

UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY

CHAMBERS OF  
JOHN C. LIFLAND  
SENIOR JUDGE

MARTIN LUTHER KING JR.  
FEDERAL BUILDING & U.S. COURTHOUSE  
80 WALNUT ST., RM. 5076  
NEWARK, NJ 07101-0999  
(973) 645-3167

October 2, 2006

Hon. Ortrie D. Smith, Chair  
Committee on Financial Disclosure  
One Columbus Circle, N.E.  
Washington, DC 20544

RE: 2005 Report

Dear Judge Smith:

Thank you for your letter of September 5, 2006.

As to Part VII, page 5, line 30, please add: Prudential Insurance Company of America, Stable Value Money Market Fund.

As to Part VII, page 6, line 35, no income was received prior to sale of that security on 4/10/05. Therefore, Column B(2) should have read "none".

As to Part VII, page 6, line 38, the listing "Hudson City Savings Bank" should have been accompanied by an "(X)", because that account of [REDACTED] represents an inheritance from one of [REDACTED] members. Also, the income code (B-1) should be reduced from "C" to "B" and the value code should be reduced from "L" to "K". Please note these corrections, with my apologies.

[REDACTED]  
[REDACTED]  
[REDACTED]  
Very truly yours,  
[REDACTED]

JOHN C. LIFLAND/U.S.D.J.

JCL/kd

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br><br>Lifland, John C                                                                                                                                     | 2. Court or Organization<br><br>U.S. District Court                                                                                                                                                                                                             | 3. Date of Report<br><br>08/08/2006                   |
| 4. Title (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br><br>U.S. District Judge, Senior                                                               | 5a. Report Type (check appropriate type)<br><br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><br>5b. <input type="checkbox"/> Amended Report | 6. Reporting Period<br>01/01/2005<br>to<br>12/31/2005 |
| 7. Chambers or Office Address<br><br>Martin Luther King Federal Bld<br>50 Walnut Street<br>Newark, NJ 07101-0999                                                                                                  | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____                                 |                                                       |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the NONE box for each part where you have no reportable information. Sign on last page.</p> |                                                                                                                                                                                                                                                                 |                                                       |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>                             | <u>NAME OF ORGANIZATION/ENTITY</u> |
|---------------------------------------------|------------------------------------|
| 1. Co-Executor & Trustee Estate #2 See VIII |                                    |
| 2.                                          |                                    |
| 3.                                          |                                    |
| 4.                                          |                                    |
| 5.                                          |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

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Date of Report

08/08/2006

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |
| 5.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |
| 5.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                                               | <u>DESCRIPTION</u>                                                       |
|-------------------------------------------------------------|--------------------------------------------------------------------------|
| 1. The New York Intellectual Property Law Association, Inc. | Dinner and Hotel expenses for one night in New York City, March 18, 2005 |
| 2.                                                          |                                                                          |
| 3.                                                          |                                                                          |
| 4.                                                          |                                                                          |
| 5.                                                          |                                                                          |

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Name of Person Reporting

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lifland, John C

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Bank of America                                                                                                          | E                                       | Dividend                                     | N                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 2. Exxon/Mobile Common                                                                                                      | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 3. Western Resources Common                                                                                                 | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 4. 1/3 Unit Franklin Bldg. Assoc. (Real Est. Ltd. Partnership)                                                              | B                                       | Rent<br>Interest                             | J                                               | V                                         | Redemption                                                | 09/28                         | J                               | F                              |                                                                   |
| 5. IRA #1                                                                                                                   | B                                       | Dividend                                     | N                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 6. -Alcon                                                                                                                   |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 7. -America Movil SA ADR                                                                                                    |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 8. -American Healthways, Inc.                                                                                               |                                         |                                              |                                                 |                                           | Buy                                                       | 02/28                         | K                               |                                |                                                                   |
| 9. -Avaya, Inc.                                                                                                             |                                         |                                              |                                                 |                                           | Sell                                                      | 02/28                         | J                               | A                              |                                                                   |
| 10. -Cerner Corp.                                                                                                           |                                         |                                              |                                                 |                                           | Buy                                                       | 09/15                         | K                               |                                |                                                                   |
| 11. -Chicago Bridge & Iron                                                                                                  |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 12. -Cisco Systems Common                                                                                                   |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 13. -Comcast Corp. Comm                                                                                                     |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 14. -Flextronics Common                                                                                                     |                                         |                                              |                                                 |                                           | Sell                                                      | 03/15                         | J                               | A                              |                                                                   |
| 15. -Fluor Corp.                                                                                                            |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 16. -Huaneng Power Int'l                                                                                                    |                                         |                                              |                                                 |                                           | Sell                                                      | 02/28                         | K                               | A                              |                                                                   |
| 17. -IBM Common                                                                                                             |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value/Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lifland, John C

Date of Report

08/08/2006

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                              | C<br>Gross value at end of<br>reporting period |                                           | D<br>Transactions during reporting period                 |                               |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------------|------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. -Kroll                                                                                                                 |                                        |                                              |                                                |                                           | Sell                                                      | 05/28<br>2004                 | J                               | A                              | See VIII                                                          |
| 19. -Lowes Companies Common                                                                                                |                                        |                                              |                                                |                                           | Sell                                                      | 02/28                         | K                               | A                              |                                                                   |
| 20. -L3 Communications Holdings                                                                                            |                                        |                                              |                                                |                                           | Sell                                                      | 11/21                         | J                               | A                              |                                                                   |
| 21. -Lucent Technologies Common                                                                                            |                                        |                                              |                                                |                                           | Sell                                                      | 02/28                         | J                               | A                              |                                                                   |
| 22. -Microsoft Common                                                                                                      |                                        |                                              |                                                |                                           |                                                           |                               |                                 |                                |                                                                   |
| 23. -Penn Virginia Common                                                                                                  |                                        |                                              |                                                |                                           |                                                           |                               |                                 |                                |                                                                   |
| 24. -Qwestar Common                                                                                                        |                                        |                                              |                                                |                                           |                                                           |                               |                                 |                                |                                                                   |
| 25. -Sanmina Corp. Common                                                                                                  |                                        |                                              |                                                |                                           |                                                           |                               |                                 |                                |                                                                   |
| 26. -Symbol Technologies                                                                                                   |                                        |                                              |                                                |                                           |                                                           |                               |                                 |                                |                                                                   |
| 27. -Tidewater Common                                                                                                      |                                        |                                              |                                                |                                           |                                                           |                               |                                 |                                |                                                                   |
| 28. -Vodafone Airtouch Common                                                                                              |                                        |                                              |                                                |                                           | Sell                                                      | 11/17                         | J                               | A                              |                                                                   |
| 29. -Zimmer Holdings Inc.                                                                                                  |                                        |                                              |                                                |                                           |                                                           |                               |                                 |                                |                                                                   |
| 30. IRA #2                                                                                                                 | D                                      | Dividend<br>Interest                         | M                                              | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 31. Bank of America                                                                                                        | A                                      | Interest                                     | J                                              | T                                         | Redemption                                                | 12/05                         | J                               | A                              | See VIII                                                          |
| 32. Manasquan Savings Bank IRA                                                                                             | A                                      | Interest                                     | J                                              | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 33. Bank of America                                                                                                        | A                                      | Interest                                     | J                                              | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 34. Estate #2 Trust                                                                                                        | A                                      | Interest                                     | K                                              | T                                         |                                                           |                               |                                 |                                | See VIII                                                          |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

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Name of Person Reporting

Lifland, John C

Date of Report

08/08/2006

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Principal Financial Group Common                                                                                        |                                         |                                              |                                                 |                                           | Sell                                                      | 04/10                         | K                               | E                              |                                                                   |
| 36. Third Federal S&L CD                                                                                                    | A                                       | Interest                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 37. Reed Elsevier Inc. Pension Plan                                                                                         | A                                       | Dividend<br>Interest                         | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 38. Hudson City Savings Bank                                                                                                | C                                       | Interest                                     | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 39. Lucent Technologies Common                                                                                              | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

I. Remaining Estate #2 assets now in trust for beneficiary, [REDACTED]

VII - (4) See attached

VII - (18) Kroll stock sold on 5/28/04, Value Code J, Gain Code A. This should have been noted on 2004 report.

VII - (31) Transfer of entire account [REDACTED] to Manasquan Savings Bank (IRA).

VII - (32) New IRA account opened [REDACTED] with funds transferred from Bank of America, Item (31).

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

8/8/06

NOTE: ANY PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544