

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DUBOIS, JAN E.	2. Court or Organization U.S.D.C., E.D., PA	3. Date of Report 08/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, U.S.D.C.	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Attended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 12613 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
10. General Electric Co.	D	Dividend	M	T					
58. Home Depot Inc. (5) IRA	B	Dividend	L	T					
59. Intel Corp. (5) IRA	B	Dividend	K	T					
69. Merck & Co. (5) (42) IRA	C	Dividend	L	T					
80. Emerson Electric Co. (9)	B	Dividend	L	T					
88. South Jersey Ind. Inc. (9)	A	Dividend	K	T					
94. Wachovia Bank (10)	A	Interest	J	T					
99. NCR Corporation (51)		None	J	T					
102. CitiGroup (5) IRA	A	Dividend	J	T					
125. Citigroup(9)(35)	A	Dividend	J	T					
134. Oracle Corp. (5) IRA	A	Dividend	L	T					
137. Cisco Sys Inc. (5) IRA		None	M	T					
139. Visteon Corp. (9)(36)		None	J	T					
141. Zimmer Hldgs. Inc. (38)		None	J	T					
142. Zimmer Hldgs. Inc. (38)		None	J	T					
145. IL HLTH FAS-A BE (07-01-10)	B	Interest	K	T					
152. Pfizer, Inc. (5) IRA	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. Templeton Foreign Fund C (6)	A	Dividend	L	T					
159. Medco Health Solutions, Inc. (5)(42) IRA		None	K	T					
161. iShares MSCI Japan Index Fund (6) IRA	A	Dividend	K	T					
162. iShares MSCI EAFE Fund (6) IRA	B	Dividend	L	T					
163. Allscripts Healthcare Shs Inc. (6) IRA		None	K	T	Sold (part)	09/18/09	K	D	
165. Morgan Stanley Bank (47)	A	Interest	K	T					
166. Royal Dutch Shell PLC(43)	B	Dividend	K	T					
167. Norwin School Dist 5625	B	Interest	K	T					
168. Pottsville Hosp. BE 5200	B	Interest			Redeemed	11/16/09	K	A	
170. Noble Intl Ltd. (9)		None			Sold	04/01/09	J		
171. Royal Dutch Shell PLC(9)(44)	C	Dividend	L	T					
173. BDP Morgan Stanley Bank (48)	A	Interest	L	T					
174. Blackrock World Invest Trust (6)(55) IRA	B	Dividend	K	T					
176. New York Community Bancorp, Inc. (5) IRA	B	Dividend	L	T					
178. Whole Foods Markets, Inc. (5) IRA		None	K	T					
180. Archer Daniels Midland (5) IRA	A	Dividend			Sold	02/26/09	L		
182. Nuveen Global VLE Opport Fund	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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183. Eaton Van Tax MNGD GLBL (9)	B	Dividend	J	T					
184. Morgan Stanley Bank (9) (46)	A	Interest	K	T					
185. Nuveen Global VLE Opport Fund (9)	B	Dividend	K	T					
186. Morgan Stanley Bank	A	Interest	M	T					
188. Walgreen Co (5) IRA	B	Dividend	L	T					
189. Starbucks Corp. Washington (5) IRA		None	K	T					
191. MS Emerging Mkts Domestic Debt (9)	B	Dividend	K	T					
192. Discover Financial Services (49)	A	Dividend	J	T					
193. AT&T (50)	C	Dividend	L	T					
194. Teradata (51)		None	J	T					
196. The Financial Sel Sect Spdr. FD (5) IRA	A	Dividend	K	T					
197. Manitek Intl Inc. Com. (53)		None	J	T					
198. Sirius XM Radio, Inc. (5) IRA (54)		None	J	T					
199. Ishares DJ US Real Estate Index (5)(IRA)	A	Dividend	K	T	Buy	11/09/09	K		
200. Blackrock Real Asset Equity (6) IRA	B	Dividend	K	T	Buy	07/08/09	K		
					Sold (part)	09/18/09	J	C	
201. Blackrock GLBL OPP EQ TR (6) (55) IRA	B	Dividend	K	T					

1. Income Claim Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

(1) (Line 2) Originally included 14 separate purchases of units of Pennsylvania Insured Municipal Bond Trusts for the years 1987 through 1991. Ten of the 14 groups of units in the Municipal Bond Trusts were sold in 1993 at a loss. Purchases of Pennsylvania Insured Municipal Bond Trusts in 1992 are separately listed on lines 34 through 37, 40 and 41, in 1993 on line 45, and in 1994 on line 55. The figures on line 2 are for the four remaining groups of units in Municipal Bond Trusts - Pennsylvania Insured Municipal Bond Trust 54M, 55M, 68M, and 117M. All units of series 68M and series 117(M) were sold on January 31, 1995, at a loss. [REDACTED] presently owns [REDACTED] unit of series 54M.

(5) (Lines 58, 59, 64, 69, 102, 106, 120, 132, 134, 136, 137, 151, 152, 176, 177, 178, 179, 180, 188, 189, 196, 198, 199) Securities in an IRA Account with Morgan Stanley.

(6) (Lines 65, 66, 123, 131, 161, 162, 163, 174, 200, 201) Securities in [REDACTED] IRA Account with Morgan Stanley.

(9) (Lines 80, 88, 125, 138, 139, 170, 171, 183, 184, 185, 191, 192) Securities in [REDACTED] Trust of which [REDACTED] is co-trustee and income beneficiary.

(10) (Line 94) Checking Account - First Union changed name to Wachovia.

(35) (Line 125) [REDACTED] shares of Associates First Cap CP A exchanged for [REDACTED] shares Citigroup.

(36) (Line 139) Spin-off from Ford Motor Company.

(38) (Lines 141 and 142) Spin-off from Bristol Myer -Squibb Company.

(42) (Line 159) Stock dividend distributed to Merck & Co Shareholders (Line 69).

(43) (Line 166) [REDACTED] shares of Royal Dutch Pete 2B exchanged for [REDACTED] shares of Royal Dutch Shell PLC.

(44) (Line 171) [REDACTED] shares of Royal Dutch Pete 2B exchanged for [REDACTED] shares of Royal Dutch Shell PLC.

(46) (Line 185) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.

(47) (Line 165) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.

(48) (Line 173) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.

(49) (Line 192) Stock dividend distributed by Morgan Stanley.

(50) (Line 193) AT&T acquired Bell South Corp.

(51) (Lines 99 and 194) Stock dividend distributed by NCR.

(52) (Line 195) Discover Bank name changed to Morgan Stanley Trust Bank

(53) (Lines 190 and 197) Ver-Tek Int'l Corp., changed its name to Manitek Int'l Inc. Com.

(54) (Lines 179 and 198) XM Satellite Radio Hldg. CL A - changed its name to Sirius XM Radio, Inc.

(55) (Lines 174 and 201) Exchanged [REDACTED] shares of Blackrock World Invest Trust stock for [REDACTED] shares of Blackrock Global Opportunity Equity Trust stock.

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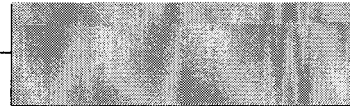
08/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544