

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WOOD, DIANE P	2. Court or Organization U S Court of Appeals, 7th Cir	3. Date of Report 03/22/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 2688 U.S. Courthouse 219 S. Dearborn Street Chicago, IL 60604-1818	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1	Senior Lecturer in Law	The University of Chicago Law School
2		
3		
4		
5		

II. AGREEMENTS. (Reporting individual only, see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements)

DATE

PARTIES AND TERMS

1		
2		
3		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1 2009	University of Chicago Salary (Total)	\$26,550.00
2 2009	West Services, Inc. (Book Royalties)	\$5,040.46
3		
4		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1 2009	Northwestern Feinberg School of Medicine - Professor of Neurology - Salary
2 2009	Self Employed Health Care Consultant - Expert Neurologist
3	
4	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children - see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1	American Law Institute (ALI)	2/21/09-2/23/09	New York, NY	Int'l Arbitration Conference	T,L,F
2	ABA Litigation Law Section	3/5/09-3/6/09	Tucson, AZ	Insurance CLE Seminar	T,L,F
3	ABA Antitrust Law Section	3/25/09 - 3/27/09	Washington, D C	ABA Antitrust Law Spring Meeting Conference	T,L,F
4	American Society of Int'l Law (ASIL)	3/25/09	Washington, D C.	American Journal of Int'l Law Board Meeting (AJIL)	F
5	Georgetown University Law Center	4/2/09-4/3/09	Washington, D.C	Moot Court Competition	T,L,F
6	University of Texas Law School	4/4/09-4/5/09	Austin, TX	Law Review Assn. Annual Meeting	T,L,F

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7.	New York University School of Law	4/16/09-4/18/09	New York, NY	Lowenfeld Special Tribute & Journal of Private Int'l Law Conference	T,L,F
8.	Center for Women in Law - Univ of Texas School of Law	4/29/09-5/1/09	Austin, TX	Women's Power Summit on Law and Leadership	T,L,F
9.	Georgetown University Law Center	5/20/09	Washington, D C	Sandra Day O'Connor Project on State of Judiciary	L,F
10.	Humanities Texas, LBJ Library & Museum, Univ of TX College of Liberal Arts	6/7/09-6/8/09	Austin, TX	Humanities Texas 2009 Teacher Enrichment Institute	T,L,F
11.	Max Planck Institute for Intellectual Property, Competition & Tax Law	6/17/09	Washington, D C.	4th ASCOLA Conference	T,F
12.	American Law Institute (ALI)	6/22/09-6/23/09	Philadelphia, PA	WTO Advisers Meeting	T,L,F
13.	Renaissance Weekend	7/2/09-7/6/09	Jackson Hole, WY	Renaissance Weekend Program	L,F
14.	Dwight D. Opperman Institute of Judicial Admin - NYU School of Law	7/12/09-7/13/09	New York, NY	2009 New Appellate Judges Seminar	T,L,F
15.	Australian National University (ANU) College of Law	7/24/09-8/1/09	Canberra and Sydney, Australia	ANU Lecture and Visit	T,L,F
16.	American Enterprise Institute (AEI)	9/1/09-9/5/09	Hamburg, Germany	AEI Transatlantic Law Forum: The Business of Law	T,L,F
17.	American Bar Association (ABA)	9/23/09-9/25/09	Washington, D C.	ABA Commission on Ethics 20/20 Meeting	T,L,F
18.	South Carolina Bar Continuing Legal Education	9/30/09-10/2/09	Charleston, SC	SC Women Lawyers Assn. & NC Assn. of Women Attorneys Conference	T,L,F
19.	Temple University Beasley School of Law	10/12/09-10/13/09	Philadelphia, PA	Adams Lecture in Constitutional Law	T,L,F
20.	OECD	10/18/09-10/21/09	Paris, France	OECD Competition Committee-Working Party No. 3 on Cooperation & Enforcement	T,L,F
21.	American Law Institute (ALI)	10/21/09-10/23/09	New York, NY	ALI Fall Council Meeting	T,L,F
22.	University of Texas School of Law	11/2/09	Austin, TX	Bradford Society Community Fellow Lecture	T,F
23.	Harvard University Law School	11/16/09-11/17/09	Cambridge, MA	Ames Moot Court Finals	T,L,F
24.	Southern Methodist University	11/19/09-11/20/09	Orlando, FL	2009 Appellate Judges Education Institute Summit	T,L,F

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25. University of Texas School of Law 12/8/09

Austin, TX

Dean's Forum Luncheon

T,F

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1		
2		
3		
4		
5		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1		
2		
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4		
5		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions)

	A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1	Teachers Insurance & Annuity Assn (TIAA)		None	N	T					
2	College Retirement Equities Fund (CREF)		None	N	T					
3	Harris Bank of Chicago	A	Interest	J	T	N/A				
4	RBS Citizens, N A	A	Interest	J	T	N/A				
5	Chase Bank	A	Interest	M	T					
6	ADC Telecommunications	A	Dividend	J	T					
7	Ameriprise 403(b)		None	L	T					
8	Avanir Pharmaceuticals	A	Dividend	J	T					
9	Baird Insured Deposit	A	Interest	K	T					
10	Bristol Myers	A	Dividend	J	T					
11	Fastenal	B	Dividend	L	T					
12	Fidelity NMFF 457(b)		None	L	T					
13	Fidelity Northwestern 403(b)		None	O	T					
14	FRDXX Fidelity Cash Reserves	C	Interest	L	T					
15	CLSPX Columbia Mid Cap Growth Cl Z		None	L	T					
16	FDVLX Fidelity Value		None	M	T					
17	OBHGX Old Mutual Growth Fund Cl Z		None	L	T					

1. Income Gain Codes (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,001 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18 RC2 Corporation	A	Dividend	J	T					
19 FLPSX Fidelity Low Priced Stock Fund		None	K	T					
20 GE	A	Dividend	J	T					
21 Lake County, IL School District Tax-Exempt Bond		None	J	T					
22 Maricopa, AZ Tax-Exempt Bond		None	J	T					
23 M & I (Marshall & Ilsley) Bank	A	Interest	K	T					
24 Multilink Telecommunications	A	Dividend	J	T					
25 Silverado Gold Fields	A	Dividend	J	T					
26 Sun Microsystems	A	Dividend	J	T					
27 W & T Offshore	A	Dividend	J	T					
28 Walmart de Mexico	A	Dividend	K	T					
29 Wells Fargo	C	Int/Div	N	T					
30 Whole Foods	A	Dividend	M	T					
31 Zimmer Medical Holdings	A	Dividend	J	T					
32 Rental House, Madison, Dane County, Wisconsin-See Part VIII	E	Rent	N	S					
33 Rental House, Travis Co., Texas- See Part VIII	D	Rent	M	S					

1 Income Gain Codes (See Columns B1 and B4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	R = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D1)	Q = Appraisal T1 = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII Investments and Trusts

NOTE: The TIAA and CREF accounts reported in Part VII are not income-producing. The value of accumulations in each either increases or decreases depending on the market and the amount of premiums paid in.

Line 34. Rental house, Madison, Dane County, Wisconsin. Assessed value for 2009: \$282,300.00

Line 35. Rental house, Travis County, Texas. Assessed value for 2009: \$180,460

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to.

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544