (part)	10/18/10	J		
11.					Sold (part)	11/16/10	J		
12.					Sold (part)	12/15/10	J		
13.					Buy (add'l)	01/04/10	J		
14.					Buy (add'l)	02/02/10	J		
15.					Buy (add'l)	03/01/10	J		
16.					Buy (add'l)	03/30/10	J		
17.					Buy (add'l)	04/05/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	05/03/10	J		
19.					Buy (add'l)	06/01/10	J		
20.					Buy (add'l)	06/29/10	J		
21.					Buy (add'l)	07/01/10	J		
22.					Buy (add'l)	08/02/10	J		
23.					Buy (add'l)	09/01/10	J		
24.					Buy (add'l)	09/24/10	J		
25.					Buy (add'l)	10/04/10	J		
26.					Buy (add'l)	11/01/10	J		
27.					Buy (add'l)	12/01/10	J		
28.					Buy (add'l)	12/16/10	J		
29.					Buy (add'l)	12/27/10	J		
30.	T Rowe Price Mid-Cap Growth Fund	None	J	T					
31.	Vanguard Index Funds 500 Index Fund Signal Shs (fmrlly Vangua	A	Dividend	J	T				
32.	1/8 interest, surface [REDACTED] Matagorda County, TX	B	Rent	L	W				
33.	1/12 mineral interest [REDACTED] Matagorda County, TX	A	Rent	J	W				
34.	1/4 surface interest in [REDACTED] Matagorda County, TX	A	Rent	K	W				

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. mineral interest, [REDACTED] Matagorda County TX (Brigham)		None	J	W					
36. [REDACTED] land, Matagorda County, TX	A	Rent	J	W					
37. net royalty .0040779 (Apache), Matagorda County, TX		None	J	W					
38. net royalty .0016627 (Unit) Matagorda County, TX		None	J	W					
39. 7/24 mineral int. (Holliman), [REDACTED] Matagorda Cty, TX		None	J	W					
40. royalty or mineral interests in Matagorda, Brazoria, Cts., TX		None	J	W					
41. cash, Community Bank & Trust	A	Interest	J	T					
42. cash, Chase checking	A	Interest	J	T					
43. cash, Chase money market	A	Interest	J	T					
44. Federated Prime Obligation #10 (IRA)	A	Dividend	M	T					
45.					Sold (part)	01/14/10	J		
46.					Sold (part)	02/16/10	J		
47.					Sold (part)	03/15/10	J		
48.					Sold (part)	03/18/10	K		
49.					Sold (part)	03/29/10	K		
50.					Sold (part)	04/15/10	J		
51.					Sold (part)	05/17/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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(See Column C2)

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C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

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P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

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52.					Sold (part)	06/15/10	J		
53.					Sold (part)	06/28/10	K		
54.					Sold (part)	07/15/10	J		
55.					Sold (part)	07/28/10	K		
56.					Sold (part)	08/16/10	J		
57.					Sold (part)	09/15/10	J		
58.					Sold (part)	10/18/10	J		
59.					Sold (part)	11/16/10	J		
60.					Sold (part)	12/15/10	J		
61.					Sold (part)	12/30/10	J		
62.					Sold (part)	12/31/10	J		
63.					Buy (add'l)	01/04/10	J		
64.					Buy (add'l)	01/05/10	J		
65.					Buy (add'l)	01/28/10	J		
66.					Buy (add'l)	01/28/10	K		
67.					Buy (add'l)	02/01/10	J		
68.					Buy (add'l)	02/02/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

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69.					Buy (add'l)	03/01/10	J		
70.					Buy (add'l)	03/15/10	K		
71.					Buy (add'l)	03/25/10	J		
72.					Buy (add'l)	03/30/10	J		
73.					Buy (add'l)	03/31/10	J		
74.					Buy (add'l)	04/01/10	J		
75.					Buy (add'l)	04/05/10	J		
76.					Buy (add'l)	04/23/10	J		
77.					Buy (add'l)	05/03/10	J		
78.					Buy (add'l)	06/01/10	J		
79.					Buy (add'l)	06/10/10	J		
80.					Buy (add'l)	06/24/10	J		
81.					Buy (add'l)	06/29/10	J		
82.					Buy (add'l)	06/30/10	J		
83.					Buy (add'l)	07/01/10	J		
84.					Buy (add'l)	07/22/10	J		
85.					Buy (add'l)	07/22/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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86.					Buy (add'l)	07/30/10	J		
87.					Buy (add'l)	08/02/10	J		
88.					Buy (add'l)	09/01/10	J		
89.					Buy (add'l)	09/08/10	K		
90.					Buy (add'l)	09/17/10	K		
91.					Buy (add'l)	09/24/10	J		
92.					Buy (add'l)	09/24/10	K		
93.					Buy (add'l)	09/29/10	K		
94.					Buy (add'l)	09/30/10	J		
95.					Buy (add'l)	10/01/10	J		
96.					Buy (add'l)	10/04/10	J		
97.					Buy (add'l)	10/25/10	K		
98.					Buy (add'l)	11/01/10	J		
99.					Buy (add'l)	12/01/10	J		
100.					Buy (add'l)	12/09/10	J		
101.					Buy (add'l)	12/09/10	K		
102.					Buy (add'l)	12/23/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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103.					Buy (add'l)	12/23/10	K		
104.					Buy (add'l)	12/27/10	J		
105.					Buy (add'l)	12/31/10	J		
106. LKCM Small Cap Equity Fund (IRA)		None			Sold	09/24/10	K		
107. T Rowe Price Blue Chip Growth Fund (IRA)		None			Sold	09/17/10	K		
108. T Rowe Price Mid-Cap Growth Fund (IRA)		None			Sold	09/08/10	K	D	
109. T Rowe Price Equity Income Fund		None			Sold	10/25/10	K	A	
110. Vanguard Index Funds 500 Index Signal Shs (IRA)(fmrly Vangua	B	Dividend	L	T	Sold (part)	12/23/10	K	A	
111. Vanguard Total Stock Market Fund (IRA)	A	Dividend			Sold	10/25/10	J	A	
112. Vanguard Developed Mkts Index Fund		None			Sold	10/25/10	K	A	
113. Dodge & Cox International (IRA)	B	Dividend	L	T					
114. Federal Home LN MTG Corp MTN NOTE 5.0% 1/30/14 (IRA)	A	Interest	J	T					
115. FHLMC StrNt 3% 10-28-16		None			Redeemed	01/28/10	K		
116. FNMA 3.00% 12/15/16		None			Redeemed	03/15/10	K		
117. FNMA StrNt 2% 12/9/16	A	Interest			Redeemed	12/09/10	K		
118. FNMA StrNt 4% 10/22/24	A	Interest			Redeemed	07/22/10	K		
119. Vanguard Short Term U.S. Treasury Portfolio	A	Dividend	K	T					

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E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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Owen, Priscilla R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Vanguard Fixed Income Secs Fund Incinc Short Term Gov't Bond	A	Dividend	K	T					
121. FNMA StrNt 1.5% 7/28/15		None	L	T	Buy	07/28/10	K		
122. FNMA StrNt 2% 12/30/20		None	L	T	Buy	12/30/10	K		
123. Vanguard GNMA Fund Investor Shares	A	Dividend	J	T	Buy	03/18/10	J		
124. Vanguard Intermediate Term Inv Grade Fund Inv Sh	A	Dividend	J	T	Buy	06/28/10	J		
125. Vanguard Short Term Investment Grade Bond Fund	A	Dividend	J	T	Buy	03/18/10	J		
126. Vanguard Intermediate Term Tax-Exempt Fund	A	Dividend	J	T	Buy	06/28/10	J		
127. National Covenant Properties -- CD	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Priscilla R. Owen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544