AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	5/21/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 1/1/2009 to 12/31/2009
7. Chambers or Office Address 970 FEDERAL LBUILDING 167 N MAIN STREET MEMPHIS, TN 38103	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer_____ Date_____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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MEMPHIS, TN

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	5/21/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2009	STATE OF TN - SALARY AS DISTRICT ATTORNEY GENERAL
2.	2009	SHELBY COUNTY - COUNTY SUPPLEMENT AS DISTRICT ATTORNEY GENERAL
3.		
4.		

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	UNIVERSITY OF VIRGINIA SCHOOL OF LAW	4/2/09-4/5/09	CHARLOTTESVILLE, VA	MOOT COURT	TRANSPORTATION, LODGING, MEALS
2.					
3.					
4.					
5.					

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GIBBONS, JULIA S.	5/21/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	SALLIE MAE SERVICING CORP.	PLUS EDUCATIONAL LOANS	J
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	5/21/2010

VII. INVESTMENTS and TRUSTS – *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
2. IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3. SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4. FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	K	T					
5. STATE OF TN 401(K) REGIONS BANK	A	Interest	J	T					
6. IRA ROLLOVER RETIREMENT PLAN (See items 1 through 29 below)									
7. 1) AMERICAN FUNDS: INVESTMENT FUND OF AMERICA	A	Dividend	J	T					
8. 2) JOHN HANCOCK FDS: GREATER CHINA OPPORTUNITIES FUND	A	Dividend	J	T	Sold (part)	05/08/09	J	A	
9.					Sold (part)	06/26/09	J	A	
10.					Sold (part)	07/06/09	J	A	
11.					Sold (part)	08/21/09	J	A	
12.					Sold (part)	08/24/09	J	A	
13.					Sold (part)	09/10/09	J	A	
14. 3) IVY FDS: GLOBAL NATURAL RESOURCES FUND		None	J	T					
15. 4) VAN KAMPEN FDS: EQUITY & INCOME FUND	A	Dividend			Sold	10/16/09	K		
16. 5) EATON VANCE FDS: DIVIDEND BUILDER FUND	A	Dividend	J	T					
17. 6) HARTFORD MUTUAL FDS: HARTFORD CAPITAL APPRECIATION	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
FUND									
18. 7) FRANKLIN FDS: FRANKLIN TEMPLETON MUTUAL DISCOVERY FUND	A	Dividend	J	T	Sold (part)	04/21/09	J		
19. 8) DWS FDS: DREMAN HIGH RETURN EQUITY FUND		None			Sold	06/11/09	J		
20. 9) HENDERSON INTERNATIONAL OPPORTUNITIES FUND	A	Dividend	J	T					
21. 10) EATON VANCE FDS: GREATER INDIA FUND		None	J	T					
22.					Buy (add'l)	11/19/09	J		
23. 11) AMERICAN FUND: CAPITAL WORLD GROWTH & INCOME FUND	A	Dividend	J	T					
24. 12) IVY FDS: ASSET STRATEGY FUND	A	Dividend	J	T					
25. 13) VIRTUS MUTUAL FDS: ALTERNATIVES DIVERSIFIER FUND	A	Dividend	J	T					
26. 14) ING FDS: GROWTH & INCOME FUND	A	Dividend	J	T					
27. 15) PIONEER FUND: PIONEER FUND CLASS A M/F	A	Dividend	J	T					
28. 16) MCDERMOTT INT'L INC - STOCK		None			Sold	09/25/09	J		
29. 17) CONVERGYS CORP - STOCK		None	J	T					
30. 18) SUNAMERICA 2015 HIGH WATERMARK FUND	A	Dividend	J	T					
31. 19) RAYMOND JAMES BANK	A	Interest			Closed	06/01/09	J		
32. 20)MERCADOLIBRE INC-STOCK		None			Buy	01/14/09	J		
33.					Sold	03/30/09	J	A	

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34. 21)FIRST TRUST GLOBAL INFRASTRUCTURE #7	A	Interest			Buy	04/21/09	J		
35.					Sold	06/12/09	J	B	
36. 22)REGIONS FDIC CASH ACCOUNT	A	Interest	J	T	Open	06/01/09	J		
37. 23)E HOUSE CHINA HOLDINGS-STOCK		None			Buy	07/09/09	J		
38.					Sold	07/23/09	J	A	
39. 24)CITIGROUP INC-STOCK		None	J	T	Buy	08/31/09	J		
40.					Buy (add'l)	11/16/09	J		
41. 25)FEDERATED MDT SMALL CAP VALUE FUND A		None	J	T	Buy	09/22/09	J		
42. 26)PIONEER CULLEN VALUE FUND A	A	Dividend	J	T	Buy	06/08/09	K		
43.					Sold (part)	10/23/09	J	A	
44.					Sold (part)	11/09/09	J	A	
45.					Sold (part)	11/12/09	J	A	
46. 27)ING EMERGING COUNTRIES FUND CL A	A	Dividend	J	T	Buy	11/20/09	J		
47. 28)E HOUSE CHINA HOLDINGS-STOCK		None			Buy	10/20/09	J		
48.					Buy	10/30/09	J		
49.					Buy	11/23/09	J		
50.					Sold (part)	12/07/09	J		

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51.					Sold	12/11/09	J	A	
52. 29)E HOUSE CHINA HOLDINGS-STOCK		None	J	T	Buy	12/28/09	J		
53. AXA EQUITABLE LIFE INS CO	A	Interest	J	T					
54. AXA EQUITABLE LIFE INS CO	A	Interest	K	T					
55.									
56.									
57.									
58.									
59.									
60.									
61.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII, LINE 26: MERGER OF FUNDS ON 02/09/09 CHANGED NAME FROM FINANCIAL SERVICES FUND TO GROWTH & INCOME FUND

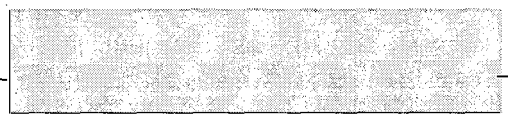
PART VII, LINE 31: BROKERAGE CASH ACCOUNT CLOSED WHEN ASSETS MOVED TO NEW BROKER AND CASH ACCOUNT OPENED AT NEW BROKER, SEE LINE 36

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544