

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF ILLINOIS

CHAMBERS OF
WAYNE R. ANDERSEN
JUDGE

219 SOUTH DEARBORN STREET
CHICAGO, ILLINOIS 60604
(312) 435-7519

April 16, 2008

Honorable Mary M. Lisi
Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: 2007 Financial Disclosure

Dear Judge Lisi:

Please accept this letter to complete my 2007 Financial Disclosure Report. My

[REDACTED] is a [REDACTED] employer is the [REDACTED]
[REDACTED]

Sincerely,

[REDACTED]

Wayne R. Andersen

WRA/ajc

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF ILLINOIS

CHAMBERS OF
WAYNE R. ANDERSEN
JUDGE

219 SOUTH DEARBORN STREET
CHICAGO, ILLINOIS 60604
(312) 435-7619

June 13, 2008

Mr. Ortrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2007 Filing

RECEIVED
2008 JUN 18 A 10:31
FINANCIAL
DISCLOSURE OFFICE

Dear Mr. Smith:

Enclosed is my amended Financial Disclosure Report for 2007 dated June 13, 2008. In further explanation for the omission of McData from my investments, I offer the following:

“McData common stock” was not listed in the 2007 Disclosure Report in error. In January of 2008 McData Corp. was ‘exchanged’ in lieu of cash for Brocade Communications Systems Inc. McData did not appear as an equity on my year-end summary from my investment management company so it was inadvertently not listed.

In response to your letter dated June 9, 2008, as clarification to the Committee for my 2006 Financial Disclosure Report dated 2/15/2007, a detailed explanation as requested is below.

Part VII, Page 1, line 11 “Motorola Inc.” shares were sold in their entirety.

Part VII, Page 2, line 16 “Pixar Inc.” shares were sold in their entirety.

Part VII, Page 2, line 17 “Intermune Pharmaceuticals” shares were sold in their entirety.

Part VII, Page 2, line 18 “Amazon.com, Inc.” shares were sold in their entirety.

Part VII, Page 2, line 19 “Nuveen Investments Inc.” was a partial sale.

Part VII, Page 2, line 20 “Chicos Fas Inc.” shares were sold in their entirety.

Part VII, Page 2, line 21 “Marvel Entertainment Inc.” shares were sold in their entirety.

Part VII, Page 2, line 23 “Southwest Airlines Co.” shares were sold in their entirety.

Mr. Ortrie D. Smith
June 13, 2008
Page Two

Part VII, Page 3, line 24 "Aviall Inc." shares were sold in their entirety.

Part VII, Page 3, line 25 "Int'l Speedway Corp." shares were sold in their entirety.

Part VII, Page 3, line 26 "Lazard" was a partial sale.

Part VII, Page 3, line 27 "Time Warner" shares were sold in their entirety.

Thank you very much.

Sincerely,

A large black rectangular redaction box covering the signature of Wayne R. Andersen.

Wayne R. Andersen

WRA/ajc
Enclosures

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSEN, WAYNE R	2. Court or Organization U.S. DISTRICT COURT, NDIL	3. Date of Report 06/13/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 219 South Dearborn Street Suite 1486 Chicago, Illinois 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Rehabilitation Institute of Chicago
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

RECEIVED
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 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Year 2007	 salary. (See attached letter.)
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Illinois	April 23-24, 2007	Champaign, Urbana	Symposium	Hotel and lunch
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Union League Club, Chicago, IL	I am a member of this club. The privilege is available to any federal judge. I pay	
2.	\$70.00 per month in dues and pay for all purchases, such as meals. Monthly dues for	
3.	regular members are \$235.00.	\$ 2,820.00
4. Buckingham Club, Chicago, IL	I am an honorary member of this club, otherwise my monthly dues would be \$170.00.	
5.	I pay for all purchases, such as meals.	\$ 2000.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Checking account Glenview State Bank	A	Interest	J	T					
2. Checking account Chase Bank/formerly Bank One	A	Interest	J	T					
3. West Point Bank Checking Account	A	Interest	J	T					
4. IL. Judges Retirement System Pension Vested	E	Distribution	K	T					
5. IL. Judges Retirement System Pension Vested		None	J	T					
6. IL. State Employees Retirement Vested	C	Distribution	J	T					
7. U.S. Savings Bond		None	L	T					
8. US Savings Bond		None	J	T					
9. Northwestern Mutual Life Policies		None	K	T					
10. Deferred compensation, State of IL		None	M	T					
11. Adobe Systems Inc.	D	Int./Div.	K	T					
12. Aercap Holdings NV SHS		None	J	T	Buy	08/08	J		
13. Amdocs Ltd (Y)		None			Sold	03/08	K		
14. Archer Daniels Midland Co.		None	K	T	Buy	03/20	K		
15. AT&T Inc.	A	Int./Div.	K	T	Buy	10/25	K		
16. Barclays PLC ADR		None			Sold	09/11	J		
17. Barclays PLC ADR	B	Int./Div.			Sold	9/11	K	B	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BJ Services Company (Y)		None			Sold	04/25	K		
19. BJ Services Company (Y)		None			Sold	04/25	J		
20. Citadel Broadcasting Corp	A	Int./Div.			Sold	10/17	J	A	
21. Corning Inc.	A	Int./Div.	K	T	Buy	09/05	K		
22. Crocs, Inc.	D	Int./Div.			Sold	11/02	J	D	
23. Crocs, Inc.	C	Int./Div.			Sold	07/09	J	C	
24. Crocs, Inc.	D	Int./Div.			Sold	06/13	J	D	
25. Crocs Inc.	C	Int./Div.			Sold	05/10	J	C	
26. EMC Corp. MASS	A	Int./Div.	K	T					
27. EMC Corp. MASS	A	Int./Div.	J	T	Buy	06/20	J		
28. EMC Corp. MASS	A	Int./Div.	J	T	Buy	08/14	J		
29. Forest Laboratories (Y)		None	K	T	Buy	12/03	K		
30. Garmin Ltd	D	Int./Div.	J	T	Buy	4/16	J		
31. Garmin Ltd.	D	Int./Div.			Sold	11/08	K	D	
32. Garmin Ltd.	B	Int./Div.	J	T	Buy	4/16	J		
33. Garmin Ltd.	B	Int./Div.			Sold	8/6	J	B	
34. Garmin Ltd.	C	Int./Div.	J	T	Buy	04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes * (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Garmin Ltd.	C	Int./Div.			Sold	08/01	J	C	
36. General Electric	C	Int./Div.	K	T					
37. Gilead Sciences Inc.	C	Int./Div.	K	T	Buy	07/12	K		
38. Hershey (Y)		None			Sold	10/26	K		
39. Intl Paper Co. (Y)		None	K	T			K		
40. Jones Lang LaSalle Inc.	D	Int./Div.	K	T					
41. Jones Lang LaSalle, Inc.	E	Int./Div.	K	T	Partial Sale	10/30	K	E	
42. Lazard LTD CLA MLP	D	Int./Div.	K	T					
43. Life Time Fitness Inc.		None	J	T	Buy	6/22	J		
44. Marsh & McLennan Cos Inc.	A	Int./Div.	K	T	Buy	01/23	K		
45. Marsh & McLennan Cos Inc. (Y)		None			Sold	08/13	K		
46. McData*		None	J	T					
47. McDonalds Corp.	D	Int./Div.	K	T					
48. Medtronic Inc.	A	Int./Div.			Sold	04/17	K		
49. MEMC Electronic Materials Inc.	C	Int./Div.	J	T	Buy	03/27	K		
50. MEMC Electronic Materials Inc.	D	Int./Div.	K	T	Buy	04/27	K		
51. MEMC Electronic Materials Inc.	C	Int./Div.			Partial Sale	12/20	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Microsoft Corp.	A	Int./Div.	K	T	Buy	10/30	K		
53. Nat'l Bank Greece SA Spon ADR	D	Int./Div.	K	T					
54. Nat'l Bank Greece SA Spon ADR	B	Int./Div.	K	T	Buy	07/12	K		
55. Nuveen Investments Inc. Cash Merger A/O 11/2007 CL A	D	Int./Div.			Sold	11/14	J	D	
56. Nuveen Investments Inc. Cash Merger A/O 11/2007 CL A	E	Int./Div.			Sold	11/14	L	E	
57. Procter & Gamble Co.	D	Int./Div.	K	T					
58. Starbucks	E	Int./Div.			Sold	02/08	K	E	
59. Stericycle Inc.	E	Int./Div.	L	T					
60. Syngenta AG Spon ADR	E	Int./Div.	K	T					
61. Univision Communications Cash Merger S/O 03/07	C	Int./Div.			Sold	03/19	K	C	
62. US Bancorp Del (NEW)	E	Int./Div.			Sold	01/04	K	E	
63. Walt Disney Co. (Holding Co.) Disney com	D	Int./Div.	K	T					
64. Warner Chilcott Ltd CL A	B	Int./Div.	J	T	Buy	05/10	J		
65. Western Union Co.	B	Int./Div.			Sold	05/01	K	B	
66. Zimmer Holdings Inc. (Y)		None	J	T					
67. American Century 20th Mutual Funds [The Ultra Fund]	A	Dividend	M	T					
68. Anheuser Busch common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Janus Mutual Funds [The Overseas Fund]	A	Dividend	L	T					
70. Bank of America (formerly MBNA)	C	Dividend	M	T					
71. Service Master Corp. (Sold due to merger) (Y)	D	Dividend			Sold	07/26	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

USBS Financial Services, Inc. is the custodian of my IRA account. UBS Financial Services, Inc. and Smith Moore & Company invest cash on hand in accounts held in their name in money market funds, which we believe, they choose and manage.

* McData was exchanged for Brocade Communications Systems, Inc. in lieu of cash on 1/30/08.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

06/13/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSEN, WAYNE R	2. Court or Organization U.S. DISTRICT COURT, NDIL	3. Date of Report 04/16/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 219 South Dearborn Street Suite 1486 Chicago, Illinois 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign _____		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Rehabilitation Institute of Chicago
2.	
3.	
4.	
5.	

RECEIVED
 2008 APR 21 A 10 23
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

ANDERSEN, WAYNE R

Date of Report

04/16/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Year 2007	 salary. (See attached letter.)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Court funds	Sept. 17-18, 2007	Washington, D.C.	US Judicial Conference	Transportation, meals
2. Court funds	March 12-14, 2007	Washington, D.C.	US Judicial Conference	Transportation, meals
3. University of Illinois	April 23-24, 2007	Champaign, Urbana	Symposium 'The Ethics of	
4.			Government'	Hotel, lunch
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Union League Club, Chicago, IL	I am a member of this club. The privilege is available to any federal judge. I pay	
2.	\$70.00 per month in dues and pay for all purchases, such as meals. Monthly dues for	
3.	regular members are \$235.00.	\$ 2,820.00
4. Buckingham Club, Chicago, IL	I am an honorary member of this club, otherwise my monthly dues would be \$170.00.	
5.	I pay for all purchases, such as meals.	\$ 2000.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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ANDERSEN, WAYNE R

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking account Glenview State Bank	A	Interest	J	T					
2. Checking account Chase Bank/formerly Bank One	A	Interest	J	T					
3. West Point Bank Checking Account	A	Interest	J	T					
4. IL. Judges Retirement System Pension Vested	E	Distribution	K	T					
5. IL. Judges Retirement System Pension Vested		None	J	T					
6. IL. State Employees Retirement Vested	C	Distribution	J	T					
7. U.S. Savings Bond		None	L	T					
8. US Savings Bond		None	J	T					
9. Northwestern Mutual Life Policies		None	K	T					
10. Deferred compensation, State of IL		None	M	T					
11. Adobe Systems Inc.	D	Int./Div.	K	T					
12. Aercap Holdings NV SHS		None	J	T	Buy [REDACTED]	08/08	J		
13. Amdocs Ltd (Y)		None			Sell [REDACTED]	03/08	K		
14. Archer Daniels Midland Co.		None	K	T	Buy [REDACTED]	03/20	K		
15. AT&T Inc.	A	Int./Div.	K	T	Buy [REDACTED]	10/25	K		
16. Barclays PLC ADR		None			Sell [REDACTED]	09/11	J		
17. Barclays PLC ADR	B	Int./Div.			Sell [REDACTED]	9/11	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. BJ Services Company (Y)		None			Sell [REDACTED]	04/25	K		
19. BJ Services Company (Y)		None			Sell [REDACTED]	04/25	J		
20. Citadel Broadcasting Corp	A	Int./Div.			Sell [REDACTED]	10/17	J	A	
21. Corning Inc.	A	Int./Div.	K	T	Buy [REDACTED]	09/05	K		
22. Crocs, Inc.	D	Int./Div.			Sell [REDACTED]	11/02	J	D	
23. Crocs, Inc.	C	Int./Div.			Sell [REDACTED]	07/09	J	C	
24. Crocs, Inc.	D	Int./Div.			Sell [REDACTED]	06/13	J	D	
25. Crocs Inc.	C	Int./Div.			Sell [REDACTED]	05/10	J	C	
26. EMC Corp. MASS	A	Int./Div.	K	T					
27. EMC Corp. MASS	A	Int./Div.	J	T	Buy [REDACTED]	06/20	J		
28. EMC Corp. MASS	A	Int./Div.	J	T	Buy [REDACTED]	08/14	J		
29. Forest Laboratories (Y)		None	K	T	Buy [REDACTED]	12/03	K		
30. Garmin Ltd	D	Int./Div.	J	T	Buy [REDACTED]	4/16	J		
31. Garmin Ltd.	D	Int./Div.			Sell [REDACTED]	11/08	K	D	
32. Garmin Ltd.	B	Int./Div.	J	T	Buy [REDACTED]	4/16	J		
33. Garmin Ltd.	B	Int./Div.			Sell [REDACTED]	8/6	J	B	
34. Garmin Ltd.	C	Int./Div.	J	T	Buy [REDACTED]	04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Garmin Ltd.	C	Int./Div.			Sell [REDACTED]	08/01	J	C	
36. General Electric	C	Int./Div.	K	T					
37. Gilead Sciences Inc.	C	Int./Div.	K	T	Buy [REDACTED]	07/12	K		
38. Hershey (Y)		None			Sell [REDACTED]	10/26	K		
39. Intl Paper Co. (Y)		None	K	T			K		
40. Jones Lang LaSalle Inc.	D	Int./Div.	K	T					
41. Jones Lang LaSalle, Inc.	E	Int./Div.	K	T	Part Sell [REDACTED]	10/30	K	E	
42. Lazard LTD CLA MLP	D	Int./Div.	K	T					
43. Life Time Fitness Inc.		None	J	T	Buy [REDACTED]	6/22	J		
44. Marsh & McLennan Cos Inc.	A	Int./Div.	K	T	Buy [REDACTED]	01/23	K		
45. Marsh & McLennan Cos Inc. (Y)		None			Sell [REDACTED]	08/13	K		
46. McDonalds Corp.	D	Int./Div.	K	T					
47. Medtronics Inc.	A	Int./Div.			Sell [REDACTED]	04/17	K		
48. MEMC Electronic Materials Inc.	C	Int./Div.	J	T	Buy [REDACTED]	03/27	K		
49. MEMC Electronic Materials Inc.	D	Int./Div.	K	T	Buy [REDACTED]	04/27	K		
50. MEMC Electronic Materials Inc.	C	Int./Div.			Sell [REDACTED]	12/20	J	C	
51. Microsoft Corp.	A	Int./Div.	K	T	Buy [REDACTED]	10/30	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Nat'l Bank Greece SA Spon ADR	D	Int./Div.	K	T					
53. Nat'l Bank Greece SA Spon ADR	B	Int./Div.	K	T	Buy [REDACTED]	07/12	K		
54. Nuveen Investments Inc. Cash Merger A/O 11/2007 CL A	D	Int./Div.			Sell [REDACTED]	11/14	J	D	
55. Nuveen Investments Inc. Cash Merger A/O 11/2007 CL A	E	Int./Div.			Sell [REDACTED]	11/14	L	E	
56. Procter & Gamble Co.	D	Int./Div.	K	T					
57. Starbucks	E	Int./Div.			Sell [REDACTED]	02/08	K	E	
58. Stericycle Inc.	E	Int./Div.	L	T					
59. Syngenta AG Spon ADR	E	Int./Div.	K	T					
60. Univision Communications Cash Merger S/O 03/07	C	Int./Div.			Sell [REDACTED]	03/19	K	C	
61. US Bancorp Del (NEW)	E	Int./Div.			Sell [REDACTED]	01/04	K	E	
62. Walt Disney Co. (Holding Co.) Disney com	D	Int./Div.	K	T					
63. Warner Chilcott Ltd CL A	B	Int./Div.	J	T	Buy [REDACTED]	05/10	J		
64. Western Union Co.	B	Int./Div.			Sell [REDACTED]	05/01	K	B	
65. Zimmer Holdings Inc. (Y)		None	J	T					
66. American Century 20th Mutual Funds [The Ultra Fund]	A	Dividend	M	T					
67. Anheuser Busch common stock	A	Dividend	K	T					
68. Janus Mutual Funds [The Overseas Fund]	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Bank of America (formerly MBNA)	C	Dividend	M	T					
70. Service Master Corp. (Sold due to merger) (Y)	D	Dividend			Sell [REDACTED]	07/26	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

USBS Financial Services, Inc. is the custodian of my IRA account. UBS Financial Services, Inc. and Smith Moore & Company invest cash on hand in accounts held in their name in money market funds, which we believe, they choose and manage.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544