

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF KENTUCKY

CHAMBERS OF
THOMAS B. RUSSELL
UNITED STATES DISTRICT JUDGE

FEDERAL BUILDING
PADUCAH, KY 42001
(270) 415-6430
FAX: (270) 415-6445

July 11, 2006

Judge Ortrie D. Smith, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2005 Filing

Dear Judge Smith and Committee Members:

I neglected to update Column D(3) in Part VII, page 5, lines 26 and 32. The value code in each instance is "J."

Please advise if there are additional questions.

Sincerely,



Thomas B. Russell, Judge
United States District Court

TBR:kpw

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, Thomas B	2. Court or Organization United States District Court -	3. Date of Report 05/08/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 501 Broadway, Federal Building Paducah, KY 42001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	First Investors of Paducah (Investment Club) See additional information
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	Rotary Club of Paducah
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Waiver of Membership Dues	American College of Trial Lawyers	\$ 300.0
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking Account - Paducah Bank	A	Interest	J	T					
2. Checking Account - Regions Bank	A	Interest	J	T					
3. IRA, UBS(See Sec. VIII)	A	Dividend	L	T					
4. AmerFDS Cap. World Gr. Inc.									
5. American Mutual Funds									
6. Amer. Funds Investments Co. of America									
7. Amer. Funds, Washington Mutual Investors									
8. IRA, UBS (S)(See Sec VIII)	A	Dividend	K	T					
9. AmerFDS Capital World Growth									
10. Amer. Funds, Investment Co. of America									
11. Savings Acct. Regions Bank	A	Interest	J	T					
12. Common Stock, Regions Bank	A	Dividend	K	T					
13. IRA -Regions Bank (See Sec. VIII)	A	Div/Int	N	T					
14. Federal Equity Funds Kaufmann									
15. Pimco Funds									
16. American Century Captial									
17. Goldman Sachs Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g. div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)		(2) Date Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Alliane									
19. Leader Mutual Funds Growth and Income Fund Institute					Sell	7/05	J	A	
20. Dreyfus/Laurel Funds Inc.					Sell	3/05	J	A	
21. Fedility Advisor Series III					Sell	3/05	J	A	
22. Federal Farm Credit Bank Bond DTD									
23. Federal Home Loan Bank Bond DTD									
24. Government Fund Inc with Hilliard-Lyons	A	Interest	J	T					
25. First Investors of Paducah (See Sec. VIII)	A	Dividend	K	T					
26. US Banc	A	Dividend	J	T	Buy	1/05			
27. IBM	A	Dividend	J	T					
28. Abbott Lab	A	Dividend	J	T	Partial Sell	1/05	J	A	
29. Bristol M/S	A	Dividend	J	T	Partial Sell	11/05	J	A	
30. Merck & Co.	A	Dividend			Sell	11/04	J	A	
31. Cisco Sys	A	Dividend	J	T					
32. Intel	A	Dividend	J	T	Buy	1/05			
33. Schlumberge	A	Dividend	J	T					
34. Simon P	A	Dividend	J	T					

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Berkshire H	A	Dividend	J	T	Partial Sell	6/05	J	A	
36. Anadarko	A	Dividend	J	T					
37. Aventis - Now Sanifo Aver	A	Dividend	J	T					
38. Loews	A	Dividend	J	T					
39. Lowes Co.	A	Dividend	J	T					
40. Fastenal	A	Dividend	J	T					
41. Microsoft	A	Dividend	J	T	Partial Sell	2/05	J	A	
42. Time Warner	A	Dividend	J	T					
43. N. Fork Bank	A	Dividend	J	T					
44. Regions Bank	A	Dividend	J	T					
45. Coke	A	Dividend	J	T	Partial Sell	6/05	J	A	
46. Johnson	A	Dividend	J	T	Partial Sell	6/05	J	A	
47. Minnesota	A	Dividend	J	T					
48. BB & T Corp.	A	Dividend	J	T	Buy	1/05	J	A	
49.					Partial Sell	6/05	J	A	
50. St. Joe Corporation	A	Dividend	J	T	Partial Sell	6/05	J	A	
51. Gillette - Merged with Proctor	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Avaya	A	Dividend			Sell	1/05	J	A	
53. Cisco	A	Dividend	J	T	Partial Sell	4/05	J	A	
54. Home Depo	A	Dividend	J	T	Buy	5/05	J		
55. CSI	A	Dividend	J	T	Buy	1/05	J		
56.						4/05	J		
57. Dell Computers	A	Dividend	J	T					
58. News Corp	A	Dividend			Sell	3/05	J	A	
59. Pfizer	A	Dividend	J	T	Partial Sell	7/05	J	A	
60. Tyco International	A	Dividend	J	T	Partial Sell	2/05	J	A	
61.						8/05	J	A	
62. Exxon Mobil	A	Dividend	J	T					
63. Oracle	A	Dividend	J	T					
64. Texas Instruments	A	Dividend					J		
65. New York Times	A	Dividend			Sell	4/05	J	A	
66. Biomed	A	Dividend			Sell	1/05	J	A	
67. Boston Sci	A	Dividend			Buy	1/05	J	A	
68.					Sell	11/05	J	A	

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69. Tribune	A	Dividend			Sell	1/05	J	A	
70. Medco	A	Dividend			Sell	1/05	J	A	
71. Manhattan M.	A	Dividend			Sell	10/05	J	A	
72. Comcast	A	Dividend	J	T	Partial Sell	11/05	J	A	
73.					Buy	1/05	J		
74. Washington Mut	A	Dividend	J	T					
75. Walgreen	A	Dividend	J	T					
76. Adobe Sys	A	Dividend	J	T	Partial Sell	6/05	J	A	
77. B.P., PLC	A	Dividend	J	T					
78. Hospira	A	Dividend	J	T	Partial Sell	6/05	J	A	
79. Amazon	A	Dividend	J	T	Buy	9/04	J		
80. Ebay	A	Dividend	J	T	Buy	1/05	J		
81. Startek	A	Dividend	J	T	Buy	4/05	J		
82. Texas Inds	A	Dividend	J	T	Buy	4/05	J		
83. Fortune	A	Dividend	J	T	Buy	5/05	J		
84. Eagle	A	Dividend	J	T	Buy	8/05	J		
85. Chaparral	A	Dividend	J	T	Buy	8/05	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Zimmer Holding	A	Dividend			Buy	1/05	J		
87.					Sell	11/05	J	A	
88. Acco	A	Dividend	J	T	Buy	8/05	J		

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

P4 = More than \$50,000,000

S = Assessment

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T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***I. POSITIONS**

In October of 1996, I became a member of an investment club known as First Investors of Paducah, which is organized as a partnership. There are 15 partners divided into 7 teams. Each team invests their portion. I only have control over the investment of my team, which is invested in 6 companies. I do have a 1/15 interest in all investments.

VII. INVESTMENTS AND TRUSTS**(No. 3 - 7) IRA- UBS**

I am not consulted, nor do I participate in the management, nor selection of the mutual funds or investments.

(No. 8 -10) IRA - UBS

I am not consulted, nor do I participate in the management, nor selection of the mutual funds or investments.

(No. 13 - 23) IRA - Regions Bank

I have an IRA with Regions Bank. Other than making an allocation choice between bonds, stocks, money markets, etc., I have no control over the IRA account. I am not consulted nor do I give advice on the individual investments.

(No. 25- 89) First Investors of Paducah is an investment club organized as a partnership. There are 15 partners divided into 7 teams. Each team makes an independent investment of their portion. I have no control over the investments of the other 6 teams. I do have a 1/15 interest in all of the investments. Items 25 through 89 represent the stock investments of the club.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 8, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544