

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Block, Frederic	2. Court or Organization U.S. District Court	3. Date of Report 4/19/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address 225 Cadman Plaza E. Eastern District Court of N.Y. Brooklyn, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Officer	Frederic Block, P.C.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

NEW YORK TEACHERS' RETIREMENT

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. _____

FINANCIAL DISCLOSURE REPORT

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. _____

FINANCIAL DISCLOSURE REPORT

Page 1 of 7

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. COMMON STOCK									
2. ALLIED CAPITAL CORP.	B	Dividend	K	T					
3. FLEETBOSTON FINL CORP.	A	Dividend	K	T	sell	01/14	K	D	
4. DNP SELECT INCOME FD INC.	B	Dividend	K	T					
5. DOW CHEMICAL	A	Dividend	K	T	buy	01/14	K		
6. DOW CHEMICAL (1)	A	Dividend	K	T	buy	01/14	K		
7. NORTHFORK BANCORP (1)	A	Dividend	J	T					
8. PRUDENTIAL FINANCIAL INC.	A	Dividend	K	T	buy	01/02	K		
9. GENERAL ELECTRIC (1)	A	Dividend	J	T					
10. CHEVRON CORP.(1)	A	Dividend	J	T					
11. USA EDUCATION INC.(2)		None	J	T	abandoned	01/02	J		
12. HOME DEPOT	A	Dividend	J	T					
13. ALLIED CAPITAL CORP(1)	B	Dividend	K	T					
14. FLEETBOSTON FINL. CORP.(1)	A	Dividend	K	T	sell	01/14	K	D	
15. DNP SELECT INCOME FD INC.(1)	B	Dividend	K	T					
16. WAL-MART STORES	A	Dividend	J	T					
17. PROCTOR & GAMBLE	A	Dividend	K	T					
18. EXXON MOBIL CORP.	A	Dividend	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
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P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Page 2 of 7

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VII INVESTMENTS and TRUSTS

— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. MICROSOFT CORP.	A	Dividend	K	T					
20. NORTH FORK BANCORP.	A	Dividend	J	T					
21. MUTUAL FUNDS									
22. BLACKROCK INCM TR INC.(1)	B	Dividend	K	T					
23. VAN KMPN SENIOR TR(1)	B	Dividend	K	T					
24. BLACKROCK INCM TR INC.	B	Dividend	K	T					
25. BLACKROCK NY MN I TR SBI	C	Dividend	L	T					
26. VAN KMPN SENIOR INCM TR	B	Dividend	K	T					
27. CORPORATE BONDS									
28. ACE LIMITED DEP SHR REP 1/10 CUM RED 7.8% SER C(1)	A	Interest	J	T	buy	05/07	J		
29. KIMCO REALTY CORP. DEP SHR REP 1/10 CUM RD 6.65% PFD SHR(1)	A	Interest	J	T	buy	05/07	J		
30. SO.CA.EDISON 7.25 3/26	A	Interest	J	T					
31. CITIGROUP CAPITAL VIII DEF INT TRUST PFD STK 6.950% 09/15/31	B	Interest	K	T					
32. GENERAL MOTORS ACEP CORP.PUBLIC INC NOTES 7.35% 08/08/32	B	Interest	K	T					
33. GENERAL ELECTRIC CAPTL SENIOR NOTES PFD 5.875% 2/18/33	B	Interest	K	T	buy	04/01	K		
34. GENERAL ELECTRIC CAPTL SENIOR NOTES PFD 5.875% 2/18/33 (1)	B	Interest	K	T	buy	04/01	K		
35. VIRGINIA POWER CAP TR II GTD TR PFD SECS 7.375% 07/30/42	B	Dividend	K	T					
36. CONAGARA CAPITAL LC ADJ RATE CUM 7.06% PFD SER. B	A	Dividend	K	T	sell	03/28	K	A	

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. BGE CAPITAL TRUST I DEF INT TR 7.160% 6/30/38(1)	A	Interest	K	T	sell	12/22	K	A	
38. PENN ELEC CAPITAL TRUST DEF INT TR 7.34% SET 01 2039(1)	B	Interest	K	T					
39. CITIGP CAPITAL VIII DEF INT TRUST PFD STK 6.950% 09/15/31(1)	B	Interest	K	T					
40. GENERAL MOTORS ACEP CORP. PUBLIC INC NTS 7.35% 08/08/32(1)	B	Interest	K	T					
41. VIRGINIA POWER CAP TR II GTD TR PFD SECS 7.375% 07/30/42(1)	B	Dividend	K	T					
42. CONAGARA CAPITAL LC ADJ RT CUM 7.06% PFD SR B(1)	A	Dividend	K	T	sell	03/28	K	A	
43. MUNICIPAL BONDS									
44. METRO TRANSIT 5.75 7/13	A	Interest	J	T					
45. NYC IND DEV 6.0 1/15	B	Interest	K	T					
46. NYC GO 6.00 5/15	A	Interest	J	T	sell	05/15	J		
47. NYC GO 6.0 5/16	A	Interest	J	T	sell	05/15	J		
48. NYC NY SER H 5.00 03/15/29(1)	A	Interest	J	T					
49. NYS URBAN DEV. 5.375 01/01/25(1)	B	Interest	K	T					
50. NYC GO SER A 6.25 8/19	A	Interest	K	T	sell	01/02	K		
51. NYC GO SER E 6.00 5/16	A	Interest	K	T	sell	05/15	K		
52. ANNUITIES									
53. HARTFORD DIRECTOR(1)		None	N	T					
54. PRU DISC SELECT		None	N	T					

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Page 4 of 7

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55. MONEY MARKET									
56. MERRILL LYNCH BANK USA(1)	A	Interest	L	T					
57. MERRILL LYNCH BANK & TRUST(1)	A	Interest	J	T					
58. MERRILL LYNCH BANK USA	A	Interest	J	T					
59. MERRILL LYNCH BANK & TRUST		None	J	T					
60. ALGER CAPITAL APPRECIATION CL.B (4)		None	J	T					
61. SELIGMAN COMM. & INF. FD CL A (4)		None	J	T					
62. IRA - F. BLOCK									
63. COMMON STOCK									
64. ALLIED CAPITAL CORP. NEW	B	Dividend	K	T					
65. DOW CHEMICAL	A	Dividend	K	T	buy	01/14	K		
66. ENTERGY CORP. NEW	A	Dividend	K	T	buy	12/03	K		
67. FLEETBOSTON FINL CORP.	A	Dividend	K	T	sell	01/17	K	D	
68. DNP SELECT INCOME FD INC.	B	Dividend	K	T					
69. COHEN & STEERS PREMIUM RPF INCOME REALTY FD INC.	C	Dividend	L	T					
70. ISHARES TRUST DOW JONES SELECT DIVID INDEX FD		None	K	T	buy	12/03	K		
71. SOUTHERN CO.	B	Dividend	K	T	buy	10/08	K		
72. CORPORATE BONDS									

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FINANCIAL DISCLOSURE REPORT

Page 5 of 7

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73. ALABAMA POWER CO. CUM PERP & CALL PFD 5.2%	B	Interest	K	T	buy	01/07	K		
74. GEORGIA PWR CAP TRUST V DEF INT TR PFD 7.125% 03/31/42	B	Interest	K	T	buy	01/07	K		
75. GENERAL ELECTRIC CAPTL SENIOR NOTES PFD STK 5.875% 2/18/33	B	Interest	K	T	buy	04/01	K		
76. MORGAN STANLEY CAP TR V DEF INT TR PFD 5.75% 7/15/33	B	Interest	K	T	buy	07/09	K		
77. NEWSCORP OVERSEAS LTD PFR SHR PFD CUM GTD SER A 8.625%	B	Interest	K	T	buy	02/26	K		
78. AT&T CORP. BONDS	D	Interest	M	T					
79. ENCANA CORP. PFD STK 9.5%	B	Interest	K	T	buy	10/22	K		
80. LEHMAN BROS CAP TRUST I DEF INT TR ORIG PFD 8.00% 03/31/48	B	Interest	K	T	buy	01/17	K		
81. NM GENERAL MTRS ACCPT BE 11DEC02 15DEC05		None	K	T					
82. HERTZ CORP. NOTES 7.4% 03/0111	C	Interest	L	T					
83. BEAR STEARNS CO. PRD STK 5.490%	C	Dividend	K	T					
84. ESPIRITO SANTO OVS LTD. PRD NON CUM SR A 8.5%	A	Dividend	K	T	sell	12/01	K		
85. FREDDIE MAC PFD NON-CUM STOCK 5.00%	B	Dividend	K	T					
86. HOUSEHOLD INTL INC. DEP SHR=1/40 SR2001- A 7.5% CUM PFD	A	Dividend	K	T	sell	04/03	K		
87. BGE CAPITAL TRUST I DEF INT TR ORIG PFD SECS	A	Interest	K	T	sell	12/03	K	A	
88. INTL PAPER CAP TST III DEF INT QTR INC CAP SEC 7.875% 12/38	B	Interest	K	T					
89. SEARS ROEBUCK ACCEP CORP. SR NOTES 6.95% 10/23/38	A	Interest	K	T	redeem	10/23	K	A	
90. AETNA INC. SR NOTES 8.5% 08/31/41	B	Interest	K	T					

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Page 6 of 7

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91. PENN ELEC CAPITAL TR DEF INT RE ORIG PFD SECS 7.34% 09/01/39	B	Interest	K	T					
92. ALBERTA ENERGY SR SUB PFD SECURITIES 9.5% 09/30/48	A	Interest	K	T	sell	01/08	K		
93. VIACOM INC SR RETAIL DEB 7.25% 06/30/51	B	Interest	K	T					
94. CITIGROUP CAPITAL VIII DEF INT TR PFD STK 6.95% 09/15/31	B	Interest	K	T					
95. WELLS FARGO TR VI TRUST PFD SEC 6.95% 04/15/32	D	Interest	M	T					
96. GENERAL MOTORS ACEP CORP. PUBLIC INC NOTES 7.35% 08/08/32	B	Interest	K	T					
97. VIRGINIA POWER CAP TR II GTD TR PFD SECS 7.375% 07/30/42	B	Interest	K	T					
98. CONAGARA CAPITAL LC ADJ RATE CUM 7.06% PFD SER B	A	Dividend	K	T	sell	04/02	K		
99. MUTUAL FUNDS									
100. BLACKROCK INCM TR INC.	B	Dividend	K	T					
101. EVERGREEN MANAGED INCOME FUND	B	Dividend	K	T	buy	10/08	K		
102. HANCOCK JOHN INC. S T SBI	C	Dividend	K	T					
103. MFS GOVT MKTS INC. TR SBI	B	Dividend	K	T					
104. MFS INTER INCM TR SBI	B	Dividend	K	T	buy	09/04	K		
105. VAN KMPN SENIOR INCM TR	B	Dividend	K	T					
106. MONEY MARKETS									
107. MERRILL LYNCH BANK USA RASP	A	Interest	K	T					
108. MISCELLANEOUS									

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Page 7 of 7

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109. BANK OF SMITHOWN	A	Interest	J	T					
110. WASHINGTON MUTUAL	B	Interest	J	T					
111.									

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(See Columns B1 and D4)	F = 50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Block, Frederic

Date of Report

4/19/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

PART VII- LINE 59 - Last year line 148, was a partial sell last year, not complete sell.

PART VII-LINE 60 - Last year line 149, was a partial sell last year not complete sell.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Block, Frederic

Date of Report

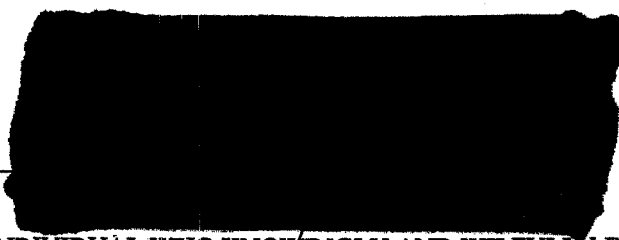
4/19/04

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

April 29, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544