

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

AO 10
Rev. 1/2002

1. Person Reporting (Last name, First name, Middle initial) Whyte, Ronald M	2. Court or Organization Northern District California	3. Date of Report <i>Amended 10/14/04</i> 5/15/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address U.S. District Court 280 S. First Street San Jose, CA 95113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member of Exec. Committee	Santa Clara Inn of Court
2. Member of Exec. Committee	Bay Area IP Inn of Court
3. Member	Intellectual Property Board of Advisors - George Washington Law School
4. Member	Santa Clara Law School IP Advisory Board
5. Adjunct Faculty	Santa Clara Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____

RECEIVED
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 FINANCIAL
 DISCLOSURE OFFICE

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☐ NONE - (No reportable non-investment income.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
2003	Santa Clara Law School (teaching)	\$5,000
2005	Court Designated Child Advocates - Wages	

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Name of Person Reporting

Whyte, Ronald M

Date of Report

5/15/04

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	ALI/ABA	9/18 - 9/20: Program on Trial of a Patent Case (Reimbursement for food, lodging and travel)
2.	Case Western Reserve Law School	11/13 - 11/15: Program on Patent Law (Reimbursement for food, lodging and travel)
3.	Lewis & Clark Law School	2/2 - 2/6: Distinguished guest at Law School (Reimbursement for food, lodging and travel)

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			

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Name of Person Reporting

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5/15/04

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. MSDW Lim Dur US Treasury Trust	D	Dividend	M	T					
2. Active Assets Cal Tax Fr Trust	A	Interest	J	T					
3. Active Assets Cal Tax Fr Trust	A	Interest	M	T					
4. Emeryville Redev Bond	B	Interest	J	T	Part. Redem	9/02	J	A	
5. Sacramento Utility Bond	A	Interest	J	T					
6. California Water Bond due 2016	A	Interest	J	T					
7. California Water Bond due 2018	A	Interest	J	T					
8. MSDW Lim Dur US Tres Trust	D	Dividend	M	T					
9. Charter Munni Accept Corp	B	Dividend	K	T					
10. Davis NY Venture Mut Fund	A	Dividend	M	T					
11. Target Large Cap Portfolio Trust	A	Dividend	L	T	Part. Sell	12/29	K		
12. Target Mortgage Backed Securities Portfolio	B	Dividend	K	T					
13. Target Small Cap Portfolio Trust	A	Dividend	K	T	Part. Sell	9/25	K		
14. Target International Equity		None			Sell	9/25	L		
15. Templeton Foreign Fund	B	Dividend	L	T					
16. Phoenix Hollister Apprec Fund (formerly Phoenix Zweig)		None			Sell	01/17	L		
17. Phoenix Oakhurst Strat Fund (formerly Phoenix Zweig)		None			Sell	01/17	K		
18. Dryden Cal Muni Fund (Pru Cal Muni Fund)	B	Interest	K	T					

1. Income/Gain Codes:

A = \$1,000 or less

B = \$1,001-\$2,500

C = \$2,501-\$5,000

D = \$5,001-\$15,000

E = \$15,001-\$50,000

(See Columns B1 and D4)

F = \$50,001-\$100,000

G = \$100,001-\$1,000,000

H1 = \$1,000,001-\$5,000,000

H2 = More than \$5,000,000

2. Value Codes:

J = \$15,000 or less

K = \$15,001-\$50,000

L = \$50,001-\$100,000

M = \$100,001-\$250,000

(See Columns C1 and D3)

N = \$250,001-\$500,000

O = \$500,001-\$1,000,000

P1 = \$1,000,001-\$2,000,000

P2 = \$2,000,001-\$25,000,000

P3 = \$25,000,001-\$50,000,000

P4 = \$50,000,001-\$100,000,000

3. Value Method Codes:

Q = Appraisal

R = Cost (Total Estate Only)

S = Assessment

T = Cash/Market

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Whyte, Ronald M

5/15/04

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,001,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P5 = \$25,000,001-\$50,000,000		P4 = \$100,000,001-\$500,000,000		
3. Valuation Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Method	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
Whyte, Ronald M

Date of Report
5/15/04

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Rental Property 2, Los Gatos, CA - assessed value \$235,968	E	Rent	M	S					
38. Calamos Investment Trust Growth Fund	C	Distribution	L	T	Buy	9/25	K		
39. Eaton Vance Health Science Fund	C	Distribution	L	T	Buy	9/25	L		
40. Gabelli Value Fund	C	Distribution	K	T	Buy	9/25	K		
41. Yacktman Fund	C	Distribution	K	T	Buy	9/25	K		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Whyte, Ronald M

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5/15/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

The Washington Mutual Acct listed on my 2002 report was closed.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Whyte, Ronald M

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5/15/04

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date 10/14/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Whyte, Ronald M	2. Court or Organization Northern District California	3. Date of Report 5/15/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address U.S. District Court 280 S. First Street San Jose, CA 95113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

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☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member of Exec. Committee	Santa Clara Inn of Court
2. Member of Exec. Committee	Bay Area IP Inn of Court
3. Member	Intellectual Property Board of Advisors - George Washington Law School
4. Member	Santa Clara Law School IP Advisory Board
5. Adjunct Faculty	Santa Clara Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____

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☐ NONE - (No reportable non-investment income.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
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2. 2003	Court Designated Child Advocates - Wages	

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	<u>SOURCE</u>	<u>DESCRIPTION</u>
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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
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☐ NONE (No reportable income, assets, or transactions)									
1. MSDW Lim Dur US Treasury Trust	D	Dividend	M	T					
2. Active Assets Cal Tax Fr Trust	A	Interest	J	T					
3. Active Assets Cal Tax Fr Trust	A	Interest	M	T					
4. Emeryville Redev Bond	B	Interest	K	T	Redeemed	9/02	J	A	
5. Sacramento Utility Bond	A	Interest	J	T					
6. California Water Bond due 2016	A	Interest	J	T					
7. California Water Bond due 2018	A	Interest	J	T					
8. MSDW Lim Dur US Tres Trust	D	Dividend	M	T					
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10. Davis NY Venture Mut Fund	A	Dividend	M	T					
11. Target Large Cap Portfolio Trust	A	Dividend	L	T	Sell	12/29	K		
12. Target Mortgage Backed Securities Portfolio	B	Dividend	K	T					
13. Target Small Cap Portfolio Trust	A	Dividend	K	T	Sell	9/25	K		
14. Target International Equity		None		T	Sell	9/25	L		
15. Templeton Foreign Fund	B	Dividend	L	T					
16. Phoenix Hollister Apprec Fund (formerly Phoenix Zweig)		None		T	Sell	01/17	L		
17. Phoenix Oakhurst Strat Fund (formerly Phoenix Zweig)		None		T	Sell	01/17	K		
18. Dryden Cal Muni Fund	B	Interest	K	T					

1. Income/Gain Codes: A = \$1,000 or less
(See Columns B1 and D4) B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
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2. Value Codes: J = \$15,000 or less
(See Columns C1 and D3) K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
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P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
U = Estimated V = Fair Market Value

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Name of Person Reporting
Whyte, Ronald M

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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							(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19.	Putnam Cal Tax Ex Fund	C	Interest	L	T					
20.	Pru Command Money Fund	B	Interest	N	T					
21.	MSDW Liquid Asset Fund	B	Dividend	M	T					
22.	US Treasury Strip 03		None		T	Redeemed	11/15	J		
23.	MSDW Lim Dur Bond Fund	C	Dividend	L	T					
24.	MSDW Lim Dur Bond Exch B	B	Dividend	L	T					
25.	MSDW American Opp		None	L	T					
26.	MSDW Dividend Growth	C	Distribution	K	T					
27.	Pax World Fund	A	Dividend	M	T					
28.	Putnam Health Sciences Fund	A	Dividend	L	T					
29.	Putnam Fund for Growth and Income	A	Dividend	K	T					
30.	First Eagle (Sogen) International Fund	D	Distribution	M	T					
31.	Templeton Foreign Fund	A	Dividend	M	T					
32.	Rental Property, Los Gatos	D	Rent		T	Sell	7/31	O	G	
33.	Bank of the West Acct	B	Interest	K	T					
34.	Bank of the West Acct	A	Interest	J	T					
35.	Rental Property, Ketchum Idaho	D	Rent	M	W					
36.	vonWeise Properties, L.L.C.	E	Distribution	N	W					

1. Income/Gain Codes	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	

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37. Rental Property 2, Los Gatos	E	Rent	M	S					
38. Calamos Investment Trust Growth Fund	C	Distribution	L	T	Buy	9/25	K		
39. Eaton Vance Health Science Fund	C	Distribution	L	T	Buy	9/25	L		
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41. Yacktman Fund	C	Distribution	K	T	Buy	9/25	K		

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3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
			U = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Whyte, Ronald M

Date of Report

5/15/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

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Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

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Signature

Date 5/15/04

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FILING INSTRUCTIONS

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Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544