

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) MCNAMEE, STEPHEN M	2. Court or Organization U.S. DISTRICT COURT OF AZ	3. Date of Report 8/11/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-ACTIVE	5. Report Type (check appropriate type) Nomination Date Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address SANDRA DAY O'CONNOR US COURTHS 401 W WASHINGTON ST, SPC 60 PHOENIX, AZ 85003-2158	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CUSTODIAN, UGMA	AS CUSTODIAN, I HOLD A SMALL # OF STOCKS AND SECURITIES UNDER THE UNIFORM GIFT TO MINORS ACT
2. PRESIDENT, AZ UC ALUMNI CENTER, AND MID-WESTERN REGION VICE PRESIDENT	UNIVERSITY OF CINCINNATI ALUMNI ASSOCIATION
3. SECRETARY/TREASURER	DISTRICT JUDGES ASSOCIATION, 9TH CIRCUIT COURT

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. 2003

STATE OF ARIZONA, INCOME TAX REFUND

529

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

CROWN LIFE, NORMAL (LIQUIDATING) DISTRIBUTION

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)									
1. IRA, US BANK, PHOENIX, AZ-CD	A	Interest	J	T					
2. IRA, US BANK, PHOENIX, AZ-CD	A	Interest	J	T					
3. MERRILL LYNCH-IRA:									
4. ML-IRA, ML BANK USA		None	J	T	Suspense	2003			
5. ML-IRA, MASSACHUSETTS INVESTORS		None		T	SELL	01/16	J		
6. ML-IRA, MFS VALUE FD CL A	A	Dividend	J	T	BUY	01/16	J		
7. MERRILL LYNCH-IRA:									
8. ML-IRA, ML BANK USA		None	J	T	Suspense	2003			
9. ML-IRA, S&P DEP RCPTS	A	Dividend	J	T					
10. MERRILL LYNCH-IRRA:									
11. ML-IRRA, ML BANK USA		None	K	T	Suspense	2003			
12. ML-IRRA, S&P DEP RCPTS	A	Dividend	K	T					
13. ML-IRRA, AMERICAN EURO PACIFIC	A	Dividend	K	T					
14. ML-IRRA, CALAMOS GROWTH FUND	A	Dividend	J	T					
15. ML-IRRA, DAVIS NEW YORK VENTURE FD CL Y	A	Dividend	K	T	BUY	01/13	J		
16. ML-IRRA, DAVIS NEW YORK VENTURE FD CL Y	A	Dividend	K	T	BUY	04/17	J		
17. ML-IRRA, FRANKLIN BIOTECH DISCOVERY FD CL A	A	Dividend	J	T	PARTIAL SELL	01/16	J		
18. ML-IRRA, LORD ABBETT MID CAP	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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19. ML-IRRA, MASSACHUSETTS INVESTORS GROWTH STOCK FD	A	Dividend		T	SELL	01/16	K		
20. ML-IRRA, MFS VALUE FD CL A	A	Dividend	L	T	BUY	01/16	J		
21. ML-CMA:									
22. ML-CMA, ML-BANKING ADVANTAGE ACCOUNT (FORMERLY CKG ACCT)	A	Interest	J	T					
23. ML-CMA, DAVIS NEW YORK VENTURE FD	A	Dividend		T	PARTIAL SELL	01/13	J		
24. ML-CMA, DAVIS NEW YORK VENTURE FD	A	Dividend		T	SELL	04/17	K		
25. ML-CMA:									
26. ML-CMA, ML-BANKING ADVANTAGE ACCOUNT	A	Interest	J	T					
27. US BANK SAVINGS ACCOUNT, PHX,AZ	A	Interest	J	T					
28. US BANK SAVINGS ACCOUNT, PHX,AZ	A	Interest	J	T					
29. IBM COMMON STOCK	A	Dividend	J	T					
30. INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
31. US SAVINGS BONDS	A	Interest	J	T					
32. WELLS FARGO BANK, CKG ACCOUNT, PHX, AZ		None	J	Y					
33. FAIRFIELD TANGLEWOOD [REDACTED] FAIRFIELD ESTATES		None	J	W					
34. ST LUKES HEALTH 403(B)7:									
35. VANGUARD 500 INDEX FD	A	Dividend	L	T	Participant	01/01	K		
36. MERRILL LYNCH-RIRA:									

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37. ML-RIRA, ML BANK USA		None	J	T	BUY	06/01	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

PART VII LINE 35 - VESTED PARTICIPANT 01/01 ST. LUKES 403(B) PLAN

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date August 11, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544