

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Douglas H.	2. Court or Organization D.C. Circuit	3. Date of Report 08/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lecturer of Law	University of Chicago Law School
2. Lecturer-in-Law	Columbia University School of Law
3. Distinguished Adjunct Professor	George Mason University School of Law
4. Visiting Professor	New York University Law School
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011	Teach at New York University Law School, 2012-2016
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	University of Chicago, Teaching	\$32,000.00
2. 2011	Columbia School of Law, Teaching	\$6,955.00
3. 2011	George Mason University Foundation, Teaching	\$10,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association, George Mason University	01/08-13/2011	Guanacaste, Costa Rica	Meeting	Travel related expenses
2.	Institute of Law and Public Policy, George Mason University	01/25-30/2011	St. Petersburg, Russia	Meeting	Travel related expenses
3.	George Mason University	02/10-18/2011	Naples, FL	Meeting	Travel related expenses
4.	University of Chicago	02/20-22/2011	Chicago, IL	Teaching	Travel related expenses
5.	Columbia University Law School	2/23-26/2011	New York, NY	Teaching	Travel related expenses

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6.	Columbia University Law School, George Mason University	3/23-26/2011	New York, NY	Teach	Travel related expenses
7.	George Mason University	3/29-31/2011	Washington, DC	ABA Meeting	Local meeting expenses
8.	New York University Law School, George Mason University	04/05-06/2011	New York, NY	Meeting	Travel related expenses
9.	Columbia University Law School	04/13-16/2011	New York, NY	Teaching	Travel related expenses
10.	Federalist Society	04/16-19/2011	Miami, FL	Meeting	Travel related expenses
11.	University of Chicago	04/24-26/2011	Chicago, IL	Teaching	Travel related expenses
12.	George Mason University	05/04-07/2011	San Antonio, TX	Meeting	Travel related expenses
13.	Federalist Society	05/07-10/2011	San Francisco, CA	Meeting	Travel related expenses
14.	IMEDIPA, George Mason University	05/20-29/2011	Athens, Greece	Meeting	Travel related expenses
15.	George Mason University	07/06-07/2011	New York, NY	Meeting	Travel related expenses
16.	American Bar Association, George Mason University	08/08-12/2011	Montreal, Canada	Meeting	Travel related expenses
17.	Foundation for Research on Economics and the Environment	08/27-09/02/2011	Bozeman, Montana	Meeting	Travel related expenses
18.	New York University Law School	09/08-09/2011	New York, NY	Teaching	Travel related expenses
19.	LIDC	09/21-24/2011	Oxford, England	Meeting	Travel related expenses
20.	University of Chicago, George Mason University	09/24-10/04/2011	Istanbul, Turkey	Meeting	Travel related expenses
21.	New York University Law School	10/06-08/2011	New York, NY	Teaching	Travel related expenses
22.	University of Chicago	10/16-18/2011	Chicago, IL	Teaching	Travel related expenses
23.	Federalist Society	10/19-20/2011	Mexico City, Mexico	Meeting	Travel related expenses
24.	University of Chicago	11/06-08/2011	Chicago, IL	Teaching	Travel related expenses
25.	New York University Law School	11/09-12/2011	New York, NY	Teaching	Travel related expenses
26.	University of Chicago	11/24-28/2011	Chicago, IL	Teaching	Travel related expenses
27.	New York University Law School	12/07-10/2011	New York, NY	Teaching	Travel related expenses
28.	George Mason University	Jan-Oct/2011	Arlington, VA	Teaching	Books, miscellaneous academic expenses
29.	University of Chicago	June-Sept/2011	Chicago, IL	Teaching	Books, miscellaneous academic expenses

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA/CREF retirement account		None	O	T					
2. WDMG		None	J	T					
3. WBRXX		None	M	T					
4. CVX	C	Dividend	M	T					
5. DKS	A	Dividend	K	T	Sold (part)	8/31/11	K	E	
6. ECL	B	Dividend	L	T	Buy (add'l)	6/24/11	K		
7.					Buy (add'l)	10/13/11	K		
8.					Buy (add'l)	10/10/11	J		
9. EPD	B	Dividend	K	T	Sold (part)	1/31/11	J	B	
10. FAST	C	Dividend	N	T	Donated (part)				
11. IDXX		None	L	T	Buy (add'l)	6/29/11	K		
12. NTRS	B	Dividend			Buy (add'l)	1/7/11	K		
13.					Sold	6/7/11	K		
14. PAYX	B	Dividend	K	T	Buy (add'l)	1/10/11	J		
15. PEP	C	Dividend	L	T	Buy (add'l)	1/7/11	K		
16.					Buy (add'l)	1/10/11	J		
17.					Sold (part)	2/18/11	J	B	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

112 = More than \$5,000,000
M = \$100,001 - \$250,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-II)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	7/6/11	J		
19. SRCL		None	M	T					
20. BHP	B	Dividend	K	T					
21. RBA	A	Dividend	K	T					
22. TSCO	A	Dividend	M	T	Sold (part)	8/29/11	J	C	
23.					Sold (part)	8/31/11	J	D	
24. MIIS		None			Sold (part)	3/22/11	K	A	
25.					Sold	8/5/11	K	D	
26. RSG	B	Dividend	L	T	Buy	2/8/11	K		
27.					Buy (add'l)	2/10/11	J		
28.					Buy (add'l)	6/24/11	K		
29. VAW	A	Dividend			Buy	3/21/11	K		
30.					Sold	8/31/11	K		
31. SODA		None	K	T	Buy	4/11/11	K		
32.					Buy (add'l)	6/29/11	K		
33.					Buy (add'l)	11/3/11	J		
34. ARG	B	Dividend	L	T	Buy	5/6/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	6/27/11	K		
36. MJN	B	Dividend	L	T	Buy	6/22/11	K		
37.					Buy (add'l)	6/20/11	J		
38.					Buy (add'l)	6/30/11	K		
39. WSH	B	Dividend			Buy	6/24/11	K		
40.					Sold	11/7/11	K		
41. AGN	A	Dividend	K	T	Buy	6/24/11	K		
42.					Buy (add'l)	3/1/11	J		
43. SREV		None	J	T	Buy	6/24/11	K		
44.					Buy (add'l)	4/13/11	J		
45.					Sold (part)	12/8/11	K		
46. MMM	A	Dividend			Buy	7/19/11	K		
47.					Sold	11/7/11	K		
48. PG	B	Dividend	L	T	Buy	11/2/11	J		
49.					Buy (add'l)	11/4/11	K		
50. SYK	A	Dividend	L	T	Buy	11/3/11	J		
51.					Buy (add'l)	11/4/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

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C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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☐ NONE (No reportable income, assets, or transactions.)

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52. HSTM		None	K	T	Buy	12/6/11	J		
53.					Buy (add'l)	12/8/11	K		
54. MFRM		None	K	T	Buy	12/6/11	J		
55.					Buy (add'l)	12/8/11	K		
56. PPO		None	J	T	Buy	3/21/11	J		
57. Federated Gov't Cash Series: Nations Fin'l G		None	M	T					
58. C	A	Dividend	J	T	Sold (part)	11/30/11	J		
59. XOM	D	Dividend	O	T	Sold (part)	7/11/11	K	D	
60. MHIS		None	L	T					
61. MRK	B	Dividend	K	T					
62. QSGIQ		None	J	T					
63. RDS A	C	Dividend	L	T	Sold (part)	7/11/11	J	A	
64.					Sold (part)	11/3/11	K	E	
65. AA	A	Dividend	J	T					
66. ALLP		None	J	T					
67. MO	C	Dividend	L	T	Buy (add'l)	7/6/11	K		
68. AIG		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
112 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
69. AMGN	A	Dividend	J	T					
70. JNJ	A	Dividend	K	T					
71. JPM	A	Dividend	J	T					
72. NYX	A	Dividend	J	T					
73. QCOM	A	Dividend	J	T					
74. NVS	A	Dividend	J	T					
75. SKT	A	Dividend	K	T					
76. PM	C	Dividend	M	T	Buy (add'l)	7/6/11	K		
77. GG	A	Dividend	K	T					
78. KO	A	Dividend	K	T	Buy	7/6/11	K		
79. CHK	A	Dividend	K	T	Buy	8/3/11	K		
80. BA	A	Dividend	K	T					
81. CSCO	A	Dividend	J	T					
82. CDE		None	L	T	Buy (add'l)	7/6/11	J		
83. DVN	A	Dividend	K	T					
84. INTC	A	Dividend	J	T					
85. KMP	C	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

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E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. MMP	A	Dividend	K	T					
87. MSFT	A	Dividend	J	T					
88. SIAL	A	Dividend	K	T					
89. BP	B	Dividend	K	T					
90. CAT	A	Dividend	K	T	Sold (part)	1/27/11	J	C	
91. KO	A	Dividend	K	T					
92. ESRX		None	M	T	Buy (add'l)	1/10/11	J		
93. HON	A	Dividend	J	T					
94. MON	A	Dividend	J	T					
95. SIAL	A	Dividend	L	T					
96. SLB	A	Dividend	L	T					
97. XEL	A	Dividend	J	T					
98. TMCO		None	K	T					
99. HPQ	A	Dividend			Sold	1/7/11	J	D	
100. VA St Res Auth Infrastructure Rev Pooled	A	Interest			Matured	11/1/11	K		
101. Lynchburg VA GO BDS	A	Interest			Matured	6/1/11	K		
102. BIK	A	Dividend			Sold	11/7/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. HABDX	B	Dividend			Sold	1/7/11	L	C	
104. RPIBX	A	Dividend			Sold	11/7/11	K	A	
105. US Treasury Note	A	Interest	L	T					
106. Caterpillar Financial Svc Bond	A	Interest			Matured	3/10/11	K		
107. CME Group Bond	B	Interest	K	T					
108. Kellogg Co Bond	B	Interest	K	T					
109. Verizon Comm. Bond	B	Interest	K	T					
110. VA State Pub School Bond 9	B	Interest	L	T					
111. GLD		None	K	T	Buy (add'l)	9/23/11	K		
112.					Buy (add'l)	9/28/11	K		
113. JCI	A	Dividend	K	T					
114. TGT	A	Dividend			Sold	10/6/11	K	B	
115. RHI	A	Dividend	K	T	Buy	01/7/11	K		
116.					Buy (add'l)	1/10/11	J		
117.					Sold (part)	1/31/11	K	A	
118.					Sold (part)	2/1/11	J		
119. NTAP		None			Sold (part)	4/11/11	J	C	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
Ginsburg, Douglas H.

Date of Report
08/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	8/24/11	K	B	
121. WSO	B	Dividend	K	T	Buy (add'l)	2/4/11	J		
122.					Buy (add'l)	2/16/11	J		
123.					Sold (part)	7/27/11	J		
124.					Sold (part)	7/29/11	J		
125. AMZN		None	K	T					
126. Procter & Gamble SR Note	B	Interest	K	T					
127. URBN		None			Sold	5/3/11	K		
128. THIO	A	Dividend			Sold	12/13/11	K		
129. ABT	A	Dividend	J	T					
130. ADP	A	Dividend	J	T					
131. CL	A	Dividend	J	T					
132. CEG	A	Dividend	K	T					
133. COST	A	Dividend	J	T					
134. DUK	A	Dividend	K	T					
135. EMR	B	Dividend	K	T					
136. ETE	B	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

3. Value Method Codes
(See Column C2)

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. FHCO	A	Dividend	K	T					
138. NEE	A	Dividend	K	T					
139. NRGY	B	Dividend	J	T					
140. JNJ	A	Dividend			Sold	1/21/11	J	A	
141. MCD	A	Dividend	K	T					
142. NI	A	Dividend	K	T					
143. NU	A	Dividend	K	T					
144. NVS	A	Dividend	J	T					
145. PGN	A	Dividend	K	T					
146. RGNC (renamed RGP)	B	Dividend	K	T					
147. SCG	A	Dividend			Sold	1/21/11	K	C	
148. FMO	B	Dividend	K	T					
149. KYN	B	Dividend	K	T					
150. TYG	B	Dividend	J	T					
151. ACN	A	Dividend	J	T					
152. BAC	A	Dividend			Sold	11/30/11	J		
153. BIIP	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
154. CVX	B	Dividend	L	T					
155. CBSH	A	Dividend	K	T					
156. DTE	A	Dividend			Sold	1/31/11	J	B	
157. ECL	A	Dividend	J	T					
158. FCX	A	Dividend	J	T	Buy (add'l)	3/21/11	J		
159. INTC	A	Dividend	J	T					
160. NLC	A	Dividend			Sold	4/19/11	J	B	
161. PDCO	A	Dividend			Sold	1/31/11	J	A	
162. PG	A	Dividend	J	T					
163. SPLS	A	Dividend			Buy (add'l)	5/18/11	J		
164.					Sold	8/17/11	J		
165. USB	A	Dividend	J	T					
166. YUM	A	Dividend			Sold	7/8/11	J	C	
167. ZOLT		None	K	T	Buy (add'l)	10/6/11	J		
168.					Buy (add'l)	10/7/11	J		
169.					Sold (part)	12/21/11	K		
170. BISIX	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
M = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
171. RSENX	A	Dividend			Sold	12/23/11	K		
172. CPNO	A	Dividend			Sold	1/28/11	J	B	
173. EEP	A	Dividend	J	T					
174. ETP	B	Dividend	K	T	Buy (add'l)	3/29/11	K		
175. MMP	A	Dividend			Sold	1/31/11	J	A	
176. NS	A	Dividend	J	T					
177. PAA	A	Dividend	J	T					
178. OKS	A	Dividend	J	T					
179. TCLP(now TCP)	A	Dividend			Sold	1/31/11	J	C	
180. NTG	A	Dividend			Sold	1/31/11	J		
181. UTX (X)	A	Dividend	J	T					
182. IBB	A	Dividend	K	T	Buy	1/24/11	K		
183. XLVS (renamed PSCII)	A	Dividend	K	T	Buy	1/24/11	K		
184. SSW	A	Dividend	K	T	Buy	1/24/11	K		
185. CSCO	A	Dividend	K	T	Buy	2/10/11	J		
186.					Buy (add'l)	5/12/11	J		
187. BA	A	Dividend	K	T	Buy	3/15/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	08/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-II)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
188. HDPSX		None	L	T	Buy	4/19/11	L		
189. TEVA	A	Dividend	J	T	Buy	4/21/11	J		
190. GLD		None	K	T	Buy	8/22/11	J		
191.					Buy (add'l)	8/26/11	J		
192.					Sold (part)	8/24/11	J		
193.					Buy (add'l)	9/22/11	J		
194. JNJ	A	Dividend	K	T	Buy	10/6/11	K		
195. POT	A	Dividend	K	T	Buy	10/6/11	K		
196. PETM	A	Dividend	K	T	Buy	10/14/11	K		
197. ODVYX	A	Dividend	K	T	Buy	12/23/11	K		
198. Wells Fargo Bank (bank deposit sweep)	A	Interest	N	T					
199. CHRW	A	Dividend	J	T	Sold (part)	2/1/11	J	B	
200. CMG		None	K	T	Sold (part)	10/10/11	J	D	
201. DIIR	A	Dividend	K	T	Buy (add'l)	1/10/11	J		
202. DLB		None			Sold (part)	2/14/11	J	A	
203.					Sold	4/18/11	J	A	
204. ECL	A	Dividend			Merged (with line 6)	12/14/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ETR	A	Dividend	K	T					
206. EXPD	A	Dividend	J	T	Buy (add'l)	1/10/11	J		
207. FAST	A	Dividend			Merged (with line 10)	12/14/11	K		
208. HCSG	A	Dividend	K	T					
209. HSIC		None			Sold	11/29/11	J	B	
210. IDXX		None			Merged (with line 11)	12/14/11	J		
211. NTAP		None			Sold (part)	4/13/11	J	B	
212.					Sold	8/22/11	J	A	
213. NTRS	A	Dividend			Buy (add'l)	1/10/11	J		
214.					Sold	6/3/11	J		
215. PAYX	A	Dividend			Merged (with line 14)	12/14/11	J		
216. PX	A	Dividend	K	T	Buy (add'l)	1/18/11	J		
217. RHI	A	Dividend			Merged (with line 115)	12/14/11	J		
218. SRCL		None			Merged (with line 19)	12/14/11	K		
219. V	A	Dividend	K	T					
220. AMZN		None			Sold	2/16/11	J	A	
221. SCOR		None			Sold	6/20/11	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
222. PRXL		None			Sold (part)	3/14/11	J	A	
223.					Sold	5/4/11	J	A	
224. URBN		None			Buy	2/22/11	J		
225.					Sold	4/29/11	J		
226. FLIR	A	Dividend			Buy	4/28/11	J		
227.					Sold	7/18/11	J		
228. WSI1	A	Dividend			Buy	5/13/11	J		
229.					Sold	11/3/11	J		
230. VMI	A	Dividend			Buy	5/20/11	J		
231.					Sold	11/3/11	J		
232. SIRO		None			Buy	6/22/11	J		
233.					Sold	12/6/11	J		
234. MMM	A	Dividend			Buy	7/18/11	J		
235.					Sold	11/3/11	J		
236. CREE		None			Buy	1/24/11	K		
237.					Sold	8/10/11	J		
238. FFIV		None			Buy	1/24/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold	7/11/11	K	B	
240. NMM	B	Dividend			Buy	1/24/11	K		
241.					Sold	12/21/11	J		
242. SIRI		None			Buy	1/3/11	K		
243.					Sold	7/11/11	K	C	
244. MOS	A	Dividend			Buy	1/24/11	K		
245.					Sold	10/6/11	J		
246. SWFT		None			Buy	4/27/11	K		
247.					Sold	12/21/11	K		
248. F		None			Buy	6/7/11	K		
249.					Sold	12/21/11	K		
250. APA	A	Distribution			Buy	6/23/11	K		
251.					Sold	10/6/11	K		
252. CHK	A	Dividend			Buy	10/6/11	K		
253.					Sold	11/29/11	K		
254.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting
Ginsburg, Douglas H.

Date of Report
08/13/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-A. Non-Investment Income

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Part VII.

ALLP and SEP IRA acct were sold in July 2010.

- Gifted part of FAST to George Mason University Foundation. Value

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Name of Person Reporting
Ginsburg, Douglas H.

Date of Report
08/13/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Douglas H. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544