

United States District Court
District of Vermont
Post Office Box 760
Brattleboro, Vermont 05302-0760

J. Garvan Murtha
U.S. District Judge

Tel: [REDACTED]

May 1, 2007

Honorable Ortrie D. Smith, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, DC 20544

RE: Financial Disclosure Report
Calendar Year 2006

RECEIVED
2007 JUN 22 A 10:46
FINANCIAL
DISCLOSURE OFFICE

Dear Judge Smith:

This is in response to the numbered paragraphs of your correspondence of June 15, 2007.

- 1) In Part VII, page 4, line 9, column B(1) should be left blank.
- 2) In Part VII, page 4, line 13, column B(1) should be "A".
- 3) In Part VII, page 7, line 52, column D(3) should be "J"; and
In Part VII, page 9, line 99, column D(3) should be "J".
- 4) In Part VII, page 9, line 101, column B(2) should be "D"; and
In Part VII, page 11, line 121, column B(2) should be "C".

Please let me know if you have further questions.

[REDACTED]

JGM:kbl

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murtha, J. Garvan	2. Court or Organization U.S. District Court - Vermont	3. Date of Report 5/1/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States District Court P.O. Box 760 Brattleboro, VT 05302-0760	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	[REDACTED] Limited Partnership #1
2. Partner	[REDACTED] Limited Partnership #2
3. Partner	[REDACTED] Limited Partnership #3
4. Partner	[REDACTED] Limited Partnership #4
5. Trustee	Trust #1
6. Co-Executor	Estate #1
7. Trustee	Trust #2

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Levy, Winer & Berson -- Salary
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. The Brookings Institution	Washington, DC, Dec. 6-8, 2006 (meals, hotel, transportation)
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accenture Ltd. Class A Stock	A	Dividend	J	T	Buy	8/17	J		
2. Alltel Common Stock	A	Dividend	J	T					
3. Amgen Common Stock		None	K	T					
4. Bemis Company Common Stock	A	Dividend	J	T					
5. Cathay Bank Common Stock	A	Dividend	K	T					
6. Church & Dwight Common Stock	A	Dividend			Sell	8/17	J		
7. Cisco Systems Common Stock		None	J	T					
8. Conagra Common Stock	A	Dividend			Sell	2/16	J		
9. Constellation Brands Stock	A	None	J	T	Buy	8/17	J		
10. CVS Corp. Stock	A	Dividend	J	T	Buy	8/17	J		
11. Ecolab Common Stock	A	Dividend	J	T					
12. Exxon-Mobil Common Stock	B	Dividend	L	T					
13. GS Fin'l Square Treas., finrlly Federated U.S. Treas Cash Res		Interest	J	T					
14. Fortune Brands Stock	A	Dividend	J	T					
15. FPL Group Inc. Stock	A	Dividend	J	T					
16. Hilton Hotels Stock	A	Dividend	J	T	Buy	8/17	J		
17. Income Money Market Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Intel Common Stock	A	Dividend			Sell	8/17	J		
19. Ishare Midcap Index 400 Fund	A	Dividend	J	T					
20. Ishare MSCI Fund	A	Dividend	J	T					
21. Ishare Small Cap 600 Fund	A	Dividend	J	T					
22. Kaman Corp. Common Stock	B	Dividend	K	T					
23. Maxim Integrated Prods. Stock	A	Dividend	J	T	Buy	8/17	J		
24. Medtronic, Inc. Common Stock	A	Dividend	K	T					
25. Nokia Common Stock	A	Dividend			Sell	8/17	J	A	
26. Omnicom Group Common Stock	A	Dividend	J	T					
27. Pepsico Common Stock	A	Dividend	J	T					
28. Pimco Commodity Fund	A	Dividend	J	T					
29. Pimco Real Return Fund	A	Dividend	J	T					
30. Qualcomm Stock	A	Dividend	J	T	Buy	8/17	J		
31. Seagate Technology Common Stock		None			Sell	8/17	J	A	
32. Sysco Corp. Common Stock	A	Dividend			Sell	8/17	J		
33. Vanguard Emerging Mkts Stock	A	Dividend	J	T	Buy	8/17	J		
34. Webster Fincl. Corp.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Windstream Stock	A	Dividend	J	T	Buy	2/15	J		
36. Windstream Stock	A	Dividend			Sell	9/28	J	A	
37. Merchants Bank Account	A	Interest	J	T					
38. Brattleboro Country Club	A	Interest	J	W					
39. Brattleboro Racquetsports, VT		None	J	W					
40. Brattleboro Tennis Club, VT		None	J	W					
41. Ocean Reef Yacht Club Timeshare		None	J	W					
42. [REDACTED] Limited Partnership #1	E	Div. & Int.	O	T					
43. - Automatic Data Processing Stock									
44. - Becton Dickinson Stock									
45. - Caremark Stock									
46. - Citigroup Stock									
47. - Columbia Mid Cap Value Fund									
48. - Columbia Sm. Cap Core Fund									
49. - Columbia SmCap Growth Fund fmrlly Columbia Sm. Co. EquityFd									
50. - Columbia Acom Fund									
51. - Columbia Acom Int'l Fund									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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52. - Dell Stock					Sell	7/31			
53. - Dreyfus Tax Exempt Fund									
54. - Hartford Fin'l Stock									
55. - Home Depot Stock									
56. - Oracle Stock									
57. - Pepsico Stock									
58. - Procter & Gamble Stock									
59. - Target Stock									
60. - United Technologies Stock									
61. - Weyerhaeuser Stock									
62. [REDACTED] Limited Partnership #2	G	Div. & Int.	P1	T					
63. - Amgen Stock									
64. - Automatic Data Processing Stock									
65. - Bank of America Stock									
66. - Caterpillar Stock									
67. - Cathay Bancorp Stock									
68. - Columbia Acorn Int'l Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. - Columbia Marisco Int'l Fund									
70. - Columbia Mid Cap Fd									
71. - Columbia Multi-Strategy Hedge Fund									
72. - Columbia Small Cap Core Fd									
73. - Compudyne Stock									
74. - CSX Corp. Stock									
75. - Dana Corp. Stock					Sell	3/30	J		
76. - Dell Stock					Sell	7/31	K		
77. - Dreyfus Tax Exempt Fund									
78. - Eastman Kodak Stock					Sell	3/30	K		
79. - Exxon Mobil Stock									
80. - First Data Stock									
81. - Franklin Res. Stock									
82. - Genzyme Stock									
83. - Hewlett Packard Stock					Buy	7/31	K		
84. - Houston Tex Aapt Bonds									
85. - Johnson & Johnson Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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86. - Kellogg Stock.									
87. - Lowes Stock									
88. - Lubrizol Corp. Stock									
89. - Medtronic Stock									
90. - Microsoft Stock									
91. - Morgan J.P. Chase Stock									
92. - Procter & Gamble Stock									
93. - Royal Dutch Shell Stock									
94. - Staples Stock									
95. - Target Stock									
96. - Teva Pharmaceutical Stock									
97. - United Parcel Stock									
98. - United Technologies Stock									
99. - Western Union Stock					Buy	10/4			
100. - Weyerhaeuser Stock									
101. [REDACTED] Limited Partnership #3	F		P1	T					
102. - Automatic Data Processing Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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103. - Becton Dickinson Stock									
104. Caremark Stock					Buy	2/15	L		
105. - Citigroup Stock									
106. - Columbia Mid Cap Value Fund									
107. - Columbia Sm. Cap Core Fund									
108. - Columbia SmCap Growth Fund fmrlr Columbia Sm. Co. EquityFd									
109. - Columbia Acorn Fund									
110. - Columbia Acorn Int'l Fund									
111. - Dell Stock									
112. - Dreyfus Tax Exempt Fund									
113. - Hartford Fin'l Stock									
114. - Home Depot Stock									
115. - Oracle Stock									
116. - Pepsico Stock									
117. - Procter & Gamble Stock									
118. - Target Stock									
119. - United Technologies Stock					Buy	2/15	K		

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120. - Weyerhaeuser Stock									
121. [REDACTED] Limited Partnership #4	G		P2	T					
122. - Air Prods & Chems Stock					Buy	8/31	K		
123. - Amgen Stock									
124. - Automatic Data Processing Stock									
125. - Bank of America Stock									
126. - Caterpillar Stock									
127. - Cathay Bancorp Stock									
128. - Columbia Acorn Int'l Fd					Buy	3/28	M		
129. - Columbia Marisco Int'l Fd					Buy	9/7	L		
130. - Columbia Mid Cap Fd									
131. - Columbia Mngt Hedge Fd					Buy	1/25	M		
132. - Columbia Small Cap Fd									
133. - Compudyne Stock									
134. - CSX Corp. Stock									
135. - Dana Corp. Stock					Sell	3/30	J		
136. - Dell Stock					Sell	7/31	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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5/1/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Dreyfus Tax Exempt Fund									
138. - Eastman Kodak Stock					Sell	3/30	K		
139. - Exxon Mobil Stock									
140. - First Data Stock									
141. - Franklin Res. Stock									
142. - Genzyme Stock									
143. - Hewlett Packard Stock					Buy	7/31	L		
144. - Houston Tex Arpt Bonds									
145. - Johnson & Johnson Stock									
146. - Kellogg Stock					Buy	7/31	K		
147. - Lowes Stock									
148. - Lubrizol Corp. Stock									
149. - Medtronic Stock					Buy	7/31	L		
150. - Microsoft Stock									
151. - Morgan J.P. Chase Stock									
152. - Procter & Gamble Stock									
153. - Rio Tinto PLC					Buy	8/31	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Royal Dutch Shell Stock					Sell	8/31	L		
155. - Staples Stock									
156. - Target Stock									
157. - Teva Pharmaceutical Stock									
158. - United Technologies Stock									
159. - Western Union Stock					Buy	10/06	K		
160. - Weyerhaeuser Stock									
161. Trust Company of VT - IRA	C	Div. & Int.	M	T					
162. - Abbott Labs Stock									
163. - Allianz RCM Biotech Fund									
164. - Alltel Stock									
165. - Bank of America Stock									
166. - Bemis Stock									
167. - BPPLC Stock									
168. - Chevron					Sell	8/22	J		
169. - Citigroup Stock									
170. - Clarcor Stock					Sell	3/7	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Colgate-Palmolive Stock					Sell	3/7	J		
172. - Conagra Stock					Sell	3/7	J		
173. - Dover Corp.					Buy	3/2	J		
174. - Emerson Electric Stock									
175. - Fidelity Select Elect. Fund									
176. - Fortune Brands Stock									
177. - FPL Group Stock					Sell	3/7	J		
178. - GS Finl Sq Prime Oblig Fund									
179. - Healthcare PPTY Stock									
180. - Illinois Tool Wks Stock					Buy	3/7	J		
181. - Johnson & Johnson Stock									
182. - Kimco Realty Stock					Buy	3/7	J		
183. - Nokia Stock					Buy	8/22	J		
184. - Omnicorn Group Stock									
185. - Paterson Cos. Stock					Sell	3/7	J		
186. - PepsiCo, Inc.									
187. - Pfizer Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Plum Creek Timber Stock									
189. - Procter & Gamble Stock					Buy	3/7	J		
190. - Puget Energy Stock					Buy	5/9	J		
191. - Roche Holding Stock					Buy	3/7	J		
192. - Royal Dutch Shell Stock					Buy	8/22	J		
193. - Sysco Stock					Buy	3/7	J		
194. - United Technologies Stock									
195. - US Bancorp Stock									
196. - Wells Fargo Stock									
197. - Zions Bancorp Stock									
198. Trust #1 and Estate #1	E	Div. & Int.	P1	T					See Note in Part VIII
199. - Bank America Corp.					Gift In	7/28	O		
200. - Dreyfus Tax Exempt Cash Fund									
201. - Exxon Mobil Stock									
202. - Washington Mutual Stock									
203. Trust #2	E	Div. & Int.	O	T					
204. - Bank of America Stock					Gift Out	7/28	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Dreyfus Tax Exempt Cash Fund									
206. - Kaman Stock									
207. Merrill Lynch IRA	A	Div. & Int.	M	T					
208. - American Growth Fund									
209. - Ford Motor Cdt Bond									
210. - General Mtrs Bond									
211. - Hotchkis & Wiley Midcap Fund									
212. - Loomis Sayles Strategic Fund									
213. - Lord Abbett Midcap Fund									
214. - Mainstay Balanced Fund									
215. - Mainstay Midcap Growth Fund									
216. - PIMCO Emerg Mkts Bd Fd									
217. - TouchstoneMidcap GrowthFund									
218. - Van Kampen Global Fd									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Est

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII 199-203 Trust #1 and Estate #1 -- Because of death of beneficiary, assets became part of estate.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/1/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544