

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moody, James M.	2. Court or Organization USDC, Eastern Division	3. Date of Report 05/09/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 500 West Capitol Suite C-446 Little Rock, AR 72201-3396	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1-Part VII Line 41
2. Trustee	Trust #2-Part VII Line 64
3. Trustee	Trust #3-Part VII Line 107,line 112
4. Trustee	Trust #4-Part VII Line 134
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Self Employed Tutor
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. One Bank NA-Account	A	Interest	L	T					
2. Bank Of America NA-Account	A	Interest	J	T					
3. Regions Bank NA-Account	A	Interest	J	T					
4. Telco Federal Credit Union-Account	A	Interest	J	T					
5. Regions Bank/Morgan Keegan (IRA)- Account	B	Int./Div.	K	T					
6. Vanguard Growth Index Fund (IRA)	A	Dividend	L	T					
7. Fidelity Growth Strategies Fund N/L- FDEGX (IRA)	A	Dividend	J	T					
8. Activision Blizzard Inc-ATV-(IRA)	A	Dividend	J	T					
9. Goldman Sachs Bank-Account (IRA)(X)	A	Interest	J	T					
10. BH & M Oil Comm Stock	B	Dividend	J	T					
11. Putnam Amer Growth & Income	A	Dividend	J	T					
12. Amer Funds AMCAP Fund	A	Dividend	J	T					
13. Janus Global Tech Fund	A	Dividend	J	T					
14. Raymond James Bank FSB-Account(X)	A	Int./Div.	J	T					
15. Header 1-1									
16. IShares Russell 2000 Value Fund	A	Dividend			Sold	02/04/10	J		
17. Ishares Inc MSCI Australia-EWA	A	Int./Div.	J	T	Buy	06/28/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Ishares Inc MSCI CDA Index-EWC	A	Int./Div.	J	T	Buy	06/28/10	J		
19. Ishares TR MSCI Emerg Mkt-EEM	A	Int./Div.	K	T	Buy	02/04/10	K		
20. Ishares Tr Barclays 1-3 yr-SHY	A	Int./Div.	J	T	Buy	06/28/10	J		
21. IShares TR MSCI EAFE IDX-EFA	A	Int./Div.	K	T	Buy	02/04/10	K		
22. IShares TR Russell 1000VAL-IWD	A	Int./Div.	K	T	Buy	06/28/10	K		
23. Ishares TR Rusell MCP GR-IWP	A	Int./Div.	K	T	Buy	06/28/10	K		
24. IShares TR S&P MC 400 GRW-IJK	A	Int./Div.	K	T	Buy	06/28/10	K		
25. IShares TR Russell 1000GRW-IWF	A	Int./Div.	K	T	Buy	06/28/10	K		
26. Ishares TR Rusl 2000 Value-IWN	A	Int./Div.	J	T	Buy	06/28/10	J		
27. IShares TR Russell 2000 Growth-IWO	A	Int./Div.	K	T	Buy	06/28/10	K		
28. IShares TR S&P Midcap Value-IJJ	A	Int./Div.	J	T	Buy	06/28/10	J		
29. IShares TR S&P SMLCP Grow-IJT	A	Int./Div.	K	T	Buy	06/28/10	K		
30. Ishares TR Barclays 1-3 yr-CSJ	C	Int./Div.	M	T	Buy	06/28/10	M		
31. IShares Russell 2000 Growth	A	Int./Div.			Sold	02/04/10	K	A	
32. IShares Russell 1000 Gr. Index	A	Int./Div.			Sold	02/04/10	K	A	
33. Ishares Russell 1000 Value Index	A	Int./Div.			Sold	02/04/10	K	A	
34. Ishares Russell Midcap G Index Fund	A	Int./Div.			Sold	02/04/10	K	A	

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(See Columns B1 and D4)

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O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. IShares S&P Midcap 400 Value	A	Int./Div.			Sold	02/04/10	K	A	
36. IShares S&P Midcap 400 400 Growth	A	Int./Div.			Sold	02/04/10	J	B	
37. IShares S&P 500 Growth index	A	Int./Div.			Sold	02/04/10	K	A	
38. Ishares Barclay 1-3 year-Lot 1-SHY	B	Int./Div.	M	T	Sold (part)	02/04/10	M	A	
39. Goldman Sachs Bank,USA-Account(X)	A	Interest	J	T					
40. Raymond James Bank, FSB-Account(X)	A	Interest	J	T					
41. Header 1-2									
42. Muni Cash Trust(X)	A	Interest	L	T					
43. Batesville Ar School Dist 001 RFDG & Const	A	Interest	K	T	Buy	11/08/10	L		
44. Greene County AR Housing	B	Interest	K	T					
45. Pul Cnty AR Hsp Rev Childrens Hosp	B	Interest			Redeemed	12/03/10	K	A	
46. Union Cnty Ar Housing	A	Interest			Redeemed	10/01/10	J	A	
47. UA FAYetvl Var Fac	B	Interest	L	T					
48. Sprindale Ar Sch Dist #50	B	Interest	K	T					
49. Springdale AR SD050	C	Interest	L	T					
50. LR Ark Health Fac BD Baptist Medical Center	A	Interest			Redeemed	10/01/10	K	A	
51. Conway AR Pub Fac Bd Cap Improvements Rev Ref Hendrix	B	Interest	K	T					

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(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

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2. Value Codes
(See Columns C1 and D3)

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L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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V = Other

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52. Paragould AR Water & Sewer Rev B/E	C	Interest	L	T					
53. AR St R Fdg Water Waste Disp & Poll CB/E	B	Interest	K	T					
54. AR St Univ Rev Hsg Sys FGIC B/E	C	Interest	L	T					
55. NLR Hlth Fac Board Hlth Care RV Ref Baptist Hth B/E	B	Interest	K	T					
56. FOrt Smith AR Water & Sewer Rev Ser C FSA B/E	B	Interest	K	T					
57. AR State High Ed Ser B	B	Interest	K	T					
58. Univ of Ark Univ Rev Var Fac Fytville Campus A B/E FGIC	B	Interest	K	T					
59. Arkadelphia AR Pub Ed Facs Rev Ouachita Baptist Univ B/E	C	Interest	L	T					
60. Rogers AR Sewere Imprvt Ambac B/E	C	Interest	L	T					
61. Russelleville AR School	B	Interest	K	T					
62. Bryant AR School	C	Int./Div.	L	T					
63. Paris AR School	C	Interest	L	T					
64. Header 1-3									
65. Centerpoint Energy	A	Int./Div.			Sold	09/09/10	J	C	
66. Walter Inv Mgmt	A	Int./Div.			Sold	01/15/10	J	A	
67. Medical Property Trust	A	Int./Div.			Sold	03/17/10	K	B	
68. Energy, Inc.	A	Int./Div.			Sold	04/26/10	K	C	

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(See Columns B1 and D4)

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69. Live Nation CCE SPInco	A	Int./Div.			Sold	03/05/10	J	A	
70. Telemex	A	Int./Div.			Sold	02/03/10	J	A	
71. First SOuthWest PRime MM FUnDs	A	Int./Div.	J	T					
72. Aloca Inc.	A	Int./Div.	K	T	Buy	05/11/10	J		
73. Banco Bilbaovizcaya	A	Int./Div.	J	T					
74. Bank of America Corp	A	Int./Div.	J	T					
75. Berkshire Hathaway, Inc.	A	Int./Div.	J	T					
76. BP Amoco Spons ADR-BP	A	Int./Div.	K	T	Buy	05/05/10	K		
77. Costco	A	Int./Div.	K	T					
78. Contax Paratiacipacoes SA-CTXNY (X)	A	Int./Div.	J	T					
79. FISERV, Inc.	A	Int./Div.	K	T					
80. GlaxosmithKline	A	Int./Div.	J	T	Sold (part)	11/22/10	J	A	
81. Hospitality Partners Trust-HPT	B	Int./Div.	K	T	Buy	04/15/10	J		
82. Hospitality Partners Trust-HPT	A	Int./Div.			Sold (part)	04/15/10	K	A	
83. International Paper Co	A	Int./Div.	K	T	Buy	05/28/10	J		
84. International Paper Co	A	Int./Div.			Sold	04/19/10	J	A	
85. Lexmark Int Group	A	Int./Div.	J	T					

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(See Columns B1 and D4)

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86. Limited Brands Inc	A	Int./Div.			Sold	02/03/10	J	A	
87. MGIC INvestment Corp	A	Int./Div.	J	T					
88. Markel Corp	A	Int./Div.	J	T					
89. Microsoft Corp	A	Int./Div.	J	T	Buy (add'l)	09/09/10	J		
90. Motors Liquidation-MTLQQ (X)	A	Int./Div.	J	T					
91. PNC Financial Svcs Grp	A	Int./Div.	K	T					
92. Petroleo BRasilerio, SA	A	Int./Div.	K	T					
93. Pfizer	A	Int./Div.	J	T					
94. Public Service Enterprise	A	Int./Div.	K	T					
95. Spectra Energy	A	Int./Div.	K	T					
96. Tawain Semiconductor Mfg	A	Int./Div.			Sold	01/19/10	J	A	
97. Tawain Semiconductor Mfg	A	Int./Div.	J	T	Buy	01/29/10	J		
98. Banco Santander SA-STD	A	Int./Div.	J	T	Buy	02/19/10	J		
99. Telefonica de espana SA	B	Int./Div.	K	T					
100. Telefonos de Mexico	A	Int./Div.	J	T					
101. Tim Particiacoes	A	Int./Div.	J	T					
102. Thornburg Income Builder CL C-TIBCX	A	Int./Div.	M	T	Buy	11/27/10	M		

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103. Calamos Gorwth CLC	A	Int./Div.			Sold	11/24/10	L	D	
104. IShares MSCI Japan Index Fund -EWJ	A	Int./Div.	K	T					
105. Oppenheimer GLobal CL C	A	Int./Div.	M	T					
106. Header 1-4									
107. Raymond James Bank,FSB-Account(X)	A	Interest	K	T					
108. Morgan Stanley Com Stock	A	Dividend	J	T					
109. Wal-Mart Stores Com Stock	B	Dividend	K	T					
110. Discover Fiancial Svc	A	Dividend	J	T					
111. Header 1-5									
112. Russellville AR School Dist Constr CFG	B	Interest	K	T					
113. LR AR School District Ser B	C	Interest	K	T					
114. Pulaski Cnty AR Childrens Hosp	A	Interest			Redeemed	12/03/10	J	A	
115. Fort Smith AR Water & Sewer Rev Ser C	A	Interest	K	T					
116. General MOtors Corp NOte	A	Interest	K	T					
117. Muni Cash Trust Funds	A	Interest	K	T					
118. Roger AR School	C	Interest	L	T					
119. Header 2-1									

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Moody, James M.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Raymond James Bank, FSB	A	Interest	J	T					
121. First Horizon National CORp	A	Dividend	J	T					
122. SunTrust Banks	A	Dividend	J	T					
123. Header 2-2									
124. Raymond James Bank, FSB	A	Interest	J	T					
125. Fed Ex CORp	A	Dividend	L	T					
126. Intel	B	Dividend	L	T					
127. Qualcomm, Inc	A	Dividend	J	T					
128. Activision Blizzard, Inc.	A	Dividend	J	T					
129. Morgan Stanley	A	Dividend	J	T					
130. Apple Inc.-AAPL	A	Dividend	K	T	Buy	05/07/10	K		
131. Merck	A	Dividend	J	T	Buy	11/05/10	K		
132. Blackstone Group LP Comm Unit Ltd-BX	A	Distribution	J	T					
133. Header 2-3									
134. Raymond James Bank, FSB	A	Interest	K	T					
135. Cisco	A	Dividend	J	T					
136. Dell Computer	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$250,000.001 - \$500,000.000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Moody, James M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. General Electric	A	Dividend	K	T					
138. Microsoft	A	Dividend	K	T					
139. Discover FIncl Svcs	A	Dividend	J	T					
140. Chesapeake Energy Corp	A	Dividend	J	T					
141. Cellegene Corp	A	Dividend	J	T					
142. Header 2-4									
143. Raymond James Bank, FSB	A	Int./Div.	K	T					
144. Goldman Sachs Bank, USA-Account(X)	C	Interest	M	T					
145. Evergreen Solar, Inc.	A	Dividend	J	T					
146. IDeal Holding Ltd	C	Dividend	K	T					
147. Mineral interest(l)-Lafayette County Arkansas (X)	C	Royalty	J	W					
148. Rental #1-Nashville TN-(X)	C	Rent	K	W					
149. IShares TR MSCI Emerg Mkt-EEM		None			Sold (part)	06/28/10	K	A	
150. IShares TR MSCI EAFE Index-EFA	A	Dividend			Sold	06/28/10	K		
151. IShares TR MSCI EAFE Index-EFA		None			Buy	02/04/10	K		
152. Poewrshares QQQ Trust UNit Series 1- QQQQ	A	Dividend			Sold	06/28/10	K	B	
153. Poewrshares QQQ Trust UNit Series 1- QQQQ		None			Buy	02/04/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Power Shares DB Commodity Index TRackin-DBC	A	Dividend			Sold	06/28/10	K		
155. Power Shares DB Commodity Index TRackin-DBC		None			Buy	02/04/10	K		
156. Sector SPDR TR SBI MATerials XLB	A	Dividend			Sold	06/28/10	J		
157. Sector SPDR TR SBI MATerials XLB		None			Buy	02/04/10	J		
158. Sector SPDR TR SBIHEalthcare-XLV	A	Dividend			Sold	06/28/10	K		
159. Sector SPDR TR SBIHEalthcare-XLV		None			Buy	02/04/10	K		
160. Sector SPDR TR SBISTPLS XLP	A	Dividend			Sold	06/28/10	J		
161. Sector SPDR TR SBISTPLS XLP		None			Buy	02/04/10	J		
162. Sector SPDR TR SBIDISCR-XLY	A	Dividend			Sold	06/28/10	J	A	
163. Sector SPDR TR SBIDISCR-XLY		None			Buy	02/04/10	J		
164. Sector SPDR TR SBI-Energy=XLE	A	Dividend			Sold	06/28/10	J		
165. Sector SPDR TR SBI-Energy=XLE		None			Buy	02/04/10	J		
166. Sector SPDR Tr SBI-FINL-XLF	A	Dividend			Sold	06/28/10	J	A	
167. Sector SPDR Tr SBI-FINL-XLF		None			Buy	02/04/10	J		
168. Sector SPDR TR SBI-INDS-XLI	A	Dividend			Sold	06/28/10	J	A	
169. Sector SPDR TR SBI-INDS-XLI		None			Buy	02/04/10	J		
170. Sector SPDR TR SBI-Tech-XLK	A	Dividend			Sold	06/28/10	K	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Moody, James M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Sector SPDR TR SBI-Tech-XLK		None			Buy	02/04/10	K		

1. Income Gain Codes:
(See Columns B 1 and D4)
2. Value Codes
(See Columns C 1 and D3)
3. Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
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P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Moody, James M.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Key To 2009/2010 Item #'s:

2010 # 2009 # Comment

1 1

2 2

3 3

4 4

5 6

6 8

7 12 Name corrected

8 51

9 NR Increase in Value

10 9

11 10

12 11

13 7

14 NR Increase in Value

15 13 Header changed

16 14

17 New

18 New

19 New

20 New

21 New

22 New

23 New

24 New

25 New

26 New

27 New

28 New

29 New

30 New

31 15

32 16

33 17

34 18

35 19

36 20

37 21

38 25

39 NR Increase in Value

40 NR Increase in Value

41 26 Header changed

42 New

43 New

44 27

45 28

46 29

47 30

48 32

49 34

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53 38

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Name of Person Reporting

Moody, James M.

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05/09/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

64 52 Header changed
65 149
66 151
67 152
68 154
69 155
70 157
71 53
72 148
73 55
74 56
75 57
76 New
77 60
78 NR Increase in Value
79 62
80 64
81 New
82 New
83 New
84 65
85 67
86 68
87 69
88 70
89 71
90 NR Increase in Value
91 72
92 73
93 74
94 75
95 77
96 78
97 New
98 New
99 79
100 80
101 81
102 New
103 85
104 24 Sold Part 2009
105 87
106 88 Header changed
107 NR Increase in Value
108 89
109 90
110 91
111 92 Header changed
112 101
113 93
114 94
115 96
116 98
117 99
118 102
119 100 Header changed
120 103
121 105
122 106
123 108 Header changed
124 109
125 110
126 111
127 113
128 116
129 118
130 New

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Name of Person Reporting

Moody, James M.

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05/09/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

131 143
132 117
133 121 Header changed
134 122
135 123
136 124
137 125
138 126
139 131
140 132
141 133
142 134 Header changed
143 135
144 New
145 139 Reported in Error
146 141 Name corrected
147 NR Increase in Value
148 NR Increase in Value
149 NR Buy/Sell
150 NR Buy/Sell
151 NR Buy/Sell
152 NR Buy/Sell
153 NR Buy/Sell
154 NR Buy/Sell
155 NR Buy/Sell
156 NR Buy/Sell
157 NR Buy/Sell
158 NR Buy/Sell
159 NR Buy/Sell
160 NR Buy/Sell
161 NR Buy/Sell
162 NR Buy/Sell
163 NR Buy/Sell
164 NR Buy/Sell
165 NR Buy/Sell
166 NR Buy/Sell
167 NR Buy/Sell
168 NR Buy/Sell
169 NR Buy/Sell
170 NR Buy/Sell
171 NR Buy/Sell
5 Deleted
22 Sold 2009
23 Sold 2009
31 Redeemed 2009
33 Redeemed 2009
46 Removed header
50 Reported in Error
54 Sold 2009
58 Sold 2009
59 Sold 2009
61 Sold 2009
63 Sold 2009
66 Sold 2009
76 Duplicate-removed
82 Sold 2009
83 Sold 2009
84 Sold 2009
86 Sold 2009
95 Redeemed 2009
97 Redeemed 2009
104 Sold 2009
107 Sold 2009
112 Reported in Error
114 Sold 2009
115 Reported in Error
119 Sold 2009

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Name of Person Reporting

Moody, James M.

Date of Report

05/09/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

120 Sold 2009
127 Redeemed 2009
128 Reported in Error
129 Redeemed 2009
130 Redeemed 2009
136 Redeemed 2009
137 Reported in Error
138 Redeemed 2009
140 Redeemed 2009
142 Duplicate-removed
144 Duplicate-removed
145 Duplicate-removed
146 Duplicate-removed
147 Duplicate-removed
150 Reported in Error
153 Duplicate-removed
156 Reported in Error

NR=Not Reported due to value below min.

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Name of Person Reporting

Moody, James M.

Date of Report

05/09/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James M. Moody**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544