

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2005Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Block, Frederic	2. Court or Organization U.S. District Court	3. Date of Report 04/26/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 225 Cadman Plaza E. Eastern District Court of NY Brooklyn, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Officer	Frederic Block, P.C.
2.	
3.	
4.	
5.	

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FINANCIAL
DISCLOSURE REPORT

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2005	NEW YORK STATE TEACHERS' RETIREMENT
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*SOURCEDESCRIPTION

1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ALLIED CAPITAL CORP.	B	Dividend	K	T					
2. AMERICA MOVIL SER L ADR (1)	A	Dividend	K	T					
3. CITIGROUP INC. (1)	A	Interest	J	T					
4. DNP SELECT INCOME FD INC.	B	Dividend	K	T					
5. DOW CHEMICAL	A	Dividend			SELL	5/23	K	D	
6. DOW CHEMICAL(1)	A	Dividend	K	T					
7. GEORGIA PACIFIC CORP.(1)	A	Dividend			SELL	11/14	J	C	
8. INTERNET HOLDERS DEP RCPT(1)			J	T					
9. ITT INDS INC INDIANA(1)	A	Dividend			SELL	10/26	K	D	
10. LYONDELL CHEMICAL PV(1)	A	Dividend	J	T					
11. NORTHFORK BANCORP(1)	A	Dividend	J	T					
12. PRUDENTIAL FINANCIAL INC	A	Dividend			SELL	5/13	K	E	
13. GENERAL ELECTRIC(1)	A	Dividend	K	T					
14. CHEVRON/TEXACO CORP.(1)	A	Dividend	J	T					
15. HOME DEPOT	A	Dividend			SELL	5/23	J	C	
16. ALLIED CAPITAL CORP.(1)	B	Dividend	K	T					
17. DNP SELECT INCOME FUND INC.(1)	B	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

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18. WAL-MART STORES	A	Dividend			SELL	5/23	J	B	
19. PROCTOR & GAMBLE	A	Dividend			SELL	5/23	K	D	
20. EXXON MOBIL CORP.	A	Dividend			SELL	5/23	K	D	
21. MICROSOFT	A	Dividend			SELL	5/23	K		
22. NORTH FORK BANCORP	A	Dividend	J	T					
23. WASHINGTON MUTUAL INC(1)	A	Dividend			SELL	1/27	J		
24. BLACKROCK INCM TR INC(1)	B	Dividend	K	T					
25. BLACKROCK INCM TR INC	B	Dividend	K	T					
26. BLACKROCK NY MN I TR SBI	C	Dividend	L	T					
27. EATON VANCE TAX ADV. DIV. INC. FD.	B	Dividend	K	T					
28. ACE LIMITED DEP SHR REP 1/10 CUM RED 7.8% SER C(1)	A	Interest	J	T					
29. KIMCO REALTY CORP. DEP SHR REP 1/10 CUM RD 6.65% PFD SHR (1)	A	Interest	J	T					
30. CITIGROUP CAP. VIII DEF INT TRUST PFD STK 6.950% 09/15/31	B	Interest	K	T					
31. GENERAL MOTORS ACEP CORP PUBLIC INC NOTES 7.35% 08/08/32	B	Interest	J	T	P. SELL	4/11	J		
32. GENERAL ELECTRIC CAPTL SENIOR NOTES PFD 5.85% 2/18/33	B	Interest			SELL	3/17	K		
33. GENERAL ELECTRIC CAPTL SENIOR NOTED PFD 5.875% 2/18/33(1)	B	Interest	K	T					
34. VIRGINIA POWER CAP TR II GRD TR PFD SECS 7.375% 07/30/42	B	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. CITIGP CAPITAL VIII DEF INT TRUST PFD STK 6.959% 09/15/31(1)	B	Interest	K	T					
36. GENERAL MOTORS ACEP CORP PUBLIC INC NTS 7.35% 08/08/32(1)	B	Interest			SELL	9/12	K	D	
37. VIRGINIA POWER CAP TR II GTD TR PFD SECS 7.375% 07/30/42(1)	B	Dividend	K	T					
38. METRO TRANSIT 5.75 7/13	A	Interest	J	T					
39. NYC IND DEV 6.0 1/15	B	Interest	K	T					
40. NYC NY SER H 5.00 03/15/29(1)	A	Interest	J	T					
41. NYS URBAN DEV 5.375 01/01/25(1)	B	Interest	K	T					
42. HARTFORD DIRECTOR(1)		None	N	T					
43. PRU DISC SELECT		None			SELL	8/20	O	F	
44. ABN AMRO CAP FD TR VII PFD NON CUM 6.08% PERP	A	Dividend	K	T	BUY	5/17	K		
45. ROYAL BK SCOT GRP PLC 6.35% NONCUM PRF SR-N	A	Dividend	K	T	BUY	5/12	K		
46. WALT DISNEY SR PFD NOTES 7% 11/01/31	A	Dividend	K	T	BUY	5/25	K		
47. CATERPILLAR INC DEL	A	Dividend	K	T	BUY	7/21	K		
48. MUNIYIELD NY INS FD INC	A	Dividend	K	T	BUY	5/25	K		
49. NUVEEN NY QUAL INC MUNI	A	Dividend	J	T	BUY	5/25	J		
50. PIMCO NY MUN INC FD	A	Dividend	K	T	BUY	5/25	J		
51. MAYTAG CORP.PUBLIC INC NOTES 7.875%	A	Interest	J	S	BUY	5/20	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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H2 = More than \$5,000,000

M = \$100,001 - \$250,000

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52. MAYTAG CORP. PUBLIC INC NOTES 7.875%	A	Interest			SELL	7/21	J		
53. APPLE COMPUTER INC(1)		None	K	T	BUY	4/08	K		
54. MASCO CORP(1)	A	Dividend	J	T	BUY	4/8	J		
55. MORTGAGE IT HOLDINGS INC(1)	A	Dividend	J	T	BUY	9/12	K		
56. PIEDMONT NATRL GAS NC(1)	A	Dividend	K	T	BUY	4/8	K		
57. AXAENTERPRISE MERGERS % AQUIS. FD CL C (1)		None	K	T	BUY	4/8	K		
58. ML GLOBAL ALLOC. C (1)	A	Dividend	K	T	BUY	9/15	K		
59. APPLE COMPUTER(1)		None	K	T	BUY	4/8	J		
60. APPLE COMPUTER(1)		None			SELL	12/30	K	D	
61. US TREASURY NOTE 3.125% 10/15/08(2)	B	Interest	L	T	BUY	8/24	L		
62. NY TWY AT HWY-BRDG (2)	A	Interest	K	T	BUY	9/8	K		
63. ACTIVISION INC COM NEW(2)		None	J	T	BUY	10/05	J		
64. AMGEN INC COM PV (2)		None	K	T	BUY	10/31	K		
65. CAREMARK RX INC(2)		None	J	T	BUY	8/19	J		
66. COMVERSE TECH.(2)		None	J	T	BUY	9/8	J		
67. CONOCOPHILLIPS(2)	A	Dividend	K	T	BUY	10/31	K		
68. CSX CORP(2)	A	Dividend	K	T	BUY	10/31	K		

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(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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69. GLAXOSMITHKLINE PLC ADR(2)	A	Dividend	J	T	BUY	8/24	J		
70. INCO LTD(2)	A	Dividend	J	T	BUY	10/31	J		
71. ISHARES DJ US CONSUMER GOODS SEC IND FD(2)	A	Dividend	K	T	BUY	8/17	K		
72. ISHARES DJ US ENERGY SEC IND FD(2)	A	Dividend	K	T	BUY	8/17	K		
73. ISHARES DJ US REAL ESTATE IND FD(2)	A	Dividend	K	T	BUY	8/17	K		
74. ISHARES MSCI JAPAN IND FD(2)	A	Dividend	J	T	BUY	11/04	J		
75. ISHARES S&P SMALLCAP IND. FD (2)	A	Dividend	K	T	BUY	8/17	K		
76. JM SMUCKER CO(2)	A	Dividend	J	T	BUY	8/26	J		
77. JUNIPER NETWORKS INC(2)		None	J	T	BUY	11/02	J		
78. LYONDELL CHEMICAL PV(2)	A	Dividend	J	T	BUY	8/24	J		
79. MORTGAGEIT HOLDINGS INC(2)	A	Dividend	J	T	BUY	8/24	J		
80. PROCTOR & GAMBLE CO(2)	A	Dividend	J	T	BUY	8/23	J		
81. RAYTHEON CO DELAWARE NEW(2)	A	Dividend	J	T	BUY	8/24	J		
82. RYDEX ETF TR INDEX FD(2)	A	Dividend	K	T	BUY	8/17	K		
83. S&P500 EQUAL WEIGHTED(2)	A	Dividend	K	T	BUY	10/31	K		
84. SCHLUMBERGER LTD(2)	A	Dividend	K	T	BUY	10/19	K		
85. SIRIUS SATELLITE RADIO(2)		None	J	S	BUY	10/31	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

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86. TRANSOCEAN INC (2)		None	J	T	BUY	11/22	J		
87. AXAENTERPRISE MERG & ACQUI. FD CL C (2)		None	K	T	BUY	8/19	K		
88. FRANKLIN CONV. SEC. FD CL A (2)	A	Dividend	J	T	BUY	8/19	K		
89. HOTCHKIS & WILEY ALL CAPVAL FD CL C(2)		None	K	T	BUY	8/19	K		
90. ML GLOBAL ALLOC. C (2)	A	Dividend	K	T	BUY	8/19	K		
91. OPPENHEIMER REAL ASSET FD CL A (2)	A	Dividend	J	T	BUY	8/19	K		
92. MERRILL LYNCH BANK USA(2)	A	Interest	L	T	NEW ACCOUNT	8/17	L		
93. MERRILL LYNCH BANK & TRUST(2)	A	Interest	L	T	NEW ACCOUNT	8/17	L		
94. MERRILL LYNCH BANK USA(1)	A	Interest	L	T					
95. MERRILL LYNCH BANK & TRUST (1)	A	Interest	J	T					
96. MERRILL LYNCH BANK USA	A	Interest	K	T					
97. MERRILL LYNCH BANK & TRUST		None	J	T					
98. ALGER CAPITAL APPRECIATION CL B (4)		None	J	T					
99. SELIGMAN COMM & INF FD CL A (4)		None	J	T					
100. IRA - F. BLOCK									
101. -ALLIED CAPITAL CORP. NEW	C	Dividend	K	T					
102. -BELL SOUTH CORP.	A	Dividend			SELL	5/4	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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103. -CITIGROUP INC	A	Dividend			SELL	5/4	K	A	
104. -DOW CHEMICAL	A	Dividend			SELL	5/4	J	C	
105. -EASTMAN KODAK	A	Dividend			SELL	5/4	K		
106. -EATON VANCE TAX ADVT DIV INC FD	C	Distribution			SELL	5/11	K		
107. -DNP SELECT INCOME FD INC	C	Dividend	K	T					
108. -ISHARES TRUST DOW JONES SELECT DIV INDEX FD	A	Dividend			SELL	1/4	K	B	
109. -ISHARES IBB		None			SELL	1/4	J		
110. -ISHARES IYC		None			SELL	5/4	J	A	
111. -ISHARES IYK		None			SELL	5/4	J	A	
112. -ISHARES IYE		None			SELL	5/4	J		
113. -ISHARES IYH		None			SELL	5/4	J	A	
114. -LYONDELL CHEMICAL PV	A	Dividend			SELL	5/4	J	B	
115. -NEWCASTLE INVESTMENT CRP REITS DIVERS.	B	Dividend	K	T					
116. -NICHOLAS APPLGATE CONV. AND INC. FD		None			SELL	5/11	L		
117. -OIL SVC HLDERS DEP RCPT	A	Dividend			SELL	5/4	J	A	
118. -RYDEX ETF TRUST INDEX FD	A	Dividend			SELL	5/4	K		
119. -WORTHINGTON INDUSTRIES OHIO	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$30,000

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Name of Person Reporting

Block, Frederic

Date of Report

04/26/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -ALABAMA POWER CO CUM PERP & CALL PFD 5.2%	B	Interest			SELL	11/7	K		
121. -GEORGIA PWR CAP TRUST V DEF INT TR PFD 7.125% 03/31/42	B	Interest	K	T					
122. -GENERAL ELECTRIC CAPT SENIOR NOTES PFD STK 5.875% 2/18/33	B	Interest	K	T					
123. -MERRILL LYNCH & CO SRUS SER MLNP 4.15 10/4/11	B	Interest	K	T					
124. -MORGAN STANLEY - TR V DEF INT TR PFD 5.75% 7/15/33	B	Interest	K	T					
125. -HERTZ CORP. NOTES 7.4% 03/01/11	C	Interest	K	T					
126. -BEAR STEARNS CO PRD STK 5.490%	C	Dividend			SELL	12/2	K	C	
127. -FREDDIE MAC PFD NON-CUM STOCK 5.00%	B	Dividend			SELL	3/8	K		
128. -AETNA INC SR NOTES 8.5% 08/31/41	C	Interest	K	T					
129. -VIACOM INC SR RETAIL DEB 7.25% 06/30/51	B	Interest	K	T					
130. -CITIGROUP CAP VIII DEF INT TR PFD STK 6.95% 09/15/31	B	Interest	L	T					
131. -WELLS FARGO TR VI TRUST PFD SEC 6.95% 04/15/32	C	Interest	L	T					
132. -VERIZON NEW - SR NOTES 7% 5/15/42	C	Interest	K	T					
133. -VIRGINIA POWER TR II GTD TR - SECS 7.375% 07/30/42	C	Interest	K	T					
134. -VORNADO REALTY TRUST SER F CUM PFD SHS 6.75% PERP	C	Interest	K	T					
135. -BLACKROCK INCM TR INC	B	Interest	K	T					
136. -EVERGREEN MANAGED	C	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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Name of Person Reporting

Block, Frederic

Date of Report

04/26/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Ga in Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
INCOME FUND									
137. -FRANKLIN RISING DIV FD CL C	A	Dividend			SELL	11/11	K	D	
138. -HANCOCK JOHN INC S T SBI	C	Dividend	K	T					
139. -ML GLOBAL ALLOCATION C	A	Dividend	M	T					
140. -MFS GOVT MKTS INC TR SBI	B	Dividend	K	T					
141. -MFS INTER INCM TR SBI	B	Dividend	K	T					
142. -MERRILL LYNCH BANK USA RASP	A	Interest	K	T					
143. -US TREASURY NOTE 3.5% 02/15/10	B	Interest	K	T	BUY	5/11	K		
144. -GENERAL MOTORS ACCP CORP SR NOTES 7.25% 02/07/33	A	Dividend	K	T	BUY	11/7	K		
145. -PUBLIC STORAGE PFD STK SER D 6.180% PERP	A	Dividend	K	T	BUY	5/19	K		
146. -WALT DISNEY CO SR PFD NOTES 7% 11/01/31	A	Dividend	K	T	BUY	5/19	K		
147. -COHEN STEERS REIT & UTILITIES INC FD	A	Dividend	K	T	BUY	6/13	K		
148. -DLP INC	A	Dividend	J	T	BUY	6/6	J		
149. -DUKE ENERGY CORP NC NPV	A	Dividend	J	T	BUY	3/9	J		
150. -ENHANCED EQUITY YIELD FD INC	A	Dividend	K	T	BUY	5/3	K		
151. -REITS-DIVERSIFIED	A	Dividend	J	T	BUY	3/18	J		
152. -SUNAMERICA FOCUSED ALPHA GR FD INC	A	Dividend	K	T	BUY	7/26	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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J = \$15,000 or less

N = \$250,001 - \$500,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

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Name of Person Reporting

Block, Frederic

Date of Report

04/26/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -JENNISON 20/20 FOCUS FD CL C		None	K	T	BUY	11/11	K		
154. -OPPENHEIMER REAL ASSET FD CL A	A	Dividend	K	T	BUY	7/6	K		
155. -LOOMIS SAYLES INV GRADE BOND FD CL C	B	Dividend	K	T	BUY	5/6	K		
156. -LOOMIS SAYLES STRATEGIC INC FD CL C	B	Dividend	K	T	BUY	1/4	K		
157. -PIMCO FLOATING INC FD CL C	B	Dividend	L	S	BUY	5/6	L		
158. BANK OF SMITHTOWN		None	J	T					
159. WASHINGTON MUTUAL	A	Interest	J	T					
160.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

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Name of Person Reporting

Block, Frederic

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

Block, Frederic

Date of Report

04/26/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 10, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544