

AO-10

Rev. 1/2004

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Dorr, Richard E	2. Court or Organization US Dist Ct Western District MO	3. Date of Report 5/9/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US District Judge	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 222 John Q Hannons Parkway Suite 3100 Springfield MO 65806	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

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FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. _____

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. inc. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-F)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Commerce Bank Account	A	Interest	K	T					
2. EDWARD D. JONES BROKERAGE ACCOUNT									
3. - Money Market	A	Interest	J	T					
4. - O'Reilly Automotive (ORLY) Common Stock		None	M	T					
5. - SBC Communications (SBC) Common Stock	A	Dividend	J	T					
6. - Hartford Cap Apprec (ITHAX) Mutual Fund		None	J	T					
7. - Growth Fund of America Mutual Fund (AGTHX)	A	Dividend	J	T					
8. - Putnam New Opportunities Mutual Fund CLA (PNOBX)		None	J	T					
9. - SunAmerica Life Ins. Polaris Variable Annuity		None	K	T					
10. - Hartford Life Director Variable Annuity		None	K	T					
11. - Putnam New Opportunities Mutual Fund CLB (PNOBX)		None	J	T					
12. - IRA No. 1									
13. - Federated American Leaders Mutual Fund (FALDX)	A	Dividend	J	T					
14. - IRA No. 2									
15. - Federated American Leaders Mutual Fund (FALDX)	A	Dividend	J	T					
16. USAA INVESTMENT MANAGEMENT CO.									
17. - IRA									
18. - USAA GNMA Trust	A	Dividend	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and B4)	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$250,000,001-\$500,000,000	K = \$15,001-\$30,000 O = \$500,001-\$1,000,000	L = \$60,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = More than \$5,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessed V = Other	T = Cash/Market W = Estimated	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div, rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. -- USAA High-Yield Opportunities Fund	A	Dividend	J	T					
20. -- USAA Income Fund	A	Dividend	J	T					
21. -- USAA Income Stk Fund	A	Dividend	K	T					
22. -- USAA S & P 500 Index Fund Member Shares	A	Dividend	K	T					
23. -- USAA Short-Term Bond Fund	A	Dividend	K	T					
24. SPRINGFIELD TRUST COMPANY CONDUIT IR :	E	Dividend	O	T					
25. - CASH EQUIVALENTS:									
26. -- Goldman Sachs F/S Federal Money Market Fund									
27. - FIXED INCOME SECURITIES:									
28. -- FNMA 4 % 10/14/15									
29. - CORPORATE BDS & NTS:									
30. -- Citicorp Note 6.37 % 1/15/06									
31. -- General Mtrs Accep Corp Note 6.125 % 1/22/08									
32. - FIXED INCOME FUNDS:									
33. -- Federated GNMA Trust					part sell	3/2	J		
34. -- Federated Total Return Bond Fund #328									
35. -- Federated Arms Fd Inst #96					buy	7/19	K		
36. -- Fed Ultra-Sht Bd 108					buy	7/19	K		

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,000	C = \$2,001-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
	J = \$15,000 or less (See Columns C1 and D5)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	PS = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-I)	Type (e.g. div. rent, etc. ())	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. -- Pimco Funds Pacific Investment					buy	3/3	J		
38. -- VGD Interim Bd #5314					buy	1/6	J		
39.					buy	4/5	J		
40. -- VGD GNMA Fund #536									
41. - COMMON STOCK:									
42. -- American Express Co Com									
43. -- Chevrontexaco Corp Com									
44. -- Cisco Sys Inc. Com									
45. -- Dell, Inc									
46. -- Exxon Mobile Corp									
47. -- Fannie Mae Common Stock									
48. -- Home Depot Inc. Com									
49. -- Intel Corp Com									
50. -- International Business Machs Com									
51. -- Johnson & Johnson Com									
52. -- MBNA Corp Com									
53. -- Merck & Co Inc. Com					sell	6/3	J		
54. -- Microsoft Corp									

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(See Columns B1 and B4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and C3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div, rent, or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. -- Pepsico Inc.									
56. -- Pfizer Inc. Com									
57. -- Proctor & Gamble Co Com									
58. -- Suntrust Bks Inc Com									
59. -- Alltel Corp Com					sell	6/3	J		
60. -- Barr Pharmaceuticals Inc (fka Barr Laboratories Inc.)									
61. -- Boeing Co Com									
62. -- Consolidated Edison Com									
63. -- Duke Realty Corporation Com									
64. -- First Data Corp Com									
65. -- Fiserv Inc Com									
66. -- ITT Inds Inc Ind Com					buy	1/29	J		
67. -- Johnson Ctls Inc Com									
68. -- Conoco Phillips									
69. -- SBC Communications Inc Com									
70. -- Sungard Data Sys Inc Com									
71. -- Watson Pharmaceuticals Inc Com					sell	5/26	J	C	
72. -- Supervalu Inc Com					sell	7/21	J	C	

1. Interest/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D3)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = More than \$5,000,000	
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(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	
	P2 = \$25,000,001-\$50,000,000		R = More than \$50,000,000		
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. -- Humana Inc.					sell	1/13	J	D	
74. -- United Technologies Corp Com									
75. -- Danaher Corp Com									
76. -- Newell Rubbermaid Inc Com					sell	7/29	J	O	
77. -- Dupont El De Nemours & Co Com					buy	1/29	J		
78. -- Goldman Sachs Group Inc									
79. -- Morgan Stanley Com									
80. -- Abbott Labs Com									
81. -- Citigroup Inc Com									
82. -- Avon Prods Inc Com					buy	1/29	J		
83. -- Dentsply Intl Inc New					buy	6/2	J		
84.					buy	6/3	J		
85. -- O'Reilly Automotive Inc.					buy	7/29	J		
86. -- Praxair Inc					buy	6/3	J		
87. -- Sysco Corp Com					buy	7/21	J		
88. -- Hospira Inc (spinoff from Abbot Labs)					spinoff	5/17	J		
89.					part sell	5/26	J		
90.					sell	5/28	J		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
91. - FOREIGN EQUITIES:									
92. -- Teva Pharmaceutical Industries Ltd					buy	6/2	J		
93. - EQUITY MUTUAL FUNDS:									
94. -- VGD Star FD Dev Mkt Stk Index #227					buy	3/17	K		
95. -- VGD Index Trust Mid-Cap Inst #864					part sell	3/17	J	B	
96. -- VGD Index TR Small Cap Adm #548					part sell	3/17	J	A	
97. Ozark National Ordinary Life Ins. Policy	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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Gov. Richard E.

Date of Report

5/9/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 9, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544