

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BLAKE, CATHERINE C.	2. Court or Organization U S DISTRICT COURT, MARYLAND	3. Date of Report 06/02/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 7310 U S COURTHOUSE 101 WEST LOMBARD STREET BALTIMORE, MD 21201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. VICE-PRESIDENT AND TREASURER	FEDERAL JUDGES ASSOCIATION
2. CO-TRUSTEE	TRUST#1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Blake, Catherine C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	[REDACTED], SALARY
2. 2009	[REDACTED], SALARY
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABA	11/19 - 11/20	WASHINGTON, D C	SPEAKER AT EDUCATIONAL PROGRAM	LODGING, MEALS, TRANSPORTATION
2. FEDERAL JUDGES ASSOCIATION	5/2 - 5/4	WASHINGTON, D C	BOARD OF DIRECTORS MEETING	LODGING, MEALS, TRANSPORTATION
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA-Delaware Core Plus Bond Fund A Class	B	Dividend	K	T					
2. IRA-Delaware Delchester Fund A Class	A	Dividend			Merged (with line 52)	04/17/09	J		see note Pt VIII
3. IRA-T Rowe Price-Equity Income	A	Dividend	J	T					
4. IRA-T Rowe Price-Growth Stock	A	Dividend	K	T					
5. IRA-T Rowe Price-New Asia	A	Dividend	J	T					
6. IRA-T Rowe Price-New Era	A	Dividend	K	T					
7. IRA-T Rowe Price-Science & Technology		None	K	T					
8. IRA-T Rowe Price-Spectrum Income	B	Dividend	K	T					
9. State of Maryland Deferred Compensation Plan	B	Dividend	L	T					
10. Wachovia/Wells Fargo Bank-accounts	A	Int./Div.	L	T					see note Pt VIII
11. T Rowe Price Growth Stock	A	Dividend	K	T					
12. US Savings Bonds	A	Interest	J	T					
13. IRA-First Financial Credit Union	A	Dividend	J	T					
14. Templeton Growth Fund	A	Dividend	K	T					
15. IRA-Putnam Growth & Income CI-A	A	Dividend	J	T					
16. IRA-JPMorgan Funds Growth and Income A	A	Dividend	J	T					
17. IRA - MetLife	A	Dividend	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$100,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
18. TSA - MetLife	C	Dividend	L	T					
19. Guardian Whole Life	B	Dividend	L	T					
20. MetLife Whole Life	A	Dividend	J	T					
21. MetLife Whole Life	A	Dividend	J	T					
22. [REDACTED] Ret. Plan & Trust	A	Dividend			Redeemed	07/01/09	M		see note Pt VIII
23. Maryland College Investment Plan		None			Redeemed	01/05/09	J		
24. T Rowe Price Md Tax-Free Bond Fund	A	Dividend	J	T					
25. Trust #1	E	Int./Div.	PI	T					
26. --Ferris Baker Watts Reserve Primary Fund									see note Pt VIII
27. --DWS Municipal Managed Municipal Bond Fund Class A									
28. --Frederick County Md Edl Rv FCS Mount St Mary's CLG Bond									
29. --Carroll County Md Rfdg & Cons Public Improvement Bond									
30. --Maryland Hlth & HEFA Rev SR Fred Mem Hosp Bond									
31. --AT&T common stock									
32. --BB&T Corp common stock									
33. --Exxon Mobil Corp common stock									
34. --Grainger WW Inc common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. --Heinz HJ Co common stock									
36. --Idearc Inc common stock									
37. --Illinois Tool Works Inc common stock									
38. --JPMorgan Chase & Co common stock									
39. --Johnson & Johnson common stock									
40. --Lilly Eli & Co common stock									
41. --Merck & Co Inc common stock									
42. --PPG Industries Inc common stock									
43. --3M Co common stock									
44. --Urstadt Biddle Properties Inc Class A									
45. --Verizon Communications Inc common stock									
46. --Fairpoint Communications Inc common stock									see note Pt VIII
47. --Federated Treasury Cash Trust					Sold	03/17/09	K		see note Pt VIII
48. --Frederick Cnty Md B/E Ret Comnty Rev Buckingham's Choice									
49. --US Gov't Money Market Fund RBC Reserve Class					Buy	03/03/09	K		
50. Profit Sharing Plan -- 401(k)	C	Dividend	M	T	Open	07/01/09	M		see note Pt VIII
51. --Vanguard Wellesley Income Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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52. Delaware High Yield Opp Fund A Class	B	Dividend	K	T	Open	04/17/09	J		
53. T Rowe Price Md Tax-Free Money	A	Dividend	J	T	Buy	03/27/09	J		
54. M&T Bank account	A	Interest	K	T	Open	02/05/09	J		
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									
63.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

lines 1 and 52 -- This Delaware Delchester Fund was merged into the High Yield Fund without any action on our part.

line 10 -- Wachovia was acquired by Wells Fargo. Our accounts remained the same.

lines 22 and 50 -- This retirement account was transferred to a 401(k) by the employer with no action on our part and was invested in the Vanguard Wellesley Income Fund.

line 26 -- A small amount remains in this fund pending settlement.

line 46 -- This is a minimal amount of stock inadvertently not included in last year's report.

lines 47 and 49 -- The Federated account was transferred to the RBC money market fund without any action on our part.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544