

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wardlaw, Kim M	2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 08/08/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. COURT OF APPEALS 125 S. GRAND AVENUE PASADENA, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Director	[REDACTED]
2. Director	[REDACTED]
3. Board member	[REDACTED]
4. Board member	Board of Councilors' Select Committee on Federal Judicial Clerkships
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The University of Texas at Austin School of Law	April 5-6	Austin, Texas	Clerkship Workshop	Transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Nonsecured line of credit	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA									
2. Aflac Inc	A	Dividend	K	T					
3. Agilent Technologies Inc		None	J	T	Buy	10/17	J		
4. Air Products & Chemicals Inc	A	Dividend	K	T					
5. Alcoa Inc	A	Dividend	J	T	Buy	8/30	J		
6. Alcoa Inc					Partial Sale	3/07	J	A	
7. Alcoa Inc					Sell	6/19	J	B	
8. Allegeney Technologies Inc	A	Dividend	J	T	Buy	10/2	J		
9. Allergan Inc	A	Dividend	K	T	Stock Split	6/22	J		
10. AMBAC Finl Grp Inc Com	A	Dividend	J	T	Buy	10/8	J		
11. American International Group Inc	A	Dividend	K	T	Buy	4/18	J		
12. American International Group Inc					Buy	10/24	J		
13. American Medical Sys Hldgs		None	J	T	Buy	1/22	J		
14. American Medical Sys Hldgs					Buy	1/23	J		
15. American Medical Sys Hldgs					Buy	4/18	J		
16. American Medical Sys Hldgs					Buy	4/19	J		
17. American Medical Sys Hldgs					Buy	4/20	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. American Medical Sys Hldgs					Buy	5/2	J		
19. American Standard Co	A	Dividend			Partial Sale	1/9	J	A	
20. American Standard Co					Partial Sale	1/10	J	A	
21. American Standard Co					Sell	3/22	J	C	
22. American Standard Co					Spin Off	8/1	J		Wabco Hldgs
23. American Standard Co					Sell	10/04	J	C	
24. Arch Coal Inc	A	Dividend	J	T					
25. Astrazeneca PLC ADR	A	Dividend			Partial Sale	05/04	J	A	
26. Astrazeneca PLC ADR					Partial Sale	11/12	J	A	
27. Astrazeneca PLC ADR					Sell	11/13	J	B	
28. AT&T, Inc.	A	Dividend	K	T	Buy	1/12	J		
29. AT&T, Inc.					Buy	6/27	J		
30. Autonation Inc		None	J	T	Sell	1/31	J	A	
31. Avon Products Inc	A	Dividend	J	T					
32. BAE Systems PLC Spon ADR	A	Dividend	J	T					
33. Baker Hughes Inc	A	Dividend	J	T	Partial Sale	10/9	J	D	
34. Barrick Gold Corp US\$	A	Dividend	K	T	Buy	4/16	J		

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35. Baxter International Inc	A	Dividend	J	T	Buy	7/23	J		
36. Berkshire Hathaway Inc CL B		None	J	T					
37. Best Buy Inc		None	J	T	Buy	10/15	J		
38. Boeing Co	A	Dividend	K	T	Buy	6/29	J		
39. Boeing Co					Buy	8/21	J		
40. Campbell Soup Co	A	Dividend	J	T	Partial Sale	1/4	J	B	
41. Campbell Soup Co					Partial Sale	3/26	J	B	
42. Canadian Natural Resources Ltd US\$	A	Dividend	K	T	Buy	3/07	J		
43. Canadian Natural Resources Ltd US\$					Buy	3/29	J		
44. Canadian Natural Resources Ltd US\$					Buy	4/4	J		
45. Canon Inc ADR	A	Dividend	J	T					
46. Capital One Financial Corp	A	Dividend	J	T					
47. Cerner Corp		None	K	T	Buy	2/20	J		
48. Chevron Corp	A	Dividend	J	T					
49. Cincinnati Finl Corp	A	Dividend	J	T					
50. Cisco Systems Inc		None	K	T	Buy	12/18	J		
51. Citadel Broadcasting Corp		None			Sale of Frac	6/13	J	A	

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52. Citadel Broadcasting Corp					Spin Off	6/13	J		The Walt Disney Co
53. Citadel Broadcasting Corp					Sell	11/14	J	A	
54. Citigroup Inc	A	Dividend			Sell	11/7	J	B	
55. Coca-Cola Co	A	Dividend	J	T					
56. Comcast Corp CL A		None	K	T	Stock Split	2/21	J		
57. Compass Bancshares Inc	A	Dividend			Buy	2/9	J		
58. Compass Bancshares Inc					Sell	6/4	J	A	
59. Conocophillips	A	Dividend	J	T	Buy	7/5	J		
60. Corning Inc	A	Dividend	J	T					
61. Costco Wholesale Corp	A	Dividend	J	T					
62. Danaher Corp	A	Dividend	J	T					
63. Davita Inc		None	K	T	Buy	2/16	J		
64. Dell Inc		None	J	T	Buy	2/1	J		
65. Delta Petroleum Corp		None	K	T	Buy	1/17	J		
66. Duke Energy Corp	A	Dividend			Spin Off	1/3	J		Spectra Energy
67. Duke Energy Corp					Partial Sale	5/9	J	B	
68. Duke Energy Corp					Sell	7/18	J	B	

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69. East West Bancorp Inc	A	Dividend	J	T					
70. Ebay Inc		None	J	T	Buy	5/18	J		
71. Emerson Electric Co	A	Dividend	K	T	Buy	3/22	J		
72. EOG Resources Inc	A	Dividend	J	T					
73. Exelixis Inc		None	J	T					
74. Exelon Corporation	A	Dividend			Sell	8/10	J	C	
75. Exxon Mobil Corp	A	Dividend	J	T	Partial Sale	1/3	J	C	
76. Federal National Mortgage Assoc.		None	J	T	Buy	11/30	J		
77. Fedex Corp	A	Dividend	J	T					
78. Fifth Third Bancorp	A	Dividend			Buy	10/10	J		
79. Fifth Third Bancorp					Sell	11/14	J	A	
80. Fluor Corp	A	Dividend	K	T					
81. Forest Labs Inc		None	J	T	Partial Sale	1/5	J	A	
82. Forest Labs Inc					Partial Sale	1/8	J	A	
83. Forest Labs Inc					Partial Sale	6/4	J	A	
84. Forest Labs Inc					Partial Sale	6/6	J	A	
85. Gallagher Arthur J	A	Dividend			Partial Sale	5/8	J	A	

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86. Gallagher Arthur J					Sell	5/9	J	A	
87. Gannett Co Inc		None	J	T	Buy	11/28	J		
88. Genentech Inc		None	K	T	Buy	2/22	J		
89. Genentech Inc					Buy	2/28	J		
90. Genentech Inc					Buy	12/12	J		
91. General Dynamics Corp		None			Sell	1/5	J	B	
92. General Electric Co	B	Dividend	K	T					
93. General Growth Properties Inc	A	Dividend	K	T	Partial Sale	3/16	J	C	
94. Genpact Ltd		None	J	T	Buy	8/9	J		
95. Google Inc Cl A		None	J	T	Buy	5/9	J		
96. Hanesbrands Inc		None	J	T					
97. Heineken NV ADR	A	Dividend	J	T	Partial Sale	4/4	J	B	
98. Hewlett-Packard Co	A	Dividend	J	T	Partial Sale	2/23	J	B	
99. HSBC Holdings PLC ADR Spon New	A	Dividend			Partial Sale	11/2	J	B	
100. HSBC Holdings PLC ADR Spon New					Sell	11/8	J	B	
101. Idearc Inc	A	Dividend			Sell	3/6	J	A	
102. Illinois Tool Works Inc	A	Dividend	K	T					

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103. Imclone Sys Inc		None	J	T					
104. Intel Corp	A	Dividend	K	T					
105. International Business Machines Corp	A	Dividend	K	T					
106. Jabil Circuit Inc	A	Dividend	J	T	Buy	8/13	J		
107. Jabil Circuit Inc					Buy	8/14	J		
108. Jabil Circuit Inc					Buy	8/14	J		
109. Jarden Corp		None	J	T	Buy	8/21	J		
110. Jarden Corp					Buy	8/22	J		
111. Johnson Controls Inc	A	Dividend	J	T	Stock Split	10/2	J		
112. JPMorgan Chase & Co	A	Dividend	K	T					
113. Kinder Morgan Management LLC		None	J	T	Partial Sale	2/14	J	A	
114. Kinder Morgan Management LLC					Partial Sale	5/15	J	A	
115. Kinder Morgan Management LLC					Partial Sale	8/14	J	A	
116. Kinder Morgan Management LLC					Partial Sale	11/14	J	A	
117. Kraft Foods Inc CL A	A	Dividend	K	T	Buy	5/10	J		
118. Kraft Foods Inc CL A					Buy	11/6	J		
119. Kraft Foods Inc CL A					Buy	12/18	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Leggett & Platt Inc	A	Dividend			Partial Sale	12/20	J	A	
121. Leggett & Platt Inc					Sell	12/21	J	A	
122. Level 3 Communications Inc		None	J	T	Buy	10/3	J		
123. Lincare Holdings Inc		None			Partial Sale	2/1	J	A	
124. Lincare Holdings Inc					Partial Sale	2/14	J	A	
125. Lincare Holdings Inc					Sell	3/12	J	A	
126. Lowes Companies Inc	A	Dividend	K	T					
127. Marsh & McLennan Cos Inc	A	Dividend			Partial Sale	3/14	J	A	
128. Marsh & McLennan Cos Inc					Partial Sale	11/27	J	A	
129. Marsh & McLennan Cos Inc					Sell	11/28	J	A	
130. McDonalds Corp	A	Dividend	K	T					
131. Medco Health Solutions Inc		None			Sell	1/10	J	A	
132. Medtronic Inc	A	Dividend	J	T					
133. Microsoft Corp	A	Dividend	K	T	Buy	3/23	J		
134. Millennium Pharmaceuticals Inc		None	J	T					
135. Motorola Inc	A	Dividend			Sell	1/11	J	A	
136. National Instruments Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wardlaw, Kim M

Date of Report

08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Nestle Nam Spon ADR	A	Dividend	K	T					
138. Nokia Corp Sponsored ADR	A	Dividend	J	T	Partial Sale	4/5	J	A	
139. Nordstrom Inc		None	J	T	Buy	12/5	J		
140. Nordstrom Inc					Buy	12/6	J		
141. Nordstrom Inc					Buy	12/7	J		
142. Novartis AG Namen Spon ADR	A	Dividend			Buy	4/2	J		
143. Novartis AG Namen Spon ADR					Partial Sell	10/12	J	A	
144. Novartis AG Namen Spon ADR					Sell	10/15	J	A	
145. O&M Inv Partners/M Jackson		None	K	T					
146. Omnicom Group Inc	A	Dividend	J	T	Partial Sale	5/9	J	B	
147. Omnicom Group Inc					Stock Split	6/25	J		
148. Paychex Inc	A	Dividend	K	T	Buy	5/4	J		
149. Paychex Inc					Buy	5/4	J		
150. Paychex Inc					Buy	5/7	J		
151. Paychex Inc					Buy	5/9	J		
152. Paychex Inc					Buy	5/10	J		
153. Pepsico Inc	A	Dividend	K	T	Buy	10/23	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

* N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. Pinnacle West Capital Corp	A	Dividend	K	T	Buy	9/21	J		
155. Pinnacle West Capital Corp					Buy	9/27	J		
156. Pinnacle West Capital Corp					Buy	9/28	J		
157. Pinnacle West Capital Corp					Buy	10/2	J		
158. Pinnacle West Capital Corp					Buy	10/18	J		
159. Polycom Inc		None	J	T	Buy	10/9	J		
160. Potash Corp of Saskatchewan Inc US\$	A	Dividend	K	T	Partial Sale	1/5	J	C	
161. Potash Corp of Saskatchewan Inc US\$					Stock Split	5/29	J		
162. Potash Corp of Saskatchewan Inc US\$					Partial Sale	10/12	J	C	
163. Potash Corp of Saskatchewan Inc US\$					Partial Sale	10/18	J	A	
164. Procter & Gamble Co	A	Dividend			Partial Sale	3/26	J	A	
165. Procter & Gamble Co					Sell	5/8	J	A	
166. Progressive Corp Ohio	B	Dividend	J	T	Buy	2/26	J		
167. Progressive Corp Ohio					Buy	2/27	J		
168. Progressive Corp Ohio					Buy	2/28	J		
169. Progressive Corp Ohio					Buy	3/1	J		
170. Progressive Corp Ohio					Buy	3/2	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Progressive Corp Ohio					Partial Sale	11/2	J	A	
172. Rentech Inc		None	J	T					
173. Rio Tinto PLC ADR Spon	A	Dividend	K	T	Partial Sale	1/8	J	B	
174. Royal Dutch Shell CL A ADR	A	Dividend			Partial Sale	1/3	J	B	
175. Royal Dutch Shell CL A ADR					Sell	7/17	K	D	
176. Sanofi-Aventis ADR	A	Dividend	J	T	Buy	2/5	J		
177. SAP AG Spon ADR	A	Dividend	K	T	Buy	5/16	J		
178. Sara Lee Corp	A	Dividend	J	T	Partial Sale	4/17	J	A	
179. Sara Lee Corp					Partial Sale	4/18	J	A	
180. Schlumberger Ltd	A	Dividend	K	T	Partial Sale	6/26	J	D	
181. Semtech Corp		None			Partial Sale	5/8	J	A	
182. Semtech Corp					Partial Sale	10/8	J	A	
183. Semtech Corp					Sell	10/9	J	A	
184. Sepracor Inc		None	J	T	Buy	10/2	J		
185. Sepracor Inc					Buy	10/5	J		
186. Siemens AG ADR	A	Dividend	K	T					
187. SLM Corp	A	Dividend	J	T	Buy	3/28	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. SLM Corp					Buy	12/27	J		
189. SLM Corp					Partial Sale	4/18	J	B	
190. SLM Corp					Partial Sale	5/2	J	B	
191. SLM Corp					Partial Sale	5/3	J	B	
192. SLM Corp					Partial Sale	5/7	J	B	
193. SLM Corp					Partial Sale	5/31	J	B	
194. SLM Corp					Partial Sale	6/1	J	B	
195. SLM Corp					Partial Sale	6/12	J	A	
196. SLM Corp					Partial Sale	6/18	J	C	
197. SLM Corp					Partial Sale	6/19	J	A	
198. Southwest Airlines Co	A	Dividend	J	T	Buy	6/25	J		
199. Southwest Airlines Co					Buy	12/19	J		
200. Spectra Energy Corp	A	Dividend	J	T	Spin Off	1/3	J		Duke Energy
201. Sprint Nextel Corp Series 1		None			Partial Sale	1/12	J	A	
202. Sprint Nextel Corp Series 1					Partial Sale	1/16	J	A	
203. Sprint Nextel Corp Series 1					Partial Sale	1/17	J	A	
204. Sprint Nextel Corp Series 1					Partial Sale	1/18	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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R = Cost (Real Estate Only)
V = Other

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Sprint Nextel Corp Series I					Sell	1/22	J	A	
206. SSGA Money Market Fund	C	Dividend	L	T					
207. Suncor Energy Inc US\$	A	Dividend	J	T					
208. Suntrust Banks Inc	A	Dividend	J	T	Buy	4/17	J		
209. Suntrust Banks Inc					Buy	5/1	J		
210. Target Corp	A	Dividend	K	T					
211. The Walt Disney Co	A	Dividend	J	T	Spin Off	6/13	J		Citadel Broadcasting
212. Time Warner Inc	A	Dividend			Partial Sale	8/13	J	A	
213. Time Warner Inc					Sell	10/15	J	B	
214. Umpqua Holdings Corp	A	Dividend			Partial Sale	5/9	J	A	
215. Umpqua Holdings Corp					Partial Sale	11/6	J	A	
216. Umpqua Holdings Corp					Sell	11/8	J	A	
217. Unilever NV NY Shrs	A	Dividend	J	T					
218. Union Pacific Corp	A	Dividend	K	T	Partial Sale	1/8	J	B	
219. United Parcel Service Inc CL B	A	Dividend	K	T	Buy	5/11	J		
220. United Technologies Corp	A	Dividend	K	T					
221. Unitedhealth Group Inc	A	Dividend	K	T	Buy	5/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wardlaw, Kim M

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. Unitedhealth Group Inc					Buy	9/17	J		
223. Unitedhealth Group Inc					Buy	9/18	J		
224. Unitedhealth Group Inc					Buy	10/30	J		
225. Unitedhealth Group Inc					Buy	11/29	J		
226. Verigy Ltd		None			Sell	3/19	J	A	
227. Verizon Communications	A	Dividend	J	T					
228. Vulcan Materials Co	A	Dividend	J	T	Buy	11/1	J		
229. Wabco Holdings Inc	A	Dividend	J	T	Spin Off	8/1	J		American Standard
230. Wachovia Corporation	A	Dividend	J	T	Buy	12/4	J		
231. Walgreen Co	A	Dividend	J	T	Buy	5/7	J		
232. Walgreen Co					Buy	5/8	J		
233. Washington Mutual Inc	B	Dividend	J	T					
234. Weatherford Intl Ltd		None	J	T	Partial Sale	6/28	J	B	
235. Wellpoint Inc		None			Partial Sale	1/4	J	C	
236. Wellpoint Inc					Partial Sale	5/2	J	C	
237. Wellpoint Inc					Partial Sale	9/19	J	C	
238. Wellpoint Inc					Sell	9/20	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
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B = \$1,001 - \$2,500

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K = \$15,001 - \$50,000

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P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

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M = \$100,001 - \$250,000

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Name of Person Reporting

Wardlaw, Kim M

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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239. Wells Fargo & Co	A	Dividend	K	T	Partial Sale	11/1	J	A	
240. Whole Foods Market	A	Dividend			Sell	8/10	J	A	
241. Williams-Sonoma Inc	A	Dividend	J	T					
242. Wyeth	A	Dividend	J	T	Buy	5/23	J		
243. Wyeth					Buy	5/29	J		
244. Wyeth					Partial Sale	8/15	J	A	
245. XL Capital Ltd CL A	A	Dividend	J	T	Buy	3/26	J		
246. Zymogenetics Inc		None	J	T					
247. Trust #1									
248. Money Market Act	A	Interest	K	T					
249. [REDACTED] (IRA)									
250. Abbot Labs Com Stock	A	Dividend	K	T					
251. Air Prod & Chemicals Com Stock	A	Dividend	K	T	Partial Sale	10/3	J	C	
252. Ambac Financial Com Stock	A	Dividend			Sell	12/17	J		
253. American Int'l Group Com Stock	A	Dividend	K	T					
254. Amgen Inc. Com Stock		None	K	T	Buy	5/23	J		
255. Anadarko Petroleum Com Stock	A	Dividend	K	T	Buy	1/23	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

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O = \$500,001 - \$1,000,000

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V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

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M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Applied Materials Com Stock	A	Dividend	K	T	Buy	1/23	J		
257. Avery Dennison Com Stock	A	Dividend	K	T					
258. BHP Billiton Com Stock	A	Dividend	K	T	Buy	1/23	J		
259. BHP Billiton Com Stock					Partial Sale	8/6	J	D	
260. BHP Billiton Com Stock					Partial Sale	10/3	J	C	
261. Biogen IDEC Com Stock		None	K	T					
262. BP PLC ADR Com Stock	A	Dividend	K	T					
263. Broadcom Corp Com Stock		None	K	T	Buy	1/23	J		
264. Broadcom Corp Com Stock					Buy	11/14	J		
265. Burlington Santa Fe Com Stock	A	Dividend	K	T					
266. Capital One Financial Com Stock	A	Dividend			Sell	8/6	K		
267. Caterpillar Com Stock	A	Dividend			Sell	5/22	K	E	
268. Chevron Corp Com Stock	A	Dividend	K	T	Buy	1/23	J		
269. Cisco Systems Com Stock		None	K	T	Partial Sale	1/23	J	A	
270. Citigroup Com Stock	B	Dividend	K	T	Buy	11/14	J		
271. Colgate-Palmolive Com Stock	A	Dividend	K	T					
272. Corning Inc Com Stock	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Wardlaw, Kim M

Date of Report

08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. CVS Caremark Com Stock	A	Dividend	K	T	Buy	3/9	K		
274. Danaher Corp Com Stock	A	Dividend	K	T					
275. Dentsply Int'l Com Stock	A	Dividend	K	T					
276. The Walt Disney Co. Com Stock	A	Dividend	K	T					
277. Ebay Com Stock		None	K	T					
278. FPL Group Com Stock	A	Dividend	K	T	Partial Sale	3/9	J	C	
279. Fortune Brands Com Stock	A	Dividend	K	T					
280. Gannett Co Com Stock	A	Dividend			Sale	1/23	K		
281. General Electric Com Stock	A	Dividend	K	T					
282. Global Industries Com Stock		None	K	T	Partial Sale	8/6	J	B	
283. Goldman Sachs Group Com Stock	A	Dividend	K	T					
284. Home Depot Inc Com Stock	A	Dividend	K	T	Buy	3/9	K		
285. Home Depot Inc Com Stock					Buy	5/23	J		
286. IBM Com Stock	A	Dividend	K	T	Buy	1/23	J		
287. IDEARC Com Stock		None			Sell	1/23	J	A	
288. Intel Corp Com Stock	A	Dividend	K	T					
289. Johnson & Johnson Com Stock	A	Dividend	K	T	Buy	10/3	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. JP Morgan Chase Com Stock	A	Dividend	K	T					
291. Lehman Bros Com Stock	A	Dividend	K	T					
292. Lockheed Martin Com Stock	A	Dividend	K	T					
293. McGraw-Hill Com Stock	A	Dividend	K	T					
294. Medtronic Inc. Com Stock	A	Dividend	K	T					
295. Microchip Tech. Com Stock	A	Dividend	K	T					
296. Microsoft Corp. Com Stock	A	Dividend	K	T					
297. Peets Coffee Inc Com Stock		None			Sell	3/9	K		
298. Pepsico Inc Com Stock	A	Dividend	K	T	Buy	3/9	K		
299. Proctor & Gamble Com Stock	A	Dividend	K	T					
300. Qualcomm Inc. Com Stock	A	Dividend	K	T	Buy	1/23	J		
301. Royal Dutch ADR Com Stock	B	Dividend	K	T					
302. SEI Investments	A	Dividend	K	T					
303. Sempra Energy Com Stock	A	Dividend	K	T					
304. Smith Intl Com Stock	A	Dividend	K	T	Partial Sale	3/9	J	B	
305. Smith Intl Com Stock					Partial Sale	10/3	J	C	
306. Stericycle Inc Com Stock		None	K	T	Partial Sale	3/9	J	B	

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Stericycle Inc Com Stock					Partial Sale	10/3	J	B	
308. Sysco Corp Com Stock	A	Dividend	K	T					
309. Target Corp Com Stock	A	Dividend	K	T					
310. Teva Pharm ADR Com Stock	A	Dividend	K	T					
311. US Bancorp Com Stock	B	Dividend	K	T	Buy	10/3	J		
312. United Natural Foods Inc.		None			Sell	2/23	K	A	
313. UnumProvident Corp. Com Stock	A	Dividend	K	T					
314. Verizon Com Stock	A	Dividend	K	T					
315. Vodafone ADR	B	Dividend	K	T					
316. Vulcan Materials Com Stock	A	Dividend	K	T	Buy	10/3	J		
317. Zimmer Holdings Com Stock		None	K	T	Buy	10/3	J		
318. DFA Emerging Markets Fund	A	Dividend	K	T					
319. DFA Large Cap Int'l Fund	D	Dividend	N	T	Buy	1/23	K		
320. DFA Large Cap Int'l Fund					Buy	10/3	K		
321. DFA US Micro Cap Fund	B	Dividend	L	T					
322. Powershares Clean Energy Fund	A	Dividend	K	T	Buy	5/23	J		
323. Capital Trust Account									

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Aflac Inc	B	Dividend	M	T	Buy	5/16	J		
325. Agilent Technologies Inc		None	L	T	Buy	9/7	J		
326. Agilent Technologies Inc					Buy	9/12	J		
327. Agilent Technologies Inc					Buy	10/17	J		
328. Air Products & Chemicals Inc	C	Dividend	M	T					
329. Alcoa Inc	B	Dividend	K	T	Partial Sale	5/9	J	B	
330. Alcoa Inc					Partial Sale	6/19	L	E	
331. Allegheny Technologies Inc	A	Dividend	K	T	Buy	9/24	J		
332. Allegheny Technologies Inc					Buy	9/25	J		
333. Allegheny Technologies Inc					Buy	9/28	J		
334. Allegheny Technologies Inc					Buy	10/2	J		
335. Allegheny Technologies Inc					Partial Sale	12/24	K	A	
336. Allergan Inc	A	Dividend	M	T	Stock Split	6/22	J		
337. AMBAC Finl Grp Inc Com	A	Dividend	J	T	Buy	9/28	J		
338. AMBAC Finl Grp Inc Com					Buy	10/1	J		
339. AMBAC Finl Grp Inc Com					Buy	10/1	J		
340. AMBAC Finl Grp Inc Com					Buy	10/2	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. AMBAC Finl Grp Inc Com					Buy	10/2	J		
342. AMBAC Finl Grp Inc Com					Buy	10/3	J		
343. AMBAC Finl Grp Inc Com					Buy	10/11	J		
344. AMBAC Finl Grp Inc Com					Partial Sale	12/24	K	E	
345. American International Group Inc	B	Dividend	L	T	Buy	4/16	J		
346. American International Group Inc					Buy	4/17	J		
347. American International Group Inc					Buy	4/17	J		
348. American International Group Inc					Buy	10/1	J		
349. American International Group Inc					Buy	10/24	K		
350. American International Group Inc					Partial Sale	12/24	J	A	
351. American Medical Sys Hldgs		None	K	T	Buy	1/18	J		
352. American Medical Sys Hldgs					Buy	1/19	J		
353. American Medical Sys Hldgs					Buy	1/22	J		
354. American Medical Sys Hldgs					Buy	1/23	J		
355. American Medical Sys Hldgs					Buy	4/18	K		
356. American Medical Sys Hldgs					Buy	4/19	K		
357. American Medical Sys Hldgs					Buy	4/20	J		

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. American Medical Sys Hldgs					Buy	4/23	J		
359. American Medical Sys Hldgs					Buy	5/1	J		
360. American Medical Sys Hldgs					Buy	5/2	J		
361. American Medical Sys Hldgs					Buy	5/3	J		
362. American Medical Sys Hldgs					Buy	5/4	J		
363. American Medical Sys Hldgs					Buy	5/4	J		
364. American Medical Sys Hldgs					Buy	6/12	J		
365. American Medical Sys Hldgs					Partial Sale	12/24	K	A	
366. American Standard Co	B	Dividend			Partial Sale	1/8	J	A	
367. American Standard Co					Partial Sale	1/9	K	C	
368. American Standard Co					Partial Sale	1/10	J	B	
369. American Standard Co					Partial Sale	4/17	J	C	
370. American Standard Co					Partial Sale	5/8	K	D	
371. American Standard Co					Partial Sale	5/9	K	D	
372. American Standard Co					Spin Off	8/1	J		Wabco Holdings
373. American Standard Co					Partial Sale	8/22	K	D	
374. American Standard Co					Exchange	11/28	J		Trane Inc.

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Arch Coal Inc	A	Dividend	L	T					
376. Astrazeneca PLC ADR	D	Dividend			Partial Sale	04/04	K	C	
377. Astrazeneca PLC ADR					Partial Sale	5/4	K	D	
378. Astrazeneca PLC ADR					Partial Sale	9/19	J	A	
379. Astrazeneca PLC ADR					Partial Sale	11/12	K	B	
380. Astrazeneca PLC ADR					Sell	11/13	L	C	
381. AT&T, Inc.	D	Dividend	M	T	Buy	1/12	K		
382. AT&T, Inc.					Buy	6/27	J		
383. AT&T, Inc.					Buy	6/27	K		
384. Autonation Inc		None	K	T	Partial Sale	1/31	J	C	
385. Avon Products Inc	A	Dividend	K	T					
386. BAE Systems PLC Spon ADR	B	Dividend	L	T					
387. Baker Hughes Inc	A	Dividend	L	T	Partial Sale	7/6	J	D	
388. Baker Hughes Inc					Partial Sale	10/9	J	C	
389. Barrick Gold Corp US\$	A	Dividend	M	T	Buy	4/16	L		
390. Barrick Gold Corp US\$					Buy	12/20	K		
391. Baxter International Inc	A	Dividend	L	T	Buy	7/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Berkshire Hathaway Inc CL B		None	M	T					
393. Berkshire Hathaway Inc CL B		None	L	T					
394. Best Buy Inc		None	K	T	Buy	10/12	K		
395. Boeing Co	A	Dividend	L	T	Buy	6/15	J		
396. Boeing Co					Buy	6/21	K		
397. Boeing Co					Buy	6/27	J		
398. Boeing Co					Buy	6/29	K		
399. Boeing Co					Buy	7/6	K		
400. Boeing Co					Buy	7/16	K		
401. Boeing Co					Buy	8/21	K		
402. Boeing Co					Partial Sale	12/24	K	A	
403. CA PUB WKS LEASE REV BONDS A AMBAC 5.125% 12-01-21	D	Interest	M	T					
404. CA ST DEPT WTR RES Q 5.0% 03-01-17	B	Interest	L	T					
405. CA ST ECON RECOV A FGIC 5.25% 07-01-13	D	Interest	M	T					
406. CA ST GO 6.25% 09-01-12	C	Interest	L	T					
407. CA ST UNIV SYSTEMWIDE REV SER A AMBAC 5.0% 11-01-17	E	Interest	N	T					
408. CA STWD JOHN MUIR HLTH W/I 5% 08/15/2021	E	Interest	N	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

409. CALIFORNIA ST 5% 09/01/2031	E	Interest	N	T					
410. CALIFORNIA ST REF 5% 03/01/2020	E	Interest	N	T					
411. Campbell Soup Co	C	Dividend	M	T	Partial Sale	1/3	J	B	
412. Campbell Soup Co					Partial Sale	1/4	J	A	
413. Campbell Soup Co					Partial Sale	1/4	J	A	
414. Campbell Soup Co					Partial Sale	1/5	J	B	
415. Canadian Natural Resources Ltd US\$	A	Dividend	L	T	Buy	4/3	K		
416. Canadian Natural Resources Ltd US\$					Buy	4/4	J		
417. Canon Inc ADR	A	Dividend	L	T					
418. Capital One Financial Corp	A	Dividend	K	T	Buy	4/27	J		
419. Capital One Financial Corp					Buy	10/12	J		
420. Cerner Corp		None	M	T	Buy	2/09	J		
421. Cerner Corp					Buy	2/12	J		
422. Cerner Corp					Buy	2/13	J		
423. Cerner Corp					Buy	2/15	J		
424. Cerner Corp					Buy	2/15	J		
425. Cerner Corp					Buy	2/16	J		

1. Income Gain Codes.

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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426. Cerner Corp					Buy	2/20	J		
427. Cerner Corp					Buy	2/21	J		
428. Chevron Corp	B	Dividend	L	T	Partial Sale	1/5	K	C	
429. Chubb Corp	A	Dividend			Sell	3/1	K	B	
430. Cincinnati Fintl Corp	B	Dividend	L	T					
431. Cisco Systems Inc		None	M	T	Buy	12/18	K		
432. Citadel Broadcasting Corp		None			Partial Sale	6/13	J	A	
433. Citadel Broadcasting Corp					Spin Off	6/13	J		The Walt Disney Co
434. Citadel Broadcasting Corp					Sell	11/14	J	A	
435. Citigroup Inc	B	Dividend	K	T					
436. Coast Comnty College Dist CA SER B 5% 8/1/2021	E	Interest	N	T					
437. Coca-Cola Co	B	Dividend	L	T	Buy	5/10	K		
438. Comcast Corp CL A		None	M	T	Partial Sale	2/21	J	A	
439. Compass Bancshares Inc	A	Dividend			Buy	1/22	J		
440. Compass Bancshares Inc					Buy	2/8	J		
441. Compass Bancshares Inc					Buy	2/9	J		
442. Compass Bancshares Inc					Partial Sale	6/1	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Compass Bancshares Inc					Sell	6/4	J	B	
444. Conocophillips	A	Dividend	K	T	Buy	7/2	K		
445. Conocophillips					Buy	7/2	J		
446. Conocophillips					Buy	7/3	J		
447. Corning Inc Com	A	Dividend	L	T					
448. Costa Mesa CA PFA A 5.0% 10-01-12	C	Interest	M	T					
449. Costco Wholesale Corp	A	Dividend	M	T	Partial Sale	1/5	L	D	
450. Cucamonga Co CA Cop Wtr FGIC 5.0% 09-01-19	C	Interest	M	T					
451. Danaher Corp	A	Dividend	L	T					
452. Davita Inc		None	M	T	Buy	2/15	J		
453. Davita Inc					Buy	2/16	J		
454. Davita Inc					Buy	2/16	J		
455. Davita Inc					Buy	5/16	K		
456. Dell Inc		None	L	T	Buy	2/1	K		
457. Delta Petroleum Corp		None	M	T	Buy	1/16	J		
458. Delta Petroleum Corp					Buy	1/16	J		
459. Delta Petroleum Corp					Buy	1/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Delta Petroleum Corp					Buy	1/18	J		
461. Delta Petroleum Corp					Buy	8/16	J		
462. Delta Petroleum Corp					Buy	8/17	J		
463. Delta Petroleum Corp					Buy	8/21	J		
464. Duke Energy Corp	B	Dividend			Spin Off	1/3	J		Spectra Energy
465. Duke Energy Corp					Partial Sell	5/9	K	D	
466. Duke Energy Corp					Sell	7/18	K	D	
467. East West Bancorp Inc	A	Dividend	K	T	Partial Sale	12/24	K	A	
468. Ebay Inc		None	L	T	Buy	5/18	L		
469. El Centro CA Auth Wtr AMBAC A 4.8% 10-01-09	B	Interest	L	T					
470. Emerson Electric Co	C	Dividend	M	T	Partial Sale	5/23	L	E	
471. Energy Northwest WA Elec Rev Ser A 5.25% 07/01/2008	A	Interest			Sell	1/25	K	A	
472. Energy Northwest WA Elec Columbia A 5.25% 07/01/2008		None			Sell	1/25	J	A	
473. American Funds Europacific Growth Fund CL R5	F	Dividend	P1	T	Buy	12/13	J		
474. American Funds Europacific Growth Fund CL R5					Buy	12/13	J		
475. Exelixis Inc		None	K	T					
476. Exelon Corporation	A	Dividend			Partial Sale	5/14	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Exelon Corporation					Sell	8/10	K	D	
478. Exxon Mobil Corp	C	Dividend	M	T	Partial Sale	1/3	J	D	
479. Exxon Mobil Corp					Partial Sale	10/2	K	D	
480. Federal National Mortgage Assoc.		None	K	T	Buy	11/30	K		
481. Fedex Corp	A	Dividend	K	T					
482. Fluor Corp	B	Dividend	M	T	Partial Sale	5/25	J	B	
483. Fluor Corp					Partial Sale	8/22	K	E	
484. Fluor Corp					Partial Sale	10/8	K	D	
485. Fluor Corp					Partial Sale	10/9	K	E	
486. Foothill-de Anza CC 1997 Fing Proj FSA 4.9% 06-01-11	B	Interest	L	T					
487. Forest Labs Inc		None	K	T	Partial Sale	1/5	K	B	
488. Forest Labs Inc					Partial Sale	1/8	K	C	
489. Forest Labs Inc					Partial Sale	5/30	K	B	
490. Forest Labs Inc					Partial Sale	5/30	J	B	
491. Forest Labs Inc					Partial Sale	5/31	J	B	
492. Forest Labs Inc					Partial Sale	6/1	J	B	
493. Forest Labs Inc					Partial Sale	6/4	K	C	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. Forest Labs Inc					Partial Sale	6/6	K	C	
495. Fremont CA UHSD FGIC 5.0% 09-01-16	D	Interest	N	T					
496. Gallagher Arthur J	A	Dividend			Partial Sale	5/7	J	A	
497. Gallagher Arthur J					Partial Sale	5/8	J	A	
498. Gallagher Arthur J					Sell	5/9	J	A	
499. Gannett Co Inc		None	K	T	Buy	11/28	K		
500. Gannett Co Inc					Buy	11/29	J		
501. Gannett Co Inc					Buy	12/11	J		
502. Genentech Inc		None	M	T	Buy	2/16	J		
503. Genentech Inc					Buy	2/20	J		
504. Genentech Inc					Buy	2/21	K		
505. Genentech Inc					Buy	2/21	J		
506. Genentech Inc					Buy	2/22	J		
507. Genentech Inc					Buy	2/22	K		
508. Genentech Inc					Buy	2/23	J		
509. Genentech Inc					Buy	2/26	J		
510. Genentech Inc					Buy	2/27	J		

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511. Genentech Inc					Buy	2/28	J		
512. Genentech Inc					Buy	5/14	K		
513. Genentech Inc					Buy	12/10	J		
514. Genentech Inc					Buy	12/11	J		
515. Genentech Inc					Buy	12/11	J		
516. Genentech Inc					Buy	12/12	J		
517. Genentech Inc					Buy	12/13	J		
518. General Dynamics Corp		None			Sell	1/5	K	D	
519. General Electric Co	D	Dividend	N	T					
520. General Growth Properties Inc	C	Dividend	L	T					
521. Genpact Ltd		None	K	T	Buy	8/9	J		
522. Genpact Ltd					Buy	8/9	J		
523. Genpact Ltd					Buy	8/10	J		
524. Golden St Tobacco St Approp Ambac 5.0% 06/01/14	C	Interest	M	T	Partial Sale	3/13	M	B	
525. Golden St Tobacco St Approp Ambac 5.0% 06/01/14					Partial Sale	3/13	J	A	
526. Golden St Tobacco St Refdg 4.5% 06/01/2027	D	Interest	N	T	Buy	3/8	N		
527. Google Inc Cl A		None	L	T	Buy	5/9	K		

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528. Hacienda La Puente USD FSA		None	N	T	Buy	9/20	N		
529. Hanesbrands Inc		None	L	T					
530. Heineken NV ADR	B	Dividend	L	T	Partial Sale	5/10	L	E	
531. Hewlett-Packard Co	A	Dividend	L	T	Partial Sale	2/23	K	E	
532. Hewlett-Packard Co					Partial Sale	10/24	L	E	
533. HSBC Holdings PLC ADR Spon New	C	Dividend			Partial Sale	5/8	J	B	
534. HSBC Holdings PLC ADR Spon New					Partial Sale	11/12	K	D	
535. HSBC Holdings PLC ADR Spon New					Sell	11/8	L	D	
536. Idearc Inc	A	Dividend			Sell	3/6	J	A	
537. Illinois Tool Works Inc	C	Dividend	M	T					
538. Imclone Sys Inc		None	K	T	Buy	7/13	K		
539. Imclone Sys Inc					Partial Sale	5/11	J	B	
540. Imclone Sys Inc					Partial Sale	5/14	J	B	
541. Imclone Sys Inc					Partial Sale	10/15	K	D	
542. Imclone Sys Inc					Partial Sale	10/15	J	B	
543. Intel Corp	B	Dividend	M	T					
544. International Business Machines Corp	B	Dividend	M	T	Partial Sale	5/9	K	B	

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimate d

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Jabil Circuit Inc	A	Dividend	K	T	Buy	8/10	J		
546. Jabil Circuit Inc					Buy	8/13	J		
547. Jabil Circuit Inc					Buy	8/14	J		
548. Jabil Circuit Inc					Buy	8/14	K		
549. Jabil Circuit Inc					Buy	12/17	J		
550. Jabil Circuit Inc					Buy	12/18	J		
551. Jabil Circuit Inc					Buy	12/19	J		
552. Jabil Circuit Inc					Buy	12/20	J		
553. Jarden Corp		None	L	T	Buy	4/2	J		
554. Jarden Corp					Buy	4/2	J		
555. Jarden Corp					Buy	8/20	J		
556. Jarden Corp					Buy	8/21	J		
557. Jarden Corp					Buy	8/21	J		
558. Jarden Corp					Buy	8/22	J		
559. Jarden Corp					Buy	8/22	J		
560. Jarden Corp					Buy	8/23	J		
561. Jarden Corp					Buy	8/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Jarden Corp					Buy	10/22	J		
563. Johnson Controls Inc	A	Dividend	K	T	Stock Split	10/2	J		
564. JPMorgan Chase & Co	D	Dividend	M	T	Buy	12/18	J		
565. Kinder Morgan Management LLC		None	L	T	Partial Sale	2/14	J	A	
566. Kinder Morgan Management LLC					Partial Sale	5/15	J	A	
567. Kinder Morgan Management LLC					Partial Sale	8/14	J	A	
568. Kinder Morgan Management LLC					Partial Sale	11/14	J	A	
569. Kraft Foods Inc CL A	C	Dividend	M	T	Buy	5/10	K		
570. Kraft Foods Inc CL A					Buy	12/11	K		
571. Kraft Foods Inc CL A					Buy	12/18	J		
572. Kraft Foods Inc CL A					Buy	12/19	K		
573. LA CA DWAP FSA 5.25% 07-01-20	C	Interest	L	T					
574. LA CA USD GO FGIC 5.0% 07-01-16	D	Interest	N	T					
575. LA CO CA MTA AMBAC C 5.0% 07-01-20	D	Interest	M	T					
576. LA CO CA MTA FSA SF 5.0% 07-01-19	C	Interest	M	T					
577. LA CANADA FLINTRIDGE CA CTFS MBIA SF 5.25% 12-01-21		None			Sell	5/10	M	A	
578. Leggett & Platt Inc	B	Dividend			Partial Sale	12/20	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

579. Leggett & Platt Inc					Sell	12/21	J	C	
580. Level 3 Communications Inc		None			Buy	10/3	K		
581. Level 3 Communications Inc					Partial Sale	11/20	J	C	
582. Level 3 Communications Inc					Sell	11/21	J	C	
583. Lincare Holdings Inc		None			Partial Sale	1/25	J	A	
584. Lincare Holdings Inc					Partial Sale	1/26	J	A	
585. Lincare Holdings Inc					Partial Sale	1/29	J	A	
586. Lincare Holdings Inc					Partial Sale	1/30	J	A	
587. Lincare Holdings Inc					Partial Sale	2/1	J	C	
588. Lincare Holdings Inc					Partial Sale	2/5	J	A	
589. Lincare Holdings Inc					Partial Sale	2/6	J	B	
590. Lincare Holdings Inc					Partial Sale	2/7	J	A	
591. Lincare Holdings Inc					Partial Sale	2/13	J	A	
592. Lincare Holdings Inc					Partial Sale	2/14	J	A	
593. Lincare Holdings Inc					Partial Sale	2/15	J	A	
594. Lincare Holdings Inc					Partial Sale	2/16	J	A	
595. Lincare Holdings Inc					Partial Sale	2/20	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. Lincare Holdings Inc					Partial Sale	2/21	J	A	
597. Lincare Holdings Inc					Sell	3/12	J	A	
598. Lincoln CA PFA Ambac 4.25% 09/01/2023	C	Interest	N	T	Buy	5/9	N		
599. Long Beach CA Prepay Gas (ML) 5.25% 11/15/2023		None	M	T	Buy	9/13	M		
600. LOS ANGELES CNTY CA PUB WKS FING AUTH AMBAC 5% 06/01/2021	D	Interest	N	T					
601. Lowes Companies Inc	B	Dividend	M	T	Buy	8/13	J		
602. Lowes Companies Inc					Buy	8/22	J		
603. Lowes Companies Inc					Buy	12/10	J		
604. Lowes Companies Inc					Buy	12/19	J		
605. Marsh & McLennan Cos Inc	B	Dividend			Partial Sale	11/27	K	D	
606. Marsh & McLennan Cos Inc					Partial Sale	11/28	K	C	
607. Marsh & McLennan Cos Inc					Sell	11/29	J	B	
608. McDonalds Corp	D	Dividend	M	T					
609. Medtronic Inc	A	Dividend	L	T					
610. Met Wtr So CA Go Ser A 5.0% 03-01-17	E	Interest	N	T					
611. Microsoft Corp	C	Dividend	N	T	Buy	3/23	L		
612. Microsoft Corp					Buy	5/15	L		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. Millennium Pharmaceuticals Inc		None	L	T					
614. Monterey CA Cops Natividad Med MBIA 4.75% 08-01-17	B	Interest	L	T					
615. Motorola Inc	A	Dividend			Sell	1/11	K	D	
616. Mt Diablo CA USD FSA 4.625% 08-01-17	C	Interest	M	T					
617. National Instruments Corp	A	Dividend	K	T					
618. Nestle Nam Spon ADR	B	Dividend	M	T					
619. American Funds New World Fund Inc CL R5	E	Dividend	O	T	Reinvestment	12/13	J		
620. American Funds New World Fund Inc CL R5					Reinvestment	12/13	J		
621. American Funds New World Fund Inc CL R5					Reinvestment	12/13	J		
622. Nokia Corp Sponsored ADR	A	Dividend	K	T	Partial Sale	4/4	K	B	
623. Nokia Corp Sponsored ADR					Partial Sale	5/9	J	B	
624. Nordstrom Inc		None	M	T	Buy	12/4	J		
625. Nordstrom Inc					Buy	12/5	K		
626. Nordstrom Inc					Buy	12/6	K		
627. Nordstrom Inc					Buy	12/7	J		
628. Nordstrom Inc					Buy	12/10	J		
629. Nordstrom Inc					Buy	12/10	K		

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630. Novartis AG Namen Spon ADR	A	Dividend			Buy	4/2	J		
631. Novartis AG Namen Spon ADR					Partial Sell	10/2	K	A	
632. Novartis AG Namen Spon ADR					Sell	10/15	L	D	
633. Oakland CA USD Alameda Cnty FSA 5.0% 08-01-19	D	Interest			Sell	9/26	M	A	
634. Oceanside CA Cop Ambac 5.0% 05-01-20	B	Interest	K	T					
635. Omnicom Group Inc	B	Dividend	M	T	Partial Sale	6/25	J	A	
636. Orange Cops Police Headqtrs FSA 4.6% 08-01-10	C	Interest	M	T					
637. Palos Verdes CA Libr Dist 5.0% 08-01-16	C	Interest	M	T					
638. Paychex Inc	B	Dividend	M	T	Buy	5/4	K		
639. Paychex Inc					Buy	5/4	K		
640. Paychex Inc					Buy	5/7	K		
641. Paychex Inc					Buy	5/8	K		
642. Paychex Inc					Buy	5/8	J		
643. Paychex Inc					Buy	5/9	J		
644. Paychex Inc					Buy	5/10	K		
645. Paychex Inc					Buy	5/11	J		
646. Pepsico Inc	C	Dividend	M	T					

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647. Pinnacle West Capital Corp	B	Dividend	M	T	Buy	8/28	J		
648. Pinnacle West Capital Corp					Buy	8/29	J		
649. Pinnacle West Capital Corp					Buy	8/30	J		
650. Pinnacle West Capital Corp					Buy	8/31	J		
651. Pinnacle West Capital Corp					Buy	9/4	J		
652. Pinnacle West Capital Corp					Buy	9/5	J		
653. Pinnacle West Capital Corp					Buy	9/6	J		
654. Pinnacle West Capital Corp					Buy	9/7	J		
655. Pinnacle West Capital Corp					Buy	9/10	J		
656. Pinnacle West Capital Corp					Buy	9/11	J		
657. Pinnacle West Capital Corp					Buy	9/12	J		
658. Pinnacle West Capital Corp					Buy	9/13	J		
659. Pinnacle West Capital Corp					Buy	9/14	J		
660. Pinnacle West Capital Corp					Buy	9/17	J		
661. Pinnacle West Capital Corp					Buy	9/18	J		
662. Pinnacle West Capital Corp					Buy	9/19	J		
663. Pinnacle West Capital Corp					Buy	9/20	J		

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664. Pinnacle West Capital Corp					Buy	9/21	J		
665. Pinnacle West Capital Corp					Buy	9/24	J		
666. Pinnacle West Capital Corp					Buy	9/25	J		
667. Pinnacle West Capital Corp					Buy	9/26	J		
668. Pinnacle West Capital Corp					Buy	9/27	J		
669. Pinnacle West Capital Corp					Buy	9/28	J		
670. Pinnacle West Capital Corp					Buy	10/2	J		
671. Pinnacle West Capital Corp					Buy	10/3	J		
672. Pinnacle West Capital Corp					Buy	10/17	J		
673. Pinnacle West Capital Corp					Buy	10/18	J		
674. Plains Exploration & Production Co		None			Sell	7/5	L	D	
675. Polycom Inc		None	K	T	Buy	10/8	J		
676. Polycom Inc					Buy	10/9	J		
677. Potash Corp of Saskatchewan Inc US\$	A	Dividend	M	T	Partial Sale	1/4	J	D	
678. Potash Corp of Saskatchewan Inc US\$					Partial Sale	1/5	J	D	
679. Potash Corp of Saskatchewan Inc US\$					Stock Split	5/29	J		
680. Potash Corp of Saskatchewan Inc US\$					Partial Sale	8/8	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681. Potash Corp of Saskatchewan Inc US\$					Partial Sale	10/18	L	E	
682. Potash Corp of Saskatchewan Inc US\$					Partial Sale	10/19	K	E	
683. PR PFC FGIC (P) 5.25% 08-01-31/02-1-12	D	Interest	N	T					
684. Procter & Gamble Co	A	Dividend			Sell	5/8	K	D	
685. Progressive Corp Ohio	D	Dividend	K	T	Buy	2/23	J		
686. Progressive Corp Ohio					Buy	2/26	J		
687. Progressive Corp Ohio					Buy	2/27	K		
688. Progressive Corp Ohio					Buy	2/28	J		
689. Progressive Corp Ohio					Buy	3/1	K		
690. Progressive Corp Ohio					Buy	3/2	J		
691. Progressive Corp Ohio					Buy	3/5	J		
692. Progressive Corp Ohio					Buy	5/1	K		
693. Progressive Corp Ohio					Partial Sale	11/2	J	C	
694. Progressive Corp Ohio					Partial Sale	11/5	J	A	
695. Progressive Corp Ohio					Partial Sale	11/6	J	A	
696. Progressive Corp Ohio					Partial Sale	11/20	K	D	
697. Progressive Corp Ohio					Partial Sale	12/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

698. Rentech Inc		None	J	T					
699. Rio Tinto PLC ADR Spon	B	Dividend	M	T	Partial Sale	1/5	L	E	
700. Riverside CA Cop MBIA 4.75% 12-01-12	B	Interest	L	T					
701. Royal Dutch Shell CL A ADR	C	Dividend			Sell	7/17	M	F	
702. SACRA CA MUD S MBIA 5.0% 11-15-15	C	Interest	M	T					
703. San Diego CA 99 A Tal Ambac SF 4.75% 09-01-18	C	Interest	M	T					
704. San Fran ARPT 2nd-15A AMT FSA 4.7% 05-01-12	B	Interest	L	T					
705. San Fran CA Redev Agy Moscone Ctr FSA 5.0% 07-01-17	B	Interest	L	T					
706. San Fran CA Redev Agy Moscone Ctr FSA 5.0% 07-01-18	C	Interest	L	T					
707. San Fran City & Co Hotel Tax FSA 4.75% 07-01-12	B	Interest	L	T					
708. San Francisco CA ST Bldg Auth Lease Rev 5% 12/01/2020	E	Interest	N	T					
709. San Jose CA Redev 04 A MBIA 5.25% 08-01-10	D	Interest	N	T					
710. Sanofi-Aventis ADR	A	Dividend	K	T	Buy	2/5	K		
711. SANTA BARBARA CA REDEV A FSA 5.0% 03-01-19	D	Interest	M	T					
712. SAP AG Spon ADR	B	Dividend	M	T	Buy	5/16	K		
713. Sara Lee Corp	C	Dividend	L	T	Partial Sale	9/14	K	B	
714. Schlumberger Ltd	B	Dividend	M	T	Partial Sale	1/8	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

715. Schlumberger Ltd					Partial Sale	7/9	L	E	
716. Schlumberger Ltd					Partial Sale	10/2	L	E	
717. Schlumberger Ltd					Partial Sale	10/9	K	E	
718. Semtech Corp		None			Partial Sale	10/8	J	A	
719. Semtech Corp					Partial Sale	10/9	J	A	
720. Semtech Corp					Partial Sale	10/10	J	A	
721. Semtech Corp					Partial Sale	10/11	J	A	
722. Semtech Corp					Partial Sale	10/11	J	A	
723. Semtech Corp					Partial Sale	10/12	J	A	
724. Semtech Corp					Sell	10/15	J	A	
725. Sepracor Inc		None	K	T	Buy	7/24	J		
726. Sepracor Inc					Buy	7/25	K		
727. Sepracor Inc					Buy	7/27	K		
728. Sepracor Inc					Buy	7/27	J		
729. Sepracor Inc					Buy	10/4	J		
730. Sepracor Inc					Buy	10/5	J		
731. Sepracor Inc					Partial Sale	8/29	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

732. Sepracor Inc					Partial Sale	12/24	K	A	
733. Siemens AG ADR	A	Dividend	K	T	Partial Sale	10/15	J	D	
734. Siemens AG ADR					Partial Sale	10/17	K	D	
735. SLM Corp	B	Dividend	L	T	Buy	3/30	J		
736. SLM Corp					Buy	4/2	K		
737. SLM Corp					Buy	12/27	L		
738. SLM Corp					Partial Sale	4/17	J	A	
739. SLM Corp					Partial Sale	4/18	J	A	
740. SLM Corp					Partial Sale	4/30	K	A	
741. SLM Corp					Partial Sale	5/2	K	C	
742. SLM Corp					Partial Sale	5/3	J	B	
743. SLM Corp					Partial Sale	5/4	J	C	
744. SLM Corp					Partial Sale	5/7	J	B	
745. SLM Corp					Partial Sale	5/30	J	C	
746. SLM Corp					Partial Sale	5/30	K	D	
747. SLM Corp					Partial Sale	5/31	K	C	
748. SLM Corp					Partial Sale	6/1	K	C	

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. SLM Corp					Partial Sale	6/4	J	B	
750. SLM Corp					Partial Sale	6/8	K	D	
751. SLM Corp					Partial Sale	6/11	K	D	
752. SLM Corp					Partial Sale	6/12	J	B	
753. SLM Corp					Partial Sale	6/15	J	C	
754. SLM Corp					Partial Sale	6/18	L	E	
755. Snta Clara CA Trms Auth 01 A MBIA PREREF 5.0% 06-01-17/11		None			Sell	5/10	L	A	
756. Southwest Airlines Co	A	Dividend	L	T	Buy	6/22	J		
757. Southwest Airlines Co					Buy	6/25	J		
758. Southwest Airlines Co					Buy	12/19	J		
759. Spectra Energy Corp	B	Dividend	K	T	Spin Off	1/3	J		Duke Energy
760. Sprint Nextel Corp Series 1		None			Partial Sale	1/12	J	A	
761. Sprint Nextel Corp Series 1					Partial Sale	1/12	K	A	
762. Sprint Nextel Corp Series 1					Partial Sale	1/16	J	A	
763. Sprint Nextel Corp Series 1					Partial Sale	1/16	J	A	
764. Sprint Nextel Corp Series 1					Partial Sale	1/17	J	A	
765. Sprint Nextel Corp Series 1					Partial Sale	1/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. Sprint Nextel Corp Series 1					Partial Sale	1/18	J	A	
767. Sprint Nextel Corp Series 1					Partial Sale	1/18	J	A	
768. Sprint Nextel Corp Series 1					Partial Sale	1/19	J	A	
769. Sprint Nextel Corp Series 1					Partial Sale	1/19	J	A	
770. Sprint Nextel Corp Series 1					Partial Sale	1/22	J	A	
771. Sprint Nextel Corp Series 1					Sell	1/22	J	A	
772. SSGA FDS Tax Free Money Market Fund	E	Dividend	P1	T					
773. Suncor Energy Inc US\$	A	Dividend	L	T					
774. Sunnyvale CA CTF5 98A Ambac SF 5.0% 10-01-17	B	Interest			Sell	05/10	L	B	
775. Suntrust Banks Inc	C	Dividend	L	T	Buy	4/17	L		
776. Suntrust Banks Inc					Buy	5/1	K		
777. Suntrust Banks Inc					Buy	5/17	J		
778. Suntrust Banks Inc					Buy	7/24	J		
779. Suntrust Banks Inc					Buy	8/10	J		
780. Suntrust Banks Inc					Buy	8/13	J		
781. Suntrust Banks Inc					Buy	10/11	J		
782. Target Corp	B	Dividend	M	T	Buy	5/11	J		

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Wardlaw, Kim M

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783. Target Corp					Buy	5/14	J		
784. Target Corp					Buy	11/28	J		
785. Target Corp					Buy	12/11	J		
786. The Walt Disney Co		None	L	T	Buy	5/15	J		
787. The Walt Disney Co					Spin Off	6/13	J		Citadel Broadcasting
788. Time Warner Inc	B	Dividend			Partial Sale	8/13	K	E	
789. Time Warner Inc					Sell	10/15	L	D	
790. Trane Inc	A	Dividend	K	T	Asset rcvd	11/28	J		American Standard
791. Umpqua Holdings Corp	A	Dividend			Partial Sale	11/5	J	A	
792. Umpqua Holdings Corp					Partial Sale	11/6	J	C	
793. Umpqua Holdings Corp					Sell	11/8	J	A	
794. Unilever NV NY Shrs	B	Dividend	L	T					
795. Union Pacific Corp	B	Dividend	M	T	Partial Sale	1/8	K	E	
796. United Parcel Service Inc CL B	B	Dividend	L	T	Buy	5/11	K		
797. United Parcel Service Inc CL B					Buy	5/11	J		
798. United Technologies Corp	C	Dividend	M	T	Partial Sale	10/24	K	D	
799. Unitedhealth Group Inc	A	Dividend	M	T	Buy	5/17	K		

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800. Unitedhealth Group Inc					Buy	9/14	J		
801. Unitedhealth Group Inc					Buy	9/17	K		
802. Unitedhealth Group Inc					Buy	9/18	J		
803. Unitedhealth Group Inc					Buy	9/18	J		
804. Unitedhealth Group Inc					Buy	10/30	L		
805. Unitedhealth Group Inc					Buy	11/9	J		
806. Unitedhealth Group Inc					Buy	11/13	J		
807. Unitedhealth Group Inc					Buy	11/29	J		
808. Unitedhealth Group Inc					Buy	12/3	J		
809. Unitedhealth Group Inc					Buy	12/4	J		
810. US Treasury Note 6.25% 02-15-07	C	Interest			Maturity	2/15	L	A	
811. Verigy Ltd		None			Partial Sale	3/16	J	B	
812. Verigy Ltd					Sell	3/19	J	B	
813. Verizon Communications	B	Dividend	L	T					
814. Virgin Islands PFA SR Lien A 5.0% 10-01-07	D	Interest			Sell	10/1	M	A	
815. Vulcan Materials Co	A	Dividend	K	T	Buy	10/31	J		
816. Vulcan Materials Co					Buy	11/1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

817. Vulcan Materials Co					Buy	11/1	J		
818. Wabco Holdings Inc	A	Dividend	K	T	Partial Sale	9/12	K	C	
819. Wabco Holdings Inc					Spin Off	8/1	J		American Standard
820. Wachovia Corporation	C	Dividend	M	T	Buy	1/9	J		
821. Wachovia Corporation					Buy	1/10	J		
822. Wachovia Corporation					Buy	3/19	J		
823. Wachovia Corporation					Buy	3/20	J		
824. Wachovia Corporation					Buy	12/4	J		
825. Wachovia Corporation					Buy	12/5	K		
826. Walgreen Co	A	Dividend	K	T	Buy	5/7	J		
827. Walgreen Co					Buy	5/8	J		
828. Washington Mutual Inc	D	Dividend	K	T	Partial Sale	11/2	J	D	
829. Washington Mutual Inc					Partial Sale	11/23	K	E	
830. Washington Mutual Inc					Partial Sale	12/24	J	A	
831. Weatherford Intl Ltd		None	K	T	Partial Sale	10/8	K	D	
832. Wellpoint Inc		None			Partial Sale	1/4	K	B	
833. Wellpoint Inc					Partial Sale	1/5	J	B	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Wardlaw, Kim M

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. Wellpoint Inc					Partial Sale	5/1	J	B	
835. Wellpoint Inc					Partial Sale	5/1	K	D	
836. Wellpoint Inc					Partial Sale	5/2	J	C	
837. Wellpoint Inc					Partial Sale	5/2	K	D	
838. Wellpoint Inc					Partial Sale	9/19	K	D	
839. Wellpoint Inc					Partial Sale	9/19	K	D	
840. Wellpoint Inc					Sell	9/20	K	D	
841. Wells Fargo & Co	D	Dividend	M	T	Buy	5/14	J		
842. Wells Fargo & Co					Buy	5/14	J		
843. Wells Fargo & Co					Partial Sale	11/2	K	C	
844. Westlands CA Wtr Dist MBIA 5.25% 09-01-17	D	Interest	M	T					
845. Whole Foods Market	A	Dividend			Sell	8/10	J	B	
846. Williams-Sonoma Inc	A	Dividend	J	T	Partial Sale	12/24	K	A	
847. Wyeth	A	Dividend	K	T	Buy	5/22	K		
848. Wyeth					Buy	5/23	J		
849. Wyeth					Buy	5/23	J		
850. Wyeth					Buy	5/29	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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08/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. Wyeth					Buy	6/5	J		
852. Wyeth					Buy	6/27	J		
853. Wyeth					Partial Sale	8/14	K	D	
854. Wyeth					Partial Sale	8/15	K	D	
855. XL Capital Ltd CL A	B	Dividend			Partial Sale	11/26	K	E	
856. XL Capital Ltd CL A					Sell	11/27	K	D	
857. Zymogenetics Inc		None	K	T	Buy	8/2	J		
858. Zymogenetics Inc					Buy	8/2	J		
859. Zymogenetics Inc					Buy	8/3	J		
860. Zymogenetics Inc					Buy	8/3	J		
861. Zymogenetics Inc					Buy	8/6	J		
862. Zymogenetics Inc					Partial Sale	12/24	J	A	
863. [REDACTED]									
864. Advance Auto Parts	B	Dividend	M	T	Partial Sale	12/13	L	F	
865. Alameda Co CTFS 5.375% 12/1/16	D	Interest	M	T					
866. Berkeley CA sch Dist. 3.875% 8/01/18	D	Interest	M	T					
867. Burbank CA Pub Fling 5.250% 12/1/17	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

868. CA ed FACS 4.250% 10/1/18	B	Interest	L	T					
869. CA ST Pub Works 4.600% 12/1/20	C	Interest	L	T					
870. CA ST Pub Works 4.200% 5/1/19	C	Interest	M	T					
871. CA ST Pub Works 5.000% 6/1/18	D	Interest	M	T					
872. Chula Visa CA Pub Fing 3.875% 9/1/17	C	Interest	L	T					
873. Coachella CA Sch Dist. 5.000% 8/1/19	C	Interest	L	T					
874. CSUCI Fing Auth 4.750% 9/1/14	D	Interest	M	T					
875. Dublin CA Sch Dist. 4.125% 8/1/21	D	Interest	M	T					
876. East Bay CA Util Dist. 5.000% 6/1/18	C	Interest	L	T					
877. Escondido CA CTFS 4.250% 9/1/19	D	Interest	M	T					
878. Fontana CA Pub Fing 4.000% 9/1/18	D	Interest	M	T					
879. Lincoln CA Sch Dist. 4.500% 8/1/19	D	Interest	M	T					
880. Los Angeles Co. MTA 4.750% 7/1/19	D	Interest	M	T					
881. Madera Co CTFS 4.250% 8/1/22		None	L	T	Buy	9/4	L		
882. Montclair CA Fing Auth 3.875% 10/1/16	C	Interest	M	T					
883. Mountain View CA High School 4.125% 8/1/16	D	Interest	M	T					
884. Napa Co CTFS 3.600% 2/1/17	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885. Newport CA sch Dist 5.000% 8/1/17	D	Interest	M	T					
886. Palmdale CA Redev Agy 3.800% 9/1/16	D	Interest	M	T					
887. Pasadena CA Elec Rev 5.000% 6/1/16	D	Interest	M	T					
888. Public Storage	C	Dividend	L	T					
889. Riverside Co CTFS 3.875% 11/1/14	C	Interest	M	T					
890. Sacramento CA Util Dist 5.000% 5/15/14	D	Interest	M	T					
891. Sacramento CA CTFS 5.000% 12/01/21		None	K	T	Buy	12/12	K		
892. Salinas CA CTFS 4.500% 9/1/20	C	Interest	M	T					
893. San Diego Co Wtr Auth 4.000% 5/1/20	D	Interest	M	T					
894. San Juan Capistrano Co Fing Auth 4.000% 12/1/17	C	Interest	M	T					
895. Santa Cruz Co CTFS 3.875% 8/1/16	D	Interest	M	T					
896. Santa Cruz Co CTFS 4.200% 8/1/19	C	Interest	L	T					
897. Santa Rosa CA Sch Dist 3.800% 8/1/17	C	Interest	L	T					
898. So Cal Logistics 4.125% 12/1/20	C	Interest	L	T					
899. So CA Pub Pwr Auth 5.150% 7/1/15	D	Interest	M	T					
900. So CA Pub Pwr Auth 5.250% 1/1/20	D	Interest	M	T					
901. So Gate CA Fing Auth 5.250% 9/1/19	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902. The Pantry common stock		None	L	T	Partial Sale	12/13	L	F	
903. Tracy CA Jt Powers 4.200% 9/2/17	B	Interest	K	T					
904. Turlock CA Pub Fing 4.300% 9/15/16	B	Interest	K	T					
905. Univ. of CA Multi Purp. 5.00% 9/1/16	D	Interest	M	T					
906. Western Riverside WTR 4.250% 9/1/21	B	Interest	L	T	Buy	3/2	L		
907. Bank Deposit	B	Interest	J	T					
908. FS Equity Partners III									
909. AV Partnership; Partnership Interest		None			Sell	4/12	J		
910. AV Development common stock		None			Sell	4/12	J		
911. Asbury Automotive common stock		None			Sell	5/17	P1	G	
912. FS Management Co., L.P (L.P. Interest)		None	J	U					
913. Freeman Spogli & Co., Incorp. Common stock		None	J	U					
914. FS Equity Partners IV									
915. Asbury Automotive common stock		None			Sell	5/17	O	G	
916. Sur La Table		None	M	U					
917. Ross-Simmons		None	M	U					
918. FS Equity Partners V									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. Winebow Inc common stock		None	M	U					
920. N.E.W. Companies common stock		None	M	U					
921. H.H. Gregg Inc common stock		None	N	U	Partial Sale	7/26	O	G	
922. Bright Now Inc common stock		None	N	U					
923. Mattress Giant Corp common stock		None	M	U					
924. Savers Inc common stock		None	N	U					
925. PETCO Animal Supply common stock		None	N	U					
926. El Pollo Loco Inc common stock		None	N	U	Buy	12/12	N		
927. Riordan Venture Assoc. (L.P. Int.)									
928. RLH Silverado Dividend Pref. Stock debt		None	K	U					
929. Bradshaw		None	J	U					
930. Intri-Plex Tech.		None	J	U					
931. ITS Corp.		None			Sale	4/27	K	D	
932. Cymetrix Corp common stock		None	J	U					
933. Con-Cise Lens Co common stock		None	J	U	Partial Sale	4/11	J	B	
934. Saebro Inc common stock		None	J	U					
935. Maxit common stock		None	K	U	Buy	10/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

936. Triple 7 Group common stock		None	J	U	Buy	7/26	J		
937. TW Holdings common stock		None	J	U	Buy	9/17	J		
938. Cyber Coders Holdings common stock		None	J	U	Buy	3/26	J		
939. Bistro 45 common stock	A	Dividend	J	U					
940. [REDACTED] Retire Accts (401k-profit)									
941. [REDACTED] Pacific Growth Fund	A	Dividend	M	T	Buy	1/23	K		
942. [REDACTED] Liquit Asset Account Trust Fund	A	Dividend	J	T					
943. Hewlett Packard common stock	A	Dividend	K	T					
944. Procter & Gamble common stock	C	Dividend	M	T					
945. Sun Microsoft common stock		None	K	T					
946. CVS Corp. common stock	A	Dividend	K	T					
947. Altria Group common stock	B	Dividend	K	T					
948. Cisco Sys common stock		None	L	T	Buy	10/18	K		
949. Time Warner common stock	A	Dividend			Sell	10/18	K	D	
950. Kraft Foods Inc common stock	A	Dividend	J	T	Spin Off	3/30	J		From Altria
951. [REDACTED] Dividend Growth Fund	A	Dividend	L	T					
952. American Funds New World Fund	C	Dividend	M	T	Buy	1/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$1,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

953. R&M Encelle Partners (Partnership Interest)		None	J	U					
954. Trust #2 [REDACTED]									
955. American Funds Growth Fund of America	A	Dividend	J	T					
956. General Elec common stock	A	Dividend	J	T					
957. American Funds New World Fund	A	Dividend	J	T	Buy	12/18	J		
958. Trust #3 [REDACTED]									
959. General Elec common stock	A	Dividend	J	T					
960. American Funds New World Fund	A	Dividend	K	T	Buy	12/12	J		
961. Trust #4 - (IR-W)									
962. American Funds Capital Income Builder Inc CL R5	D	Dividend	M	T	Buy	2/27	J		
963. American Funds Capital Income Builder Inc CL R5					Buy	8/3	J		
964. American Funds Cash Mgmt Trust of America CL R5	C	Dividend	M	T	Buy	8/20	J		
965. American Funds Cash Mgmt Trust of America CL R5					Buy	9/10	J		
966. American Funds Cash Mgmt Trust of America CL R5					Buy	12/31	J		
967. American Funds Cash Mgmt Trust of America CL R5					Buy	12/31	K		
968. American Funds EuroPacific Growth Fund CL R5	C	Dividend	M	T	Buy	8/3	J		
969. American Funds Fundamental Investors Inc CL R5	B	Dividend	L	T	Buy	2/27	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. American Funds Fundamental Investors Inc CL R5					Buy	8/3	J		
971. American Funds Growth Fund of America Inc CL R5	B	Dividend	M	T	Buy	8/3	J		
972. American Funds Investment Company of America CL R5	C	Dividend	M	T	Buy	8/3	J		
973. American Funds New Economy Fund CL R5	A	Dividend	K	T	Buy	2/27	J		
974. American Funds New Economy Fund CL R5					Buy	8/3	J		
975. American Funds New World Fund Inc CL R5	C	Dividend	M	T	Buy	2/27	J		
976. American Funds New World Fund Inc CL R5					Buy	8/3	J		
977. American Funds SmallCap World Fund Inc CL R5	A	Dividend	J	T	Buy	2/27	J		
978. American Funds SmallCap World Fund Inc CL R5					Buy	8/3	J		
979. American Funds Tax-Exempt Fund of California CL R5	A	Dividend	K	T	Buy	2/27	K		
980. American Funds Tax-Exempt Fund of California CL R5					Buy	8/3	J		
981. Trust #5 - (IR-K)									
982. American Funds Capital Income Builder Inc CL R5	D	Dividend	M	T	Buy	2/27	J		
983. American Funds Capital Income Builder Inc CL R5					Buy	8/3	J		
984. American Funds Cash Mgmt Trust of America CL R5	C	Dividend	M	T	Buy	8/20	J		
985. American Funds Cash Mgmt Trust of America CL R5					Buy	9/10	J		
986. American Funds Cash Mgmt Trust of America CL R5					Buy	12/31	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. American Funds Cash Mgmt Trust of America CL R5					Buy	12/31	K		
988. American Funds EuroPacific Growth Fund CL R5	C	Dividend	M	T	Buy	8/3	J		
989. American Funds Fundamental Investors Inc CL R5	B	Dividend	L	T	Buy	2/27	K		
990. American Funds Fundamental Investors Inc CL R5					Buy	8/3	J		
991. American Funds Growth Fund of America Inc CL R5	B	Dividend	M	T	Buy	8/3	J		
992. American Funds Investment Company of America CL R5	C	Dividend	M	T	Buy	8/3	J		
993. American Funds New Economy Fund CL R5	A	Dividend	K	T	Buy	2/27	J		
994. American Funds New Economy Fund CL R5					Buy	8/3	J		
995. American Funds New World Fund Inc CL R5	C	Dividend	M	T	Buy	2/27	J		
996. American Funds New World Fund Inc CL R5					Buy	8/3	J		
997. American Funds SmallCap World Fund Inc CL R5	A	Dividend	J	T	Buy	2/27	J		
998. American Funds SmallCap World Fund Inc CL R5					Buy	8/3	J		
999. American Funds Tax-Exempt Fund of California CL R5	A	Dividend	K	T	Buy	2/27	K		
1000. American Funds Tax-Exempt Fund of California CL R5					Buy	8/3	J		
1001. Trust #6 (98-W)									
1002. [REDACTED] Dividend Growth	A	Dividend	L	T					
1003. American Funds New World Fund	C	Dividend	M	T	Buy	12/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004. [REDACTED] Active Money Trust	B	Dividend	L	T					
1005. Public Storage pref. stock	C	Dividend	K	T					
1006. Winebow inc common stock		None	J	U					
1007. N.E.W. Companies common stock		None	J	U					
1008. HH Gregg Inc common stock		None	J	U	Partial Sale	7/26	K	E	
1009. Bright Now Inc common stock		None	J	U					
1010. Mattress Giant Corp common stock		None	J	U					
1011. Savers Inc common stock		None	J	U					
1012. PETCO Animal Supply common stock		None	J	U					
1013. El Pollo Loco common stock		None	J	U	Buy	12/12	J		
1014. American Funds Capital Income Builder Inc CL R5	B	Dividend	K	T	Buy	3/19	J		
1015. American Funds Capital World Growth & Income Fund R5	A	Dividend	J	T	Buy	3/19	J		
1016. American Funds Cash Mgmt Trust of America CL R5	A	Dividend	K	T					
1017. American Funds EuroPacific Growth Fund CL R5	B	Dividend	L	T	Buy	3/19	J		
1018. American Funds EuroPacific Growth Fund CL R5					Partial Sale	8/9	J	A	
1019. American Funds EuroPacific Growth Fund CL R5					Partial Sale	11/5	J	A	
1020. American Funds Fundamental Investors Inc CL R5	B	Dividend	K	T	Buy	3/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021. American Funds Growth Fund of America Inc CL R5	A	Dividend	K	T					
1022. American Funds Investment Company of America CL R5	A	Dividend	K	T					
1023. American Funds New Economy Fund CL R5	A	Dividend	K	T					
1024. American Funds New World Fund Inc CL R5	B	Dividend	L	T					
1025. American Funds SmallCap World Fund Inc CL R5	A	Dividend	J	T	Buy	2/27	J		
1026. American Funds Tax-Exempt Fund of California CL R5	A	Dividend	K	T	Buy	3/19	J		
1027. Trust #7 (98-K)									
1028. [REDACTED] Dividend Growth	A	Dividend	L	T					
1029. American Funds New World Fund	C	Dividend	M	T	Buy	12/12	K		
1030. [REDACTED] Active Money Trust	B	Dividend	L	T					
1031. Public Storage pref. stock	C	Dividend	K	T					
1032. Winebow inc common stock		None	J	U					
1033. N.E.W. Companies common stock		None	J	U					
1034. HH Gregg Inc common stock		None	J	U	Partial Sale	7/26	K	E	
1035. Bright Now Inc common stock		None	J	U					
1036. Mattress Giant Corp common stock		None	J	U					
1037. Savers Inc common stock		None	J	U					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1038. PETCO Animal Supply common stock		None	J	U					
1039. El Pollo Loco common stock		None	J	U	Buy	12/12	J		
1040. American Funds Capital Income Builder Inc CL R5	B	Dividend	K	T	Buy	3/19	J		
1041. American Funds Capital World Growth & Income Fund R5	A	Dividend	J	T	Buy	3/19	J		
1042. American Funds Cash Mgmt Trust of America CL R5	A	Dividend	K	T					
1043. American Funds EuroPacific Growth Fund CL R5	B	Dividend	L	T	Buy	3/19	J		
1044. American Funds EuroPacific Growth Fund CL R5					Partial Sale	8/9	J	A	
1045. American Funds EuroPacific Growth Fund CL R5					Partial Sale	11/5	J	A	
1046. American Funds Fundamental Investors Inc CL R5	B	Dividend	K	T	Buy	3/19	J		
1047. American Funds Growth Fund of America Inc CL R5	A	Dividend	K	T					
1048. American Funds Investment Company of America CL R5	A	Dividend	K	T					
1049. American Funds New Economy Fund CL R5	A	Dividend	K	T					
1050. American Funds New World Fund Inc CL R5	B	Dividend	L	T					
1051. American Funds SmallCap World Fund Inc CL R5	A	Dividend	J	T	Buy	2/27	J		
1052. American Funds Tax-Exempt Fund of California CL R5	A	Dividend	K	T	Buy	3/19	J		
1053. Real Estate Partnership - Hal		None	N	U	Buy	8/24	J		
1054. Real Estate Partnership - Lau		None	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055. Real Estate Partnership - G.O.		None	M	U	Buy	8/13	L		
1056. Real Estate Partnership - W.O.		None	M	U	Buy	10/17	L		
1057. Dorset Capital Partnership Invest	A	Interest	L	U					
1058. [REDACTED] Money Market Fund	A	Interest	J	T					
1059. [REDACTED] Checking	A	Interest	L	T					
1060. [REDACTED] Checking	B	Interest	M	T					
1061. Fargo Investment Partnership		None	L	U	Buy	9/17	J		
1062. R&M Catalytic Solutions		None	M	U					
1063. Bulldog Partners I	A	Interest	L	U	Partial Sale	7/26	J		
1064. Bulldog Partners II	A	Interest	L	U	Buy	12/12	K		
1065. Regents Banshares	C	Interest	M	U					
1066. Asta Fund		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII Investments and Trusts
Header Information

Line 1, IRA, covers line 2 through 246

Line 247, Trust 1 covers line 248

Line 249, [REDACTED] (IRA) covers line 250 through 322

Line 323, Capital Trust Account covers line 324 through 862

Line 863, [REDACTED] covers line 864 through 907

Line 908, FS Equity Partners III covers line 909 through 913

Line 914, FS Equity Partners IV covers line 915 through 917

Line 918, FS Equity Partners V covers line 919 through 926

Line 927, Riordan Venture Assoc. (L.P. Int.) covers line 928 through 938

Line 939, Bistro 45 common stock covers line 939

Line 940, [REDACTED] Retire Accts 401k-profit covers line 941 through 952

Line 953, R&M Encelle Partners (Partnership Interest) covers line 953

Line 954, Trust #2 [REDACTED] covers line 955 through 957

Line 958, Trust #3 [REDACTED] covers line 959 through 960

Line 961, Trust #4 (IR-W) covers line 962 through 980

Line 981, Trust #5 (IR-K) covers line 982 through 1000

Line 1001, Trust #6 (98-W) covers line 1002 through 1026

Line 1027, Trust #7 (98-K) covers line 1028 through 1052

Line 1053, Real Estate Partnership - Hal. covers line 1053

Line 1054, Real Estate Partnership - Lau, covers line 1054

Line 1055, Real Estate Partnership - G.O., covers line 1055

Line 1056, Real Estate Partnership - W.O., covers line 1056

Line 1057, Dorset Capital Partnership Invest covers line 1057

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 1058, [REDACTED] Money Market Fund covers line 1058

Line 1059, [REDACTED] Checking covers line 1059

Line 1060, [REDACTED] Checking covers line 1060

Line 1061, Fargo Investment Partnership covers line 1061

Line 1062, R&M Catalytic Solutions, covers line 1062

Line 1063, Bulldog Partners I, covers line 1063

Line 1064, Bulldog Partners II, covers line 1064

Line 1065, Regents Banshares, covers line 1065

Line 1066, Asta Fund, covers line 1066

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wardlaw, Kim M

Date of Report

08/08/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

Wardlaw, Kim M

Date of Report

08/08/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544