

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Walker D.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 05/16/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Courthouse 901 19th St., A-938 Denver, CO 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the *NONE* box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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FINANCIAL DISCLOSURE REPORT
Page 2 of 9

Name of Person Reporting
Miller, Walker D.

Date of Report
05/16/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Colorado State University (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

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05/16/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

New Frontier Bank

loan

K

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

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05/16/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. John Hancock Pfd	A	Interest	J	T					
2. UBS Cash Fund	A	Interest	J	T					
3. UBS Retirement	A	Interest	J	T					
4. Capital Trust Retirement	A	Interest	J	T					
5. Pasture, [REDACTED]	B	Rent	O	W					
6. Lot, Grand County, CO		None	M	T					
7. Franklin Income	A	Interest	J	T					
8. Delaware Diversified	A	Int./Div.	J	T					
9. Ivy Asset Fund	A	Int./Div.	J	T					
10. Oppenheimer Fund	A	Int./Div.	J	T					
11. TIAA-CREF Mutual Fund	B	Dividend	L	T					
12. TIAA Annuity	A	Dividend	K	T					
13. TIAA-CREF Retirement	B	Interest	M	T					
14. Denver Bond	A	Interest			Redeemed	12/16/09	J	A	
15. Colo. Univ. Hospital Bond	A	Interest	J	T					
16. Ft. Collins Water Bond	A	Interest			Redeemed	01/02/09	J	A	
17. University Colorado Hosp. Bond	A	Interest	J	T					

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$300,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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18. Adams/Weld School Bond	A	Interest	K	T					
19. Denver COP Bond	B	Interest	K	T					
20. Denver Airport Bond	B	Interest	K	T	Redeemed (part)	05/15/09	J	A	
21. Denver School Bond	B	Interest			Redeemed	12/01/09	K	A	
22. Denver Airport Bond	A	Interest	J	T					
23. Colo. Ed. & Cult. Bond	A	Interest	J	T					
24. Colo. Ed. & Cult. Bond	A	Interest	K	T					
25. Broomfield Bond	A	Interest	K	T					
26. Garfield Pitkin School Bond	B	Interest	K	T					
27. Mesa County School Bond	A	Interest	K	T					
28. Colo Health Bond	B	Interest	K	T					
29. Denver School Bond	A	Interest			Redeemed	12/16/09	J	A	
30. Colorado Water Bond	A	Interest	K	T					
31. Jefferson County School Bond	A	Interest	K	T					
32. Foothills Park Bond	A	Interest	K	T					
33. Colo. Ed. & Cult. Bond	A	Interest	K	T					
34. Jefferson County School	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. Stonegate Metro. Dist	A	Interest	K	T					
36. Denver Convention Center	A	Interest	K	T					
37. Rio Blanco Sch. D	A	Interest	J	T	Buy	01/09/09	J		
38. Colo. Health Fac	A	Interest	J	T	Buy	08/07/09	J		
39. Colo. Health Fac	A	Interest	K	T	Buy (add'l)	12/02/09	K		
40. RTD	A	Interest	K	T	Buy	12/07/09	K		
41. U.S. Treasury Notes	B	Interest	K	T					
42. UBS Retirement Fund	A	Interest	J	T					
43. Westernbank CD	A	Interest			Redeemed	07/31/09	K	B	
44. New Frontier Stock		None	J	W					
45. New Frontier Bank Acct.	A	Interest			Redeemed	05/16/09	L	A	
46. New Frontier CD	D	Interest			Redeemed	05/08/09	M	C	
47. Colorado Community Bank Acct.	A	Interest	L	T	Buy	05/16/09	L		
48. State Farm Bank	C	Interest	M	T	Buy	05/09/09	M		
49. Royalty Interest [REDACTED] CO.	E	Royalty	M	W					
50. Northwestern Mutual (whole life ins.)	A	Dividend	K	T					
51. Mutual of New York (whole life ins.)	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52. Delaware Dividend	A	Dividend	J	T					
53. G.E. Cap. Corp.	A	Interest	K	T					
54. CFC	A	Interest	J	T	Buy	01/21/09	J		
55. HFC	B	Interest	K	T	Buy	08/07/09	K		
56. Windsor Reservoir		None	K	T					
57. Claymore Fund	A	Interest			Sold	01/09/09	J	A	

1. Income Gain Codes:

(See Columns H1 and I24)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Miller, Walker D.

Date of Report

05/16/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Miller, Walker D.

Date of Report

05/16/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544