

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCCURN, NEAL P	2. Court or Organization US DISTRICT COURT NDNY	3. Date of Report 05/08/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address P.O. Box 7365 100 S. Clinton St. Syracuse, NY 13261-7365	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	NY State Employees Retirement System	\$ 2,661
2.	Individual Retirement Rollover Account	\$ 15,187
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	Catholic Relief Services Annuity \$347
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Accts. (Common Stocks&Bonds) UBS									
2. AT&T	B	Dividend	K	T	partial sale	1/26	J	A	
3. QWEST		None			sell	1/26	J	A	
4. Bell South	A	Dividend			sell	1/26	K	A	
5. EOG Res. Inc	A	Dividend	K	T					
6. Hewlett Packard	A	Dividend	J	T					
7. NYS Urban Dev Corp Bond		None			sell	1/1	J	A	
8. Bio Tech HLDRS Dep Repts		None	K	T					
9. Amer Elec Power Co	B	Dividend	K	T					
10. IBM	A	Dividend	K	T					
11. Intuitive Surgical - Stock		None	K	T					
12. Xerox	A	Dividend	K	T					
13. LSI Logis-Stock		None			sell	1/26	J	A	
14. YUMI Brands	A	Dividend	J	T					
15. SunMicrosystems, Inc		None	J	T					
16. Motorola A	A	Dividend			sell	9/20	J	C	
17. Pfizer, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. First Busey Corp	A	Dividend	J	T					
19. Comcast	A	Dividend			sell	1/26	J	A	
20. Lucent Tech		None			merger	1/26	J	A	
21. Campbell Strategic Allocation Fund	D	Dividend	M	T					
22. Kansas City Mun Bonds		None	K	T	partial sale	3/30	K	C	
23. Long Island NY Power Auth		None	L	T					
24. So Carolina St Pub Bonds		None	J	T	partial sale	3/30	J	A	
25. UBS M2 Fund	A	Dividend	J	T					
26. Bristol Myers Squib	A	Dividend	J	T					
27. Time Warner		None			sell	6/20	J	A	
28. Franklyn Temp Mutual Discovery	B	Dividend	L	T	partial sale	9/20	K	C	
29. Franklyn NY Tax Free Inc.	C	Dividend	M	T					
30. NYS Dorm Catholic Health Bond		None			sell	7/1	J		
31. Franklyn Temp Rising	B	Dividend	L	T					
32. First Charter Bank		None			sell	11/9	L	A	
33. Verizon Comm	A	Dividend	J	T	buy	5/12	J		market
34. Heinz H.J. Co.	A	Dividend	J	T	buy	5/12	J		market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Agilent Tech	A	Dividend	J	T	merger	12/15	J		market
36. Stryker Corp	A	Dividend	K	T	buy	5/26	K		market
37. Microsoft Corp	A	Dividend	J	T	buy	6/29	J		market
38. NYS Dorm Auth	A	Int./Div.	K	T	buy	7/6	K		market
39. Franklyn NY T/F Inc	A	Int./Div.	K	T	buy	9/20	K		market
40. Franklyn NY T/F Inc	A	Int./Div.	J	T	buy	9/25	J		market
41. Long Island NY Power Auth	A	Int./Div.	K	T	buy	11/10	K		market
42. Long Island NY Elec Auth	A	Int./Div.	K	T	buy	11/10	K		market
43. Valero Energy	A	Dividend	K	T	buy	11/10	K		market
44. MTA NY Trans Fac	A	Int./Div.	K	T	buy	1/6	K		market
45. Corning	A	Dividend	J	T	buy	1/30	J		market
46. Johnson & Johnson	A	Dividend	K	T	buy	1/30	J		market
47. NYS Loc Gov Ser B	B	Int./Div.	L	T	buy	3/30	L		market
48. NYC NY Ser H	A	Int./Div.	J	T	buy	4/6	J		market
49. NYS Loc Gov Ser C	B	Int./Div.	K	T	buy	4/6	K		market
50. NY Lol Gov Asset Corp	A	Int./Div.	J	T	buy	4/6	J		market
51. NYS Thruway Auth	A	Int./Div.	K	T	buy	9/25	K		market

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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52. Frontier St. Bk.	A	Int./Div.	J	T	buy	11/30	J		market
53. UBS IRA Acct (Common Stocks & Bonds):	D	Int./Div.	N	T					
54. WYETH									
55. New Econ Fund									
56. Boston Sci									
57. Haliburton Com									
58. Lilly Eli & Co.									
59. Simon Prop Group-REIT									
60. UTD DOM Realty REIT									
61. Target									
62. GMAC Bond									
63. Franklyn Temp Rising Div.					buy	9/20	K		
64. Franklyn Temp. Mutual Discovery					transfer	1/5	K		
65. FHLMC Stepup Bond									
66. INDIVIDUAL ACCOUNT held in [REDACTED] name									
67. U.S. Savings Bonds		None	K	T					
68. Dryfus Emer LDR Fund	B	Dividend	K	T	partial sale	12/16	K	C	buy mun bond fund

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69. Dryfus Midcap Value Fund	D	Dividend	L	T					
70. Dryfus Appreciation Fund	B	Dividend	K	T					
71. Dryfus Municipal Bond Fund	A	Dividend	K	T	buy	12/16	K		market
72. Syracuse Police Fed Credit Union CDs & Base Acct	B	Dividend	K	T					
73. M&T Bank Syracuse Checking Acct MetLife Bank & MM	A	Interest	J	T					
74. MetLife Bank & Money Market Account	C	Interest	L	T					
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

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86.									
87.									
88.									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Part VII, p. 5, #33 Verizon Stock Purchased 05/12/04 Inadvertently Failed to Report Prior Hereto
2. Part VII, p 5, #34 Heinz H.J. Co Purchased 05/12/04 Inadvertently Failed to Report Prior Hereto
3. Part VII, p. 6, #35 Agilent Tech Transferred 12/19/98 Inadvertently Failed to Report Prior Hereto

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/9/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544