

AO-10
Rev. 1/2004

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Rendell, Marjorie O	2. Court or Organization USCA - 3CA - Philadelphia	3. Date of Report 5/12/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge (Active)	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address U.S. Court of Appeals 601 Market Street, Room 21613 Philadelphia, PA 19106 1598	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Office: _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Chair	Avenue of the Arts, Inc.
2. Director	Regional Performing Arts Center
3. Chair, Board of Overseers	School of Nursing, University of Pennsylvania
4. Board member	Penn Medicine
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Rendell, Majie ●

Date of Report

5/12/2005

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(years, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2004

City of Philadelphia - pension

2. 2004

University of Pennsylvania - Adjunct (9/04-12/04)

3. 2004

Philadelphia Sports Media L.P. - TV Sports Commentator

4. 2004

Commonwealth of Pennsylvania - earnings for services as Governor

IV. REIMBURSEMENTS - (transportation, lodging, food, entertainment)

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1. National Conference of Bankruptcy Judges and
Commercial Law LeagueSpeaker at NCBJ Conference 10/10 - 10/13/04 in Nashville, TN - registration, transportation,
food and lodging

2.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Rendell, Marjorie O

Date of Report

5/12/2005

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☐ **NONE** - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Torresdale-Frankford Country Club	honorary golf membership - See VIII	
2.	West Shore Country Club	honorary golf membership - See VIII	
3.	Blue Ridge Country Club	honorary golf membership - See VIII	

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	ape Savings Bank, Cape May, NJ	Construction/permanent loan on rental property	N

FINANCIAL DISCLOSURE REPORT

Page 1 of 6

Name of Person Reporting
Rendell, Marjorie O

Date of Report
5/12/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "XX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. 1. Schwab Money Market & Cash	A	Dividend	J	T					
2. 2. Federated 1-3 year US Gov't Sec.	B	Dividend	M	T	Buy	02/18	K		
3. 3. Brandywine	A	Dividend	K	T	PartSell	02/18	J	A	
4. 4. Columbia Growth	A	Dividend	L	T	Buy	05/12	K		
5. 5. DFA one year fixed	C	Dividend	M	T	Buy	02/19	K		
6. 6. DFA U.S. Micro Cap 9-10 Small (form. 9-10 Small)	A	Dividend	K	T	PartSell	02/18	J	A	
7. 7. DFA US Large Cap Value	A	Dividend	L	T	Buy	05/12	L		
8. 8. Dodge & Cox stock	C	Dividend	L	T	PartSell	05/12	L	E	
9. 9. FAM Value	A	Dividend	K	T					
10. 10. Fasciano	B	Dividend	K	T					
11. 11. Hotchkis & Wiley Large Cap Value	A	Dividend	L	T	Buy	05/12	K		
12. 12. Janus Fund	A	Dividend	L	T					
13. 13. Janus Overseas Fund	A	Dividend	K	T	Buy	03/24	K		
14. 14. Janus Adviser Int'l Fund	A	None			Sell	03/23	K	B	
15. 15. Royce Opportunity	C	Dividend	L	T	PartSell	02/18	J	C	
16. 16. Morgan Stanley Emerging Mkts.	A	Dividend	J	T					
17. 17. Morgan Stanley Int's Equity	C	Dividend	K	T	Buy	02/18	J		
18. 18. MFS Mass. Investors Trust	A	None			Sell	05/12	K	A	

1. Income/Gain Codes (See Columns B1 and B4)	A = \$1,000 or less F = \$50,001-\$100,000 J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000 K = \$15,001-\$50,000 ● = \$500,001-\$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501-\$5,000 H1 = \$1,000,001-\$6,000,000 L = \$50,001-\$100,000 P1 = \$1,000,001-\$6,000,000 P4 = \$More than \$50,000,000 S = Assessed W = Estimated	D = \$5,001-\$15,000 H2 = More than \$5,000,000 N1 = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000 T = Cash/Market	E = \$15,001-\$60,000
2. Value Codes: (See Columns C1 and C3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting
Rendell, Marjorie O

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5/12/2005

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A. Description of Assets (including trust assets) Please "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-J)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-M)	(5) Identity of buyer/seller if private transaction
19. 19. First Eagle SoGen Global Class I (form. SoGen Int'l)	A	None			Sell	02/18	K	E	
20. 20. Hotchkis & Wiley Mid-Cap Value	A	Dividend	K	T					
21. 21. Stoneridge Small Cap	A	Dividend	K	T	Buy	02/18	J		
22. 22. Third Avenue Intl Value	A	Dividend	K	T	Buy	11/05	K		
23. 23. Westcore Intl Frontier	A	Dividend	J	T	Buy	11/05	J		
24. 24. Julius Baer Int'l Equity	B	Dividend	K	T					
25. 25. Westcore Mid-Cap Oppty Fd	C	Dividend	L	T	PartSell	02/18	K	C	
26. 26. Westcore MIDCO Growth	A	Dividend	L	T	Part-Sell	02/18	J	C	
27. 27. Schwab Adv Cash Reserv Prem & Cash (form MM & cash)	A	Dividend	J	T					
28. 28. FirstEagle SoGen Global Class I (form. SoGen Int'l)	A	Dividend	N	T	Sell	11/05	J	B	
29. 29. William Blair Growth	A	Dividend	J	T					
30. 30. Thornberg Value Fund	A	Dividend	J	T					
31. 31. Schwab Money Mkt & Cash	A	Dividend	J	T					
32. 32. First Eagle SoGen Global (form. SoGen Int'l)	A	None			Sell	11/05	J	B	
33. 33. Dodge & Cox Stock	A	None			Sell	05/12	J	C	
34. 34. Hotchkis & Wiley Large Cap Value	A	Dividend	K	T	Buy	05/12	J		
35. 35. Federated 1-3 Yr. U.S. Gov't Sec.	A	Dividend	K	T	Buy	02/18	J		
36. 36. Julius Baer Int'l Equity Fund	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	F = \$50,001-\$100,000	B = \$1,001-\$2,500	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	J = \$15,000 or less (See Columns C1 and D3)	N = \$250,000-\$500,000	K = \$15,001-\$50,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	L = \$501-\$100,000	M = \$100,001-\$250,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	U = Book Value	R = Cost (Real Estate Only)	V = Other	S = Assessment	W = Estimated	T = Cost/Market	

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting
Rendell, Marjorie O

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. 37. Morgan Stanley Int'l Equil	A	Dividend	J	T					
38. 38. Neuberger Berman Fasciano Fund	A	Dividend	J	T					
39. 39. Royce Opportunity Fund	A	Dividend	J	T	Sell	02/18	J	A	
40. 40. Third Ave Intl Value	A	Dividend	J	T	Buy	11/05	J		
41. 41. Westcore Mid Cap Opportunity	A	Dividend	J	T					
42. 42. Westcore Growth	A	Dividend	J	T					
43. 43. Westcore Midco Growth	A	Dividend	J	T					
44. 44. Schwab Adv Cash Reserv Prem & Cash (form MM & Cash)	A	Dividend	J	T					
45. 45. Brandywine Fund	A	Dividend	J	T	Buy	02/18	J		
46. 46. Stoneridge Small Cap	A	Dividend	J	T					
47. 47. William Blair Growth	A	None			Sell	02/18	J	A	
48. 48. Dodge & Cox stock	A	Dividend	K	T					
49. 49. Morgan Stanley Int'l Equity	A	Dividend	K	T					
50. 50. Advanced Med Optics (spinoff Allergan)	A	None			Gift	12/09	J		See VIII
51. 51. Abbott Labs - common stock	A	Dividend	J	T					
52. 52. Allergan	A	Dividend	L	T	Gift	03/15	K		See VIII
53. 53. Beckman Coulter	A	Dividend	K	T	Gift	12/09	J		See VIII
54. 54. Coca Cola - common stock	A	Dividend	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P5 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 Q = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$5,000,001-\$25,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	V = Other	S = Assessed W = Estimated	T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
55. 55. E.I. DuPont de Nemours	A	None			Sell	02/18	K	D	
56. 56. Federal Nat'l Mortgage Assoc.	B	Dividend	K	T					
57. 57. General Electric - common stock	B	Dividend	L	T					
58. 58. Hospira (spinoff from Abbott Labs)	A	None			Spinoff	04/30	J		See VIII
59. 59. Hospira	A	None			Gift	12/09	J		See VIII
60. 60. Loews Int'l	A	Dividend	K	T					
61. 61. McDonalds Corp.	A	Dividend	K	T					
62. 62. Pepsico Inc.	A	None			Sell	02/18	K	E	
63. 63. GlaxoSmithKline (form. SmithKlineBeecham)	C	Dividend	M	T					
64. 64. Sprint FON Group	A	None			Sell	02/18	K	E	
65. 65. Sprint PCS Group	A	None			Sell	02/18	J	D	
66. 66. Wal-Mart Stores, Inc.	A	Dividend	K	T					
67. 67. Wells Fargo & Co.	B	Dividend	K	T	PartSell	02/18	K	E	
68. 68. Baron Growth	A	Dividend	K	T					
69. 69. DFA Tax Mgd US Small Cap Value (form. 5-10 Value)	A	Dividend	K	T					
70. 70. DFA Tax Mgd US Mktwide Value	A	Dividend	K	T	Buy	02/19	K		
71. 71. DFA US Micro Cap (form. 9-10 Micro	B	Dividend	K	T	PartSell	02/18	J	C	
72. 72. Dodge & Cox Stock	B	Dividend	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and B4)	B = \$1,001-\$2,900	C = \$2,901-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	M = \$100,001-\$250,000
3. Value Method Codes:	J = \$15,000 or less (See Column C2)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000
	N = \$250,000-\$500,000	D = \$500,001-\$1,000,000	P3 = \$1,000,001-\$5,000,000	P4 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000				
	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
73. 73. First Eagle Overseas CL I	A	Dividend	J	T	Buy	02/18	J		
74. 74. Janus Fund	A	Dividend	K	T					
75. 75. Janus Adviser Int'l Fund	A	None			Sell	03/23	K	A	
76. 76. Janus Overseas Fund	A	Dividend	K	T	Buy	03/24	K		
77. 77. Julius Baer Intl Equity	A	Dividend	K	T	Buy	02/18	K		
78. 78. Morgan Stanley Int'l Equity	C	Dividend	K	T	Buy	02/18	K		
79. 79. NB Fasciano Fund	A	Dividend	K	T	Buy	02/18	K		
80. 80. Royce Opportunity Fund	B	Dividend	J	T	Buy	02/18	J		
81. 81. Schwab Money Market & Cash	A	Dividend	L	T					
82. 82. Sound Shore	C	Dividend	K	T					
83. 83. Westcore Midco Growth	A	Dividend	K	T	Buy	02/18	J		
84. 84. Westcore Growth Fund	A	Dividend	K	T	Buy	02/18	K		
85. 85. Westcore Intl Frontier Fund	A	Dividend	J	T	Buy	02/18	J		
86. 86. Federated Short Term Muni Bond Fund	C	Dividend	M	T	Buy	02/18	J		
87. 87. Scudder Short Term Muni Bond Fund	A	None			Sell	01/20	M	B	
88. 88. Shroder ST Muni Bond Fund	C	Dividend	M	T	Buy	01/21	M		
89. 89. DuPont - common stock	A	Dividend	J	T					
90. 90. DFA Tax Mgd U.S. 5-10 Value	A	Dividend	J	T					

1. Income/Gain Codes	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
91. 91. Dodge & Cox Stock	A	Dividend	J	T					
92. 92. Janus Adviser Int'l Fund	A	None			Sell	03/23	J	A	
93. 93. Janus Overseas Fund	A	Dividend	J	T	Buy	03/24	J		
94. 94. Fasciano	A	Dividend	J	T					
95. 95. MFS Mass Investors Trust	A	None			Sell	05/12	J	A	
96. 96. First Eagle SoGen Global Class I (form SoGen Int'l)	A	Dividend	J	T					
97. 97. Sound Shore	A	Dividend	J	T					
98. 98. Schwab Money Market Fund	A	Dividend	J	T					
99. 99. Westcore Growth Fund	A	Dividend	J	T	Buy	05/12	J		
100. 100. Rental property in Ocean City, NJ (2000 - \$645,000)	E	Rent	O	R					

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000 J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	B = \$1,001-\$2,900 G = \$100,001-\$1,000,000 K = \$15,001-\$50,000 Q = \$500,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000 L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000 M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	E = \$15,001-\$50,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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5/12/2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part V. GIFTS

Honorary golf memberships with Torresdale-Frankford Country Club, West Shore Country Club, and Blue Ridge Country Club: If I were to become a member of these clubs, I am certain that initial fees and ongoing fees would be several thousands of dollars; however, I use these memberships infrequently, and estimate the value to me, based on use, to be less than a thousand dollars.

Part VII: INVESTMENTS AND TRUSTS

GIFTS - Items at lines 50, 52, 53, 59 were contributions to the Rendell Charitable Gift Fund (managed by the Schwab Fund for Charitable Giving).

SPIN-OFF - Hospira (line 58) was spun off from Abbott Laboratories on 4/30/04. All Abbott shareholders received one Hospira share for every ten shares of Abbott common stock held.

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5/12/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/12/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544