

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

**SELF INITIATED
AMENDMENT**

1. Person Reporting (last name, first, middle initial) BABCOCK, LEWIS T.	2. Court or Organization U.S. DISTRICT COURT - COLORADO	3. Date of Report 04/16/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 1929 STOUT STREET, C450 DENVER, CO 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Babcock, Lewis T.

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Name of Person Reporting
BABCOCK, LEWIS T.

Date of Report
04/16/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Self-employed (Artist)
2. 2009	Boat Anchors, Inc.
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting
BABCOCK, LEWIS T.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

BABCOCK, LEWIS T.

Date of Report

04/16/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Double Eagle Petroleum Mining Co (Com.)		None	J	T					
2. Prime Energy Corp (Com)		None	J	T					
3. jhi, Inc. (Com)		None	J	T					
4. Colorado Public Employees Retirement Association		None	M	T					
5. Brokeridge Account #1 (IRA)									
6. a) AIM Premier aka AIM Charter Fund C/A	A	Dividend	J	T					
7. b) Hartford Fund C1 B	A	Dividend	J	T					
8. Brokeridge Account #2 (IRA)									
9. a) AIM Premier Equity C1B aka AIM Charter Fund C/B	A	Dividend	J	T					
10. Brokerage Account #2									
11. a) AIM Equity Fund Class A	A	Dividend	J	T					
12. Brokeridge Account #3									
13. a) Citibank NA	A	Interest	J	T					
14. b) AT&T Inc	A	Dividend	J	T	Buy	10/26/09	J		
15. c) Pfizer, Inc. f/k/a Weyth (Com)	A	Dividend	K	T		10/16/09	K		
16. c)(i) Pfizer, Inc.	A	Dividend	K	T	Sold	10/16/09	K		
17. d) Alcatel Lucent ADR		None	J	T					

1. Income/Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
BABCOCK, LEWIS T.

Date of Report
04/16/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. e) Viacom B (Com)	A	Dividend	J	T					
19. f) GNMA (9/86)	A	Interest	J	T	Redeemed		J	A	
20. g) GNMA (12/86)	A	Interest	J	T					
21. h) LSI Corp		None	J	T					
22. j) Comcast Corp (Com)		None	J	T					
23. k) AIM Premier Equity Fund Class A	A	Distribution	K	T					
24. l) Capital World Growth & Income B	A	Dividend	K	T					
25. AIM Invesco Growth Fund		None	J	T					
26. AIM Diversified Div Fund	A	Dividend	K	T					
27. AIM Invesco Free Bond Fund	C	Int./Div.	L	T					
28. Valic (IRA) nka AIG Retirement	A	Interest	J	T					
29. Waddell & Reed Tot. Ret. Fund (IRA)	A	Dividend	J	T					
30. U.S. Consolidated Federal Credit Union nka ENT									
31. a) CD	A	Interest	J	T					
32. b) Account #1	A	Interest	J	T					
33. US Bancorp Account #1	A	Interest	J	T					
34. US Bancorp Account #2	A	Interest	J	T					

1. Income/Gain Codes:

(See Columns B1 and D4)

2. Value Codes:

(See Columns C1 and D3)

3. Value Method Codes:

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,001 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

BABCOCK, LEWIS T.

Date of Report

04/16/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Lot Riverside County, Calif (Line 20, 2000 Report)		None	J	W					
36. .333 Int in Sec 15, TWP 10 S, Rng. 14E, SBBAM, Imperial, CA	C	Rent	M	W					
37. Brokeridge Account #1 (IRA)									
38. a) Capital World Growth Income B	A	Dividend	J	T					
39. b) Capital Income Building Fund B	A	Dividend	K	T					
40. American Funds IICA	A	Dividend	K	T					
41. Brokeridge Account #3 r) Legg Mason Value Trust, Inc.	A	Dividend	J	T					
42. Brokeridge Account #3 CBS	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B) and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I1 = \$15,001 - \$50,000,000

E = \$15,001 - \$50,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes:
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

BABCOCK, LEWIS T.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Lines 15 and 16 - 2009 Report - There was a merger of Wyeth and Pfizer, Inc. and transaction occurred in exchange for stock and sale.

Part VII. Line 18, 2008 Report. GNMA was redeemed in the past. My records do not reflect the date.

Part III.B.2. 2009 Report is amended to reflect spouse's additional Non-Investment Income.

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Name of Person Reporting

BABCOCK, LEWIS T.

Date of Report

04/16/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BABCOCK, LEWIS T.	2. Court or Organization U.S. DISTRICT COURT - COLORADO	3. Date of Report 04/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 1929 STOUT STREET, C450 DENVER, CO 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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U.S. DISTRICT COURT
DENVER, CO

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Name of Person Reporting
BABCOCK, LEWIS T.

Date of Report
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Self-employed (Artist)
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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BABCOCK, LEWIS T.

Date of Report
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

BABCOCK, LEWIS T.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Double Eagle Petroleum Mining Co (Com.)		None	J	T					
2. Prime Energy Corp (Com)		None	J	T					
3. jhi, Inc. (Com)		None	J	T					
4. Colorado Public Employees Retirement Association		None	M	T					
5. Brokeridge Account #1 (IRA)									
6. a) AIM Premier aka AIM Charter Fund C/A	A	Dividend	J	T					
7. b) Hartford Fund C1 B	A	Dividend	J	T					
8. Brokeridge Account #2 (IRA)									
9. a) AIM Premier Equity C1B aka AIM Charter Fund C/B	A	Dividend	J	T					
10. Brokerage Account #2									
11. a) AIM Equity Fund Class A	A	Dividend	J	T					
12. Brokeridge Account #3									
13. a) Citibank NA	A	Interest	J	T					
14. b) AT&T Inc	A	Dividend	J	T	Buy	10/26/09	J		
15. c) Pfizer, Inc. f/k/a Weyth (Com)	A	Dividend	K	T		10/16/09	K		
16. c)(i) Pfizer, Inc.	A	Dividend	K	T	Sold	10/16/09	K		
17. d) Alcatel-Lucent ADR		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "[X]" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. e) Viacom B (Com)	A	Dividend	J	T					
19. f) GNMA (9/86)	A	Interest	J	T	Redeemed		J	A	
20. g) GNMA (12/86)	A	Interest	J	T					
21. h) LSI Corp		None	J	T					
22. j) Comcast Corp (Com)		None	J	T					
23. k) AIM Premier Equity Fund Class A	A	Distribution	K	T					
24. l) Capital World Growth & Income B	A	Dividend	K	T					
25. AIM Invesco Growth Fund		None	J	T					
26. AIM Diversified Div Fund	A	Dividend	K	T					
27. AIM Invesco Free Bond Fund	C	Int./Div.	L	T					
28. Valic (IRA) nka AIG Retirement	A	Interest	J	T					
29. Waddell & Reed Tot. Ret. Fund (IRA)	A	Dividend	J	T					
30. U.S. Consolidated Federal Credit Union nka ENT									
31. a) CD	A	Interest	J	T					
32. b) Account #1	A	Interest	J	T					
33. US Bancorp Account #1	A	Interest	J	T					
34. US Bancorp Account #2	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less E = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

BABCOCK, LEWIS T.

Date of Report

04/13/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm-dd-yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer-seller (if private transaction)
35. Lot Riverside County, Calif (Line 20, 2000 Report)		None	J	W					
36. .333 Int in Sec 15, TWP 10 S. Rng. 14E, SBBAM, Imperial, CA	C	Rent	M	W					
37. Brokeridge Account #1 (IRA)									
38. a) Capital World Growth Income B	A	Dividend	J	T					
39. b) Capital Income Building Fund B	A	Dividend	K	T					
40. American Funds FICA	A	Dividend	K	T					
41. Brokeridge Account #3 r) Legg Mason Value Trust, Inc.	A	Dividend	J	T					
42. Brokeridge Account #3 CBS	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT
Page 7 of 8

Name of Person Reporting
BABCOCK, LEWIS T.

Date of Report
04/13/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

Lines 15 and 16 - 2009 Report - There was a merger of Wyeth and Pfizer, Inc. and transaction occurred in exchange for stock and sale.

Line 18, 2008 Report. GNMFA was redeemed in the past. My records do not reflect the date.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

BABCOCK, LEWIS T.

Date of Report

04/13/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544