

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FERNANDEZ, FERDINAND F	2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 3/30/09
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE- SENIOR	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/08 to 12/31/08
7. Chambers or Office Address 125 SO. GRAND AVE PASADENA, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

RECEIVED
109 APR -3 P 3:39
U.S. DISTRICT COURT
PASADENA, CA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

FERNANDEZ, FERDINAND F

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2008	CHAFFEY COLLEGE
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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FERNANDEZ, FERDINAND F.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. West Publishing Co	Books	\$ 685.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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FERNANDEZ, FERDINAND F.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts at Panama First Fed. Bank	B	INT	K	T					
2. Accts at Wells Fargo Bank	A	INT	L	T					
3. Accts at JPMorgan Chase Bank (formerly Wash Mt.)	C	INT	L	T					
4. Accts at Bank of America	C	INT	M	T					
5. Accts. at Downey Savings	C	INT	L	T					
6. Accts at Union Bank	NONE		M	T	Open	12/18	M		
7. Accts at Community Bank	C	INT	M	T					
8. Accts at Vinyard Bank	D	INT	M	T					
9. Accts at Wachovia Bank	C	INT	L	T					
10. Accts. at Pacific Western Bank	C	INT	M	T					
11. Accts at U.S. Bank	C	INT	M	T					
12. Accts at Community Commerce Bank	C	INT	M	T					
13. Acct. at Franklin Bank	C	INT			Close	10/24	L		
14. Acct at Capmark Bank	C	INT			Close	1/28	L		
15. Acct at Comerica Bank	NONE		L	T	Open	10/29	L		
16. Acct at First Bank of Georgia	NONE		L	T	Open	1/31	L		
17. Am Fund Small Cap World C/A	A	DIV	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Am. Fund Cap Income Builder C/A	A	DIV	K	T	Distribn from IRA	9/8	J		
19. Am Fund New Economy C/A	A	DIV	J	T					
20. Income Fund of America C/A	A	DIV	J	T					
21. Amer Cap Fund C/A	A	DIV	J	T					
22. Am. Fund Balanced Fund C/A	A	DIV	J	T					
23. Am Fund Cap World Growth C/A	A	DIV	K	T					
24. Am Fund Euro Pacific Growth Fund C/A	A	DIV	J	T					
25. Am Fund Growth Fund of Am. C/A	A	DIV	K	T					
26. Am Fund Inv. Co. of Am C/A	A	DIV	J	T					
27. Am Fund New World Growth Fund C/A	A	DIV	J	T					
28. Washington Mot. Inv. Fund C/A	A	DIV	K	T	Distribn from IRA	9/8	K		
29. Van Kampen Enterprise Fund C/A	A	DIV	J	T	Distribn from IRA	10/13	J		
30. Van Kampen Cap Growth Fund C/A	A	DIV	K	T	Distribn from IRA	10/13	K		
31. Van Kampen Real Estate Securities Fund C/A	A	DIV	K	T	Distribn from IRA	10/13	K		
32. UST Bills	A	INT	K	T					
33. UST Bills	A	INT	L	T					
34. UST Bills	A	INT	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FERNANDEZ, FERDINAND F

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3/30/09

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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35. U.S. T Bills	A	INT	K	T					
36. U.S. T Bills	A	INT	K	T					
37. U.S. T Bills	A	INT	K	T					
38. U.S. T Bills	A	INT	K	T					
39. U.S. T Bills	A	INT	K	T					
40. U.S. T Bills	C	INT	N	T					
41. U.S. T Bills	B	INT	L	T					
42. U.S. T Bills	B	INT	L	T					
43. Trust #1; Income Beneficiary (Items 44-64)									
44. (a) Wells Fargo Trustee									
45. Wells Fargo comm. (i) Trust Funds & Cash	C	Div./INT	L	T	Sold	4/27	J	B	
46. (ii) I shares MSCI Emerging Mkts	A	DIV.	J	T	Sold	4/27	J	B	
47. Pimco comm. strategy Fund CI 1 #45	A	DIV	None		Buy	12/26	J	-	
48. (iv) Vanguard REIT VIPER	A	DIV	None		Sold	4/23	J	A	
49. ??					Sold	7/23	J	B	
50. (v) I shares T1-3 Treasury	A	DIV	None		Purchase	2/21	J	-	
51. (vi) Templeton Global Bond Fund #616	A	DIV	J	T	Purchase	7/25	J	-	
					Sold	4/25	J	A	
					Sold	12/26	J	A	
	A	DIV	None		Sold	2/21	K	A	

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Date of Report

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52(vii) S&P Depository Receipts			None		Sold	2/21	J	A	
53(viii) Epoch US Large Cap. Equity Ins #4493	A	DIV	J	T	Purchase	12/3	J	-	
54(ix) I Shares Russell 1000 Growth	A	DIV	J	T	Purchase	12/3	J	-	
55(x) I Shares TR Russell 1000 Index Fund	A	DIV	J	T	Purchase	12/26	J	-	
56(x) I Shares TR Russell Midcap Index FD	A	DIV	J	T	Purchase	12/26	J	-	
57(x) I Shares TR Dow Jones US Real Est. Index FD	A	DIV	J	T	Purchase	12/26	J	-	
58(xiii) Hussman Synthetic Growth FD #601	A	DIV	J	T	Purchase	2/8	J	-	
					Purchase	3/20	J	-	
59. "					Purchase	4/24	J	-	
60(xiv) PowerShares D B Commodity Index	A	DIV	J	T	Purchase	12/26	J	-	
61(xv) I Shares MSCI EAFE	A	DIV	J	T	Purchase	12/26	J	-	
62(xvi) Vanguard Emerging METS ETF	A	DIV	None		Purchase	4/25	J	-	
					Purchase	7/25	J	-	
63. "			"		Purchase	10/20	J	-	
					Sale	12/26	J	A	
64(b) Real Property in Clifton, NJ (Trustee Unit)	None		J	W					
65. IRA (Items 66-)									
66. Select Notes Trust Secs LT #5	A	INT	J	T					
67. GNMA Pool Cert.	A	INT	J	T					
68. Am. Fund Cap. Inc Bilder C/A	B	DIV	J	T	Partial Distrib	9/8	J	-	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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69. Am Fund Growth Fund of Am. C/A	A	DIV	J	T					
70. Am Fund Income Fund of America C/A	A	DIV	J	T					
71. Van Kampen Comstock Fund C/A	A	DIV	J	T					
72. Van Kampen Enterprise Fund C/A	A	DIV	J	T	Partial Distrib.	10/13	J	-	
73. Van Kampen Cap Growth Fund (formerly Pace Fund)	A	DIV.	None		Distrib.	10/13	K	-	
74. Van Kampen Real Estate Securities Fund C/A	A	DIV	None		Distrib.	10/13	K	-	
75. Washington Mutual Invest Fund C/A	A	DIV	None		Distrib	9/8	K	-	
76.									
77.									
78.									
79.									
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81.									
82.									
83.									
84.									
85.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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FERNANDEZ, FERDINAND F

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR ATTEMPTS TO FALSIFY THIS REPORT IS SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544