

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Voorhees, Richard L	2. Court or Organization USDC N.C. Western	3. Date of Report 5/15/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge (Active)	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 250 Federal Building 401 West Trade Street Charlotte, NC 28202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____

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 FINANCIAL
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Name of Person Reporting

Voorhees, Richard L

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(Yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

Executive Director, Glenn Foundation

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1. Foundation for Research on Economics and the
Environment

June 17-22, 2003 "Can Technology Spare the Earth?" (Travel, Housing, Food and Tuition)

2.

3.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Vanguard Group IRA - Individual assets:	B	Dividend	L	T					
2. a) Vanguard Windsor II									
3. b) Vanguard Morgan Growth									
4. c) Vanguard Wellington Fund									
5. d) Capital Opportunity Fund									
6. e) Strategic Equity formerly Aggressive Growth Fund									
7. Wachovia Securities									
8. a) Live Oak General MM Fund	A	Dividend	K	T					
9. b) Agere Systems, Inc.	A	Dividend	J	T					
10. c) Lucent Technologies	A	Dividend	J	T					
11. d) Southtrust Corp.	A	Dividend	J	T					
12. e) Cisco Systems, Inc.	A	Dividend	J	T					
13. f) Intel Corp.	A	Dividend	J	T					
14. g) Worldcom, Inc. Ga.	A	Dividend	J	T					
15. h) Citigroup, Inc.	A	Dividend	J	T					
16. i) Royal Bank of Canada	A	Dividend	J	T					
17. j) Walt Disney Co. Holding Co.	A	Dividend	J	T					
18. Vanguard Prime Portfolio VmmR	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000 F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H = \$1,000,001-\$5,000,000 I = \$5,000,001-\$25,000,000 J = More than \$25,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000 N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P = \$1,000,001-\$5,000,000 Q = \$5,000,001-\$25,000,000 R = More than \$25,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market U = Book Value V = Other W = Estimated

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VII. INVESTMENTS and TRUSTS

[illegible]

- | | | | | | |
|---|--|--|---|---|--|
| 1 Income/Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
B = \$50,001-\$100,000 | B = \$1,001-\$2,500
C = \$100,001-\$1,000,000 | C = \$2,501-\$5,000
D = \$1,000,001-\$5,000,000 | D = \$5,001-\$15,000
E = More than \$5,000,000 | E = \$15,001-\$50,000
F = More than \$50,000 |
| 2 Value Codes:
(See Columns C1 and D3) | J = \$15,000 or less
K = \$250,001-\$500,000
L = \$50,001-\$100,000
M = \$100,001-\$250,000
N = \$250,001-\$500,000
O = \$500,001-\$1,000,000
P1 = \$1,000,001-\$5,000,000
P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000
P4 = More than \$50,000,000 | K = \$15,001-\$50,000
L = \$50,001-\$100,000
M = \$100,001-\$250,000
N = \$250,001-\$500,000
O = \$500,001-\$1,000,000
P1 = \$1,000,001-\$5,000,000
P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000
P4 = More than \$50,000,000 | L = \$50,001-\$100,000
M = \$100,001-\$250,000
N = \$250,001-\$500,000
O = \$500,001-\$1,000,000
P1 = \$1,000,001-\$5,000,000
P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000
P4 = More than \$50,000,000 | M = \$100,001-\$250,000
N = \$250,001-\$500,000
O = \$500,001-\$1,000,000
P1 = \$1,000,001-\$5,000,000
P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000
P4 = More than \$50,000,000 | N = \$250,001-\$500,000
O = \$500,001-\$1,000,000
P1 = \$1,000,001-\$5,000,000
P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000
P4 = More than \$50,000,000 |
| 3 Value Method Codes:
(See Column C2) | Q Appraisal
U Book Value | R Cost (Real Estate Only)
V Other | S Assessment
W Estimated | T Cash Market | |

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. e) McDonalds Corporation									
38. f) Wal-Mart Stores									
39. g) Worldcom, Inc GA.									
40. h) Live Oak MM General Fund	B	Dividend	K	T	buy reinv mo		J		
41. i) Citigroup, Inc.									
42. j) Family Dollar Stores									
43. k) Lowes Companies, Inc.									
44. l) AOL-Time Warner Corp.									
45. m) General Electric Co.									
46. n) IBM									
47. o) Microsoft									
48. a) Vanguard Windsor II Rollover IRA	A	Dividend	K	T					
49. b) Vanguard US Growth Rollover IRA	A	Dividend	J	T	sold	7/03	J	A	
50. c) Vanguard Total Stock Market Index	A	Dividend	J	T	bought	7/03	J	A	
51. d) Vanguard Wellington Rollover IRA	A	Dividend	K	T					
52. Vanguard Total Stock Market Index	A	Dividend	J	T	bought	7/03	J	A	
53. Touchstone Variable Annuity	B	Dividend	K	T					
54. Touchstone Variable Annuity	B	Dividend	K	T					

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(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D5) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001 or more
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. Bell South	A	Dividend	J	T					
56. Wachovia Corporation	A	Dividend	J	T	Semi Annual		J	A	non-employee compensation
57. Bank of Asheville	A	Dividend	J	T					
58. BB&T	A	Dividend	J	T					
59. Nextel	A	Dividend	J	T	sold part	11/12	J	A	sold part Nov 12 and Dec 24
60. Royal Bank of Canada	A	Dividend	J	T					
61. a) Vanguard Prime Money Mkt Rollover IRA	B	Dividend	L	T	Buy monthly				dividend reinvestment
62. b) Vanguard Wellington Fund Rollover IRA	A	Dividend	K	T	Buy monthly				dividend reinvestment

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4-4-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544