

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Brown, Anna J	2. Court or Organization U. S. District Court of Oregon	3. Date of Report 5/11/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (Active)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address United States Courthouse 1000 S. W. Third Avenue Portland, OR 97204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	U.S. District Court of Oregon Historical Society
2. Honorary Board Member	Toys for Kids

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	Retirement Plan, Judges in P.E.R.S., monthly benefits payable at age 65 on statutory formula (no control)
2.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

Oregon Public Employees Retirement System

2. 2003

Ing Life Ins. & Annuity Co.

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Oregon Association of Defense Counsel

June 13-14, Sunriver, Oregon, food and lodging to participate in Moot Court Presentation @ Annual Meeting.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. United Mileage Plus VISA

Credit Card

K

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Massachusetts Mutual Life Ins. Co. (Variable Life)		None	K	T					
2. Bank of America Checking, Lake Oswego, Or		None	J	T					
3. Bank of America Savings, Lake Oswego, OR	A	Interest	J	T					
4. Clackamas Federal Credit Union Savings, Oregon City, OR	A	Interest	J	T					
5. Ing Life Ins. & Annuity Co. (successor to Aetna Defer. Comp)		None	K	T					Benefits end in 2003
6. MML Investors Services, Inc., NFS/FMTC IRA (Cash equiv.)		None			Transfer out	1/1	M		See Part VIII
7. Oppenheimer Money Market Class A		None	L	T	Transfer in	1/1	M		See Part VIII
8.					Sold	6/30	M		See Part VIII
9. Oppenheimer Strategic Income Class A		None	K	T	Transfer in	1/1	K		See Part VIII
10. American Amcap Fund Class C		None	K		Buy	6/30	J		
11.					Buy	10/20	J		
12. American Capital Income Builder C		None	K		Buy	6/30	J		
13.					Buy	10/20	J		
14. American Washington Mutual Investors C			K		Buy	6/30	J		
15.					Buy	10/20	J		
16. Prime Fund - Capital Reserves		None	L		Buy	6/30	M		
17.					Sold	10/20	K		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

At the end of the 2002 reporting period, the cash/cash equivalents in the Oppenheimer Money Market account and the Oppenheimer Strategic Income Class A account were held in a new IRA account, identified as the "MML Investors Services, Inc., NFS/FMTC IRA" in Item 13, Part VII, 2002 report. On June 30, 2003, a portion of the Oppenheimer Money Market account (value code M) was sold, leaving a value (code L) at the end of the reporting period. The funds in the Oppenheimer Strategic Income Class A account remained in value code K throughout the reporting period. For ease of future tracking, I have identified these two accounts separately on this report and shown the assets from the NFS/FMTC IRA as "transferred out" of that account and "in" to these accounts effective 1/1/03.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

11 May, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544