

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. § 101-111)*

1. Person Reporting (last name, first, middle initial) Coogler, Lawrence S.	2. Court or Organization Northern District of Alabama	3. Date of Report 5/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Tuscaloosa Federal Building 1118 Greensboro Ave. Rm. 316 Tuscaloosa, AL 35401	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	☐ Homeowner's Association
2. Director	American Christian Academy
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	State of Alabama, Retirement Systems - Retirement Account - I have no control over this account.
2.	
3.	

2010 MAY 18 A 9:59
CLERK OF COURT
U.S. DISTRICT COURT
NORTHERN DISTRICT OF ALABAMA

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Tuscaloosa	Real Estate Mortgage (C&C Rental)(33rd)	J
2.	Citimortgage	Real Estate Mortgage (Rental) (Hhills)	K
3.	Bank Of America - Loan sold by Countrywide Mortgage	Real Estate Mortgage (C. Creek)	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place " (X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of Buyer/seller (if private transaction)
1. AUSA Common Stock (Personal)		None	J	T					
2. SNV Common Stock (Personal)	A	Dividend	J	T					
3. RF Common Stock (personal)	A	Dividend			Sold	05/06/09	J	A	
4.					Buy (add'l)	04/02/09	J		
5.					Sold (part)	04/09/09	J	A	
6.					Buy (add'l)	04/21/09	J		
7.					Sold (part)	04/21/09	J	A	
8.					Buy (add'l)	04/23/09	J		
9.					Buy (add'l)	04/23/09	J		
10.					Sold (part)	04/23/09	J	A	
11.					Sold	05/06/09	J	A	
12.					Buy	05/12/09	J		
13.					Buy (add'l)	05/13/09	J		
14.					Sold (part)	05/14/09	J	A	
15.					Sold	05/21/09	J	A	
16. Rental Property No. 2, Tuscaloosa, AL () HHI	D	Rent	M	W					
17. Rental Property No. 3, Tuscaloosa, AL () Cap	D	Rent	M	W					

1. Income/Gain Codes.
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Jnt. Vnt. Tuscaloosa, AL) 50% Int		D	Rent	K	W				
19. Checking Account #1 Bank of Tuscaloosa		None	J	T					
20. Checking Account #2 Bank of Tuscaloosa		None	K	T					
21. Savings Account - Compass Bank	A	Interest	K	T					
22. Money Market Account #1 - Bank of Tuscaloosa	A	Interest	K	T					
23. TD Waterhouse Bank Money Market Account (_____)	A	Interest	J	T					
24. TD Waterhouse Bank Money Market Account (CHC)	A	Interest	J	T					
25. TD Waterhouse Bank Money Market Account (HCC)	A	Interest	J	T					
26. TD Waterhouse Money Market Account (ADC)	A	Interest	J	T					
27. Checking Account- Life Insurance Trust - Bank of Tuscaloosa		None	J	T					
28. RF Common Stock (CHC)	A	Dividend	J	T					
29.					Buy (add'l)	05/12/09	J		
30. WFC Common Stock formerly WB (CHC)	A	Dividend	J	T					
31. SNV Common Stock (CHC)	A	Dividend	J	T					
32. AUSI Common Stock (CHC)		None	J	T					
33. RF Common Stock (HCC)	A	Dividend	J	T					
34.					Buy (add'l)	05/12/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. WFC Common Stock formerly WB (HCC)	A	Dividend	J	T					
36. SNV Common Stock (HCC)	A	Dividend	J	T					
37. RF Common Stock (ADC)	A	Dividend	J	T					
38.					Buy (add'l)	05/13/09	J		
39. WFC Common Stock formerly WB (ADC)	A	Dividend	J	T					
40. SNV Common Stock (ADC)	A	Dividend	J	T					
41. Real Estate Rental, Sherwood East, Ltd. 1/12		None	J	U					
42. IRA (LSC) -TransAmerica Asset Allocation Fund		None	K	T					
43. Prepaid College Tuition Plan, State of Alabama (CHC)	C	Distribution	J	W					
44. Prepaid College Tuition Plan, State of Alabama (HCC)		None	J	W					
45. Prepaid College Tuition Plan, State of Alabama (ADC)		None	J	W					
46. Real Estate, IHOP, LLC., Tuscaloosa, AL 40%	D	K-1	M	U					
47. Money Market Account #3, Bank of Tuscaloosa (CHC)	A	Interest	J	T					
48. Money Market Account #4, Bank of Tuscaloosa (HCC)	A	Interest	J	T					
49. Money Market Account #5, Bank of Tuscaloosa (ADC)	A	Interest	J	T					
50. Savings Account - BFE Federal Credit Union	A	Interest	J	T					
51. Retirement Systems - State of Alabama	A	Interest	K	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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52. HCKT (Personal)		None	J	T					
53. Rental Property No. 4 -Tuscaloosa, AL (CC)	D	Rent	M	W					
54. [REDACTED]	D	Dividend	K	U					
55. Checking Account Compass Bank (CHC)		None	J	T					
56. Rental Property No. 5 (C. Creek) - Tuscaloosa, AL.	D	Rent	M	W					
57. Merrill Lynch Retirement Fund [REDACTED]		None	M	T					
58. Checking Account Compass Bank (HCC)		None	J	T					
59. TSS	A	Dividend	J	T					
60. TSS (CHC)	A	Dividend	J	T					
61. TSS (HCC)	A	Dividend	J	T					
62. TSS (ADC)	A	Dividend	J	T					
63.									

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(See Columns B1 and D4)

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P1 = \$1,000,001 - \$5,000,000

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P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note:

The prepaid tuition plans are state plans that I have no control over. They are valued at their cost.

The incomes listed for the LLC's and the Ltd are K-1 incomes. The values for these assets are stated at my ownership percentage.

The Retirement Account listed on line 51 in Section VII constitutes the funds that are available to me due to my payments into my State of Alabama Retirement. When I resigned from the State of Alabama, those funds became available to me. I have chosen to leave those funds with the State of Alabama Retirement Systems and draw interest on those funds. Before I resigned, the retirement account was an obligation of the State of Alabama Retirement Systems to pay a percentage of my salary once I reached retirement age.

The IRA listed on line 27 in Section VII in the 2008 report was transferred to Merrill Lynch due to a our broker changing to that firm. The IRA is now invested in TransAmerica Asset Allocation Fund as shown on line 43 of this report.

The UBS Retirement Fund listed on line 42 in Section VII in the 2008 report was transferred to Merrill Lynch due to a [redacted] accounting firm's broker changing to that firm. The retirement fund is now shown on line 57 of this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544