

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
15 U.S.C. app. §§ 101-111

1. Person Reporting (Last name, First name, Middle initial) Hall, Peter W	2. Court or Organization Court of Appeals -- 2d Circuit	3. Date of Report 5/14/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge, Active	5. Report Type (check appropriate type) Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final ☐	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address United States Court of Appeals P.O. Box 885 Rutland, VT 05702-0885	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only: see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Executive Committee

Sterry Waterman Inns of Court Chapter, Southern Vermont

II. AGREEMENTS. (Reporting individual only: see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2001

Shareholders in former law firm, Kenlan, Schwiebert & Facey, P.C.; continued participation (for fund manager's
services only) in vested law firm 401(k) fund

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse, see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amounts not required except for bonuses.)

☒ NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- (transportation, lodging, food, entertainment)

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. Aspen Institute and Ford Foundation

Oct. 15-17: Wye Woods, Pocomoke, MD: non-FJC educational seminar (transportation, meals and room)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Academic Management Services	Tuition payment plan #1	None
2. Academic Management Services	Tuition payment plan #2	J
3. MBNA America	Credit line	K
4. Capital One	Credit Card	K
5. Massachusetts Mutual Life Insurance Company	Loan against whole life value (inadvertently omitted from Nomination Rpt; figured as reduced cash value)	J
6. Nationwide Financial Services Insurance	against [redacted] (inadvertently omitted from Nomination Rpt; figured as reduced cash value)	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div, rest, re- mit)	Value Code 2 (J-P)	Value Method Code 3 (Q-V)	Type (e.g., buy, sell, transfer, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of Buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)									
1. CIGNA (common)	A	Dividend	J	T					
2. Nationwide Financial Services (common)	A	Dividend	K	T					
3. IRA: Fidelity Asset Manager Mutual Fund	A	Dividend	K	T					
4. Manulife Financial -- U.S. Government Securities Fund	A	Dividend	M	T					
5. int. in timber & land. Shift buy, VT		None	L	W					
6. Rental portion of personal residence, Rutland, VT	D	Rent	K	W					See part VIII
7. Nationwide Life Ins. Co. of Amer. whole life ins. Policy	A	Dividend	K	U					See part VIII
8. Massachusetts Mutual Life Ins. Co. -- whole life ins. Policy	A	Dividend	K	U					See part VIII

1. Income/Gain Codes	A	\$1,000 or less	B	\$1,001-\$2,500	C	\$2,501-\$5,000	D	\$5,001-\$15,000	E	\$15,001-\$50,000
(See Columns B1 and D3)	F	\$50,001-\$100,000	G	\$100,001-\$1,000,000	H	\$1,000,001-\$5,000,000	I	More than \$5,000,000		
2. Value Codes	J	\$15,000 or less	K	\$15,001-\$50,000	L	\$50,001-\$100,000	M	\$100,001-\$250,000	N	\$250,001-\$500,000
(See Columns C1 and D3)	O	\$250,001-\$500,000	P	\$500,001-\$1,000,000	Q	\$1,000,001-\$5,000,000	R	More than \$5,000,000		
	S	\$25,000,001-\$50,000,000								
3. Value Method Codes	Q	Appraisal	R	Cost or Real Estate Value	S	Assessment	T	Other Method		
(See Column C2)	U	Book Value	V	Other	W	Estimated				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part VII -- Item 5 of Nomination Report -- Allen Pond Assoc. Partnership was dissolved at the end of 2003 and thus was not in existence in 2004.

Part VII -- Current report:

Item 6 -- Rental portion of personal residence was inadvertently omitted from the Nomination Report

Items 7 & 8 -- the two whole life insurance policies were reported in the Nomination report, but their cash values net of loans against the cash values was the figure used for Column C-1. This report reflects the booked cash values and the loan liabilities are reflected in Part VI. Items 5 & 6 -- Note these were accurately reflected in my financial disclosure report SF-278 filed on May 14, 2003 through the Executive Branch.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/14/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544