

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BLAKE, CATHERINE C.	2. Court or Organization U S DISTRICT COURT, MARYLAND	3. Date of Report 08/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 7310 U S COURTHOUSE 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TREASURER	FEDERAL JUDGES ASSOCIATION
2. CO-TRUSTEE	TRUST#1
3. PERSONAL REPRESENTATIVE	ESTATE #1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. IRA-Delaware Core Plus Bond Fund A Class	B	Dividend	K	T					
2. IRA-T Rowe Price-Equity Income	A	Dividend	K	T					
3. IRA-T Rowe Price-Growth Stock	A	Dividend	K	T					
4. IRA-T Rowe Price-New Asia	A	Dividend	J	T					
5. IRA-T Rowe Price-New Era	A	Dividend	K	T					
6. IRA-T Rowe Price-Science & Technology		None	K	T					
7. IRA-T Rowe Price-Spectrum Income	B	Dividend	K	T					
8. State of Maryland Deferred Compensation Plan	B	Dividend	L	T					
9. Wells Fargo Bank-accounts	A	Int./Div.	K	T					
10. IRA-First Financial Credit Union	A	Dividend	J	T					
11. IRA - MetLife		None	J	T					
12. TSA - MetLife		None	L	T					
13. Guardian Whole Life	B	Dividend	L	T					
14. MetLife Whole Life	A	Dividend	J	T					
15. MetLife Whole Life	A	Dividend	J	T					
16. T Rowe Price Md Tax-Free Bond Fund	A	Dividend	J	T					
17. Trust #1	E	Int./Div.							see note Pt VIII

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
18. --Ferris Baker Watts Reserve Primary Fund(Y)									
19. --DWS Municipal Managed Municipal Bond Fund Class A					Sold (part)	5/2/11	L		
20. --DWS Municipal Managed Municipal Bond Fund Class A					Distributed	11/28/11	L		
21. --Frederick County Md Edl Rv FCS Mount St Mary's CLG Bond(Y)									
22. --Carroll Co Md Cons Pub Imp Bond 2007					Distributed	11/28/11	K		
23. --Maryland Hlth & HEFA Rev SR Fred Mem Hosp Bond					Distributed	11/28/11	K		
24. --AT&T common stock					Distributed	11/28/11	L		
25. --BB&T Corp common stock					Sold (part)	4/29/11	K		
26. --BB&T common stock					Buy (add'l)	8/10/11	K		
27. --BB&T common stock					Distributed	11/28/11	K		
28. --Exxon Mobil Corp common stock					Sold (part)	4/29/11	L	E	
29. --Exxon Mobil common stock					Distributed	11/28/11	M		
30. --Grainger WW Inc common stock					Distributed	11/28/11	K		
31. --Heinz HJ Co common stock					Distributed	11/28/11	L		
32. --Idearc Inc common stock(Y)									
33. --Illinois Tool Works Inc common stock					Distributed	11/28/11	K		
34. --JPMorgan Chase & Co common stock					Distributed	11/28/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
35. --Johnson & Johnson common stock					Distributed	11/28/11	K		
36. --Lilly Eli & Co common stock					Distributed	11/28/11	L		
37. --Merck & Co Inc common stock					Distributed	11/28/11	K		
38. --PPG Industries Inc common stock					Distributed	11/28/11	K		
39. --3M common stock					Sold (part)	4/29/11	K	D	
40. --3M Co common stock					Distributed	11/28/11	L		
41. --Urstadt Biddle Properties Inc Class A					Distributed	11/28/11	K		
42. --Verizon Communications Inc common stock					Distributed	11/28/11	K		
43. --Fairpoint Communications Inc common stock(Y)									
44. --Frederick Cnty Md B/E Ret Comnty Rev Buckingham's Choice					Sold	11/10/11	J		
45. --Frontier Comm Corp common stock					Distributed	11/28/11	J		
46. --Md Hlth&HEFA Rev Fred Mem Hosp Bond					Distributed	11/28/11	K		
47. --US Gov't Money Market Fund RBC Reserve Class					Distributed	11/28/11	J		
48. --MontCoMdRevBd 2011A					Buy	5/2/11	K		
49. --MontCoMdRevBd 2011A					Distributed	11/28/11	K		
50. --MdHlth&HEFARevBd GBMC					Buy	5/2/11	K		
51. --MdHlth&HEFARevBd GBMC					Redeemed (part)	7/1/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
52. --MdHlth&HEFARevBd GBMC					Distributed	11/28/11	K		
53. --MontCoMdRevBd 2004					Buy	5/6/11	K		
54. --MontCoMdRevBd 2004					Distributed	11/28/11	K		
55. --WashCoMdPubImpBd 2011					Buy	5/12/11	K		
56. --WashCoMdPubImpBd 2011					Distributed	11/28/11	K		
57. --CmntyDevAdminMd RevBd 2011B					Buy	5/16/11	K		
58. --CmntyDevAdminMd RevBd 2011B					Distributed	11/28/11	K		
59. --MdHlth&HEFARevBd 2006					Buy	7/12/11	K		
60. --MdHlth&HEFARevBd 2006					Distributed	11/28/11	K		
61. Delaware High Yield Opp Fund A Class	B	Dividend	K	T					
62. T Rowe Price Md Tax-Free Money	A	Dividend	J	T					
63. M&T Bank account	A	Interest	J	T					
64. NewPerspFdIncCIA (American Funds)	A	Int./Div.	K	T					
65. RBC BrokerageAcc't (X)	A	Int./Div.	N	T					see note Pt VIII
66. --RBC BankDepProg									
67. --AT&T common stock									
68. --BB&T Corp common stock									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

I11=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

I12=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --Eli Lilly & Co common stock									
70. --Exxon Mobil Corp common stock									
71. --Frontier Comm Corp common stock									
72. --H J Heinz Co common stock									
73. --Ill Tool Works Inc common stock									
74. --Johnson & Johnson common stock									
75. --JPMorgan Chase & Co common stock									
76. --Merck&Co Inc common stock									
77. --PPG Ind Inc common stock									
78. --Verizon Comm common stock									
79. --WW Grainger Inc common stock									
80. --3M Co common stock									
81. --Urstadt Biddle Properties Inc Class A									
82. --DWS Mun Mgd Mun Bond Fund Class A									
83. --MontCoMdRevBd 2004									
84. --CarrollCoMdConsPub ImpBd 2007									
85. --MdHlth&HEFARevBd Fred Mem Hosp									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. --CmntyDevAdminMd RevBd 2011B									
87. --WashCoMdPubImpBd 2011									
88. --MontCoMdRevBd 2011A									
89. --MdHlth&HEFARevBd GBMC									
90. --MdHlth&HEFARevBd FredMemHosp									
91. BB&T Corp brokerage account - IRA	B	Int./Div.	M	T					see note Pt VIII
92. --BB&T Prime Mny Mkt Immf Btpi					Open	01/13/11	M		
93.					Redeemed (part)	01/20/11	K		
94.					Redeemed (part)	01/24/11	L		
95.					Buy (add'l)	05/25/11	J		
96.					Redeemed (part)	05/26/11	J		
97.					Redeemed (part)	08/15/11	J		
98.					Buy (add'l)	08/18/11	J		
99.					Buy (add'l)	10/07/11	J		
100.					Redeemed (part)	10/13/11	J		
101.					Buy (add'l)	12/07/11	J		
102.					Redeemed (part)	12/08/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	12/12/11	J		
104. --BB&T Intermed.U.S. Gov't Fund Inst. Fd.					Buy	01/24/11	J		
105. --name change to Sterling Capital Intermed. U.S. Gov't Fund					Buy (add'l)	12/08/11	J		
106. --BB&T MidCap Fund Trust					Buy	01/24/11	J		
107. --name change Sterling Capital MidValue Fund					Sold (part)	10/11/11	J		
108.					Sold (part)	12/08/11	J		
109. --BB&T Total Return Bond Fund					Buy	01/24/11	K		
110. --name change Sterling Capital Total Return Bond Fund					Sold (part)	08/17/11	J		
111.					Buy (add'l)	12/08/11	J		
112. --BB&T Equity Income Fund					Buy	01/24/11	J		
113. --name change Sterling Capital Equity Income Fund					Sold (part)	10/11/11	J		
114. --BB&T Special Opp. Fund					Buy	01/24/11	J		
115. --Cohen&Steers Inst. Realty					Buy	01/20/11	J		
116. --Credit Suisse Comm. Return Strat. Fd					Buy	01/20/11	J		
117. --DWS Cap. Growth Fd Inst. Class					Buy	01/24/11	J		
118. --DWS Cap. Growth Fd. Inst. Class					Buy (add'l)	10/13/11	J		
119. --DWS Cap. Growth Fd. Inst. Class					Sold (part)	12/08/11	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Eaton Vance Large Cap Value Fd.					Buy	01/24/11	J		
121. --Eaton Vance Large Cap Value Fd.					Sold (part)	10/11/11	J		
122. --Federated MDT Small Cap Growth Fd.					Buy	01/24/11	J		
123. --Absolute Strat. Fd. Inst. Share Class					Buy	01/20/11	J		
124. --Absolute Strat. Fd. Inst. Share Class					Sold (part)	05/24/11	J		
125. --Forward Int'l Small Companies Fd.					Buy	01/20/11	J		
126. --Goldman Sachs Growth Opp. Fd.					Buy	01/24/11	J		
127. --Goldman Sachs Growth Opp. Fd.					Sold (part)	12/08/11	J		
128. --Harbor Int'l. Fd. Inst. Class					Buy	01/20/11	J		
129. --Harbor Int'l. Fd. Inst. Class					Sold (part)	10/06/11	J		
130. --Lazard Emerging Mkts. Portfolio Inst. Class					Buy	01/20/11	J		
131. --Managers AMG FQ Global Alt. Fd. Class A					Buy	01/24/11	J		
132. --Oppenheimer Developing Markets Fd Class Y					Buy	01/24/11	J		
133. --Pimco Foreign Bond Fd.					Buy	01/20/11	J		
134. --Pimco Foreign Bond Fd.					Sold (part)	12/06/11	J		
135. --Virtus Quality Small-Cap Fd Class I					Buy	01/24/11	J		
136. --Wasatch - 1st Source Long/Short Fd					Buy	01/20/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
137. --Harding Loevner Int'l. Equity Portfolio					Buy	01/20/11	J		
138. --Harding Loevner Int'l. Equity Portfolio					Buy (add'l)	10/11/11	J		
139. --Highland Long/Short Equity Fd. Class A					Buy	05/26/11	J		
140. --American Century Premium Money Mkt. Fd. Investor Class					Buy	10/11/11	J		
141. Estate #1(X)	A	Interest	P1	T					
142. --BB&T bank account									
143. --M&T bank account									
144. --RBC bank deposit program									
145. --Wells Fargo bank account									
146. Estate #1(X)									
147. --Real Property Frederick County, MD		None	O	Q					See note Part VIII.
148. TRowePrice 529 college savings plan Portfolio 2027	A	Int./Div.	J	T	Buy	12/15/11	J		See note Part VIII.
149. TRowe Price 529 college savings plan Portfolio 2027	A	Int./Div.	J	T	Buy	12/15/11	J		
150.									
151.									
152.									
153.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

line 17 Trust #1 was fully distributed in November 2011 following the death of the income beneficiary.

line 65 This brokerage account was opened in November 2011 with the proceeds of my share of the distribution of Trust #1.

line 91 This retirement account at BB&T was opened on January 13, 2011, by a transfer of funds from the [REDACTED] 401(k) account which closed at the end of 2010. Initially the cash was placed in a BB&T money market fund. Throughout the year numerous transactions were conducted by the asset managers. All those that meet the threshold amount of \$1,000 or more are reported in Part VII.

line 144 This estate was opened in September 2011 by me as the personal representative. The assets were in the form of cash and real estate. The real estate was appraised as of the date of death of the owner, which was August 23, 2011.

lines 148 and 149 Only the time horizon (level of risk) can be selected as to these plans, there is no discretion to control the individual assets.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

08/02/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CATHERINE C. BLAKE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544