

United States District Court

MIDDLE DISTRICT OF FLORIDA

HENRY LEE ADAMS, JR.
UNITED STATES DISTRICT JUDGE

U.S. COURTHOUSE
300 NORTH HOGAN STREET, SUITE 11-200
JACKSONVILLE, FLORIDA 32202-4245
(904) 549-1930

August 7, 2007

The Honorable Orlie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2006 Filing

Dear Judge Smith:

In response to your letter of July 31, 2007, I am submitting an amended report, correcting Part VII, page 5, line 27, and adding an explanation at Part VIII for IVI Communications, Inc. (Part VII, page 12, line 147 of my 2005 filing). Thank you for your consideration.

Very truly yours,


Henry Lee Adams, Jr.
United States District Judge

HLAJr/mo
Enclosure (3 copies)

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FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adams, Henry L	2. Court or Organization Middle District of Florida	3. Date of Report 08/07/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. Courthouse 300 N. Hogan Street, Ste. 11200 Jacksonville, Florida 32202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

08/07/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	State of Florida (Retirement)	\$ 29,144.60
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	State of Florida (Retirement income)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

08/07/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. BankAmerica VISA	Credit Card	J
2. First Union MasterCard	Credit Card	J
3. Chase MasterCard	Credit Card	J
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

08/07/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Security Life Ins. Co. n/k/a Nationwide		None	L	T	None				
2. Aetna Life & Annuity Co. n/k/a ING		None	L	T	None				
3. Aetna Life & Annuity Co. n/k/a ING		None	M	T	None				
4. Gov't Employees Credit Union	A	Interest	K	T	None				
5. Kaufmann Mutual Fund n/k/a Federated Kaufmann Mutual Fund	B	Dividend	L	T					
6. Parcel 1, Jax., FL (\$12,125.00)		None	J	S	None				
7. Parcel 2, Jax., FL (\$1,980.00)		None	J	S	None				
8. Parcel 3, Jax., FL (\$2,810.00)		None	J	S	None				
9. Dreyfus (Mutual fund)	A	Dividend	J	T	Partial Sale	10/09	J	A	
10. Delhaize America, Inc. fka Food Lion, Inc. (ADR)	A	Dividend	J	T	None				
11. Anheuser-Busch Companies, Inc. (Common Stock)	A	Dividend	J	T					
12. Microsoft, Inc. (Common stock)	A	Dividend	J	T					
13. Time Warner fna AOL Time Warner (Common stock)	A	Dividend	J	T	None				
14. AT&T (Common stock)	A	Dividend	J	T					
15. Johnson & Johnson (Common stock)(Custodial)	A	Dividend	J	T					
16. Lucent, Inc. (Common stock) (merged Alcatel Lucent)		None	J	T	Merged	11/30	J	A	
17. Covad, Inc. (Common stock)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

08/07/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pfizer, Inc. (Common stock)	A	Dividend	J	T	Partial Sale	10/06	J	A	
19. Winn-Dixie (Common stock)		None			Cancelled	11/21			
20. Coco-Cola, Inc. (Common stock)(Custodial Account)	A	Dividend	J	T					
21. Ford Motors (Common stock)	A	Dividend	J	T	Partial Sale	10/12	J	A	
22. Tyson Foods (Common stock)	A	Dividend	J	T					
23. Florida Rock Industries (Common Stock)	A	Dividend			Sell	09/06	J	A	
24. Conoco Phillips (Custodial Account)	A	Dividend	J	T					
25. GenereX Biotech, Inc.		None	J	T	Buy	04/12	J		
26. Bank of America	A	Dividend	J	T	Buy	01/24	J		
27. WR Advisors (Cash mgmt)	A	Interest	J	T	Buy	04/01	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

08/07/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1) Part VII, values and income of assets listed on page 8, lines 72 - 76 of previous report were inadvertently reported. They neither produced more than \$200 in income or had a value greater than \$1,000.

2) Value and income of asset listed in Part VII, page 12, line 147 of previous report has declined below reporting value.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

08/07/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

8-7-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adams, Henry L	2. Court or Organization Middle District of Florida	3. Date of Report 05/10/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. Courthouse 300 N. Hogan Street, Ste.11200 Jacksonville, Florida 32202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Page 2 of 7

Name of Person Reporting

Adams, Henry L.

Date of Report

05/10/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	State of Florida (Retirement)	\$ 29,144.60
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	State of Florida (Retirement income)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

05/10/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. BankAmerica VISA	Credit Card	J
2. First Union MasterCard	Credit Card	J
3. Chase MasterCard	Credit Card	J
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Adams, Henry L

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Security Life Ins. Co. n/k/a Nationwide		None	L	T	None				
2. Aetna Life & Annuity Co. n/k/a ING		None	L	T	None				
3. Aetna Life & Annuity Co. n/k/a ING		None	M	T	None				
4. Gov't Employees Credit Union	A	Interest	K	T	None				
5. Kaufmann Mutual Fund n/k/a Federated Kaufmann Mutual Fund	B	Dividend	L	T					
6. Parcel 1, Jax., FL (\$12,125.00)		None	J	S	None				
7. Parcel 2, Jax., FL (\$1,980.00)		None	J	S	None				
8. Parcel 3, Jax., FL (\$2,810.00)		None	J	S	None				
9. Dreyfus (Mutual fund)	A	Dividend	J	T	Partial Sale	10/09	J	A	
10. Delhaize America, Inc. fka Food Lion, Inc. (ADR)	A	Dividend	J	T	None				
11. Anheuser-Busch Companies, Inc. (Common Stock)	A	Dividend	J	T					
12. Microsoft, Inc. (Common stock)	A	Dividend	J	T					
13. Time Warner fna AOL Time Warner (Common stock)	A	Dividend	J	T	None				
14. AT&T (Common stock)	A	Dividend	J	T					
15. Johnson & Johnson (Common stock)(Custodial)	A	Dividend	J	T					
16. Lucent, Inc. (Common stock) (merged Alcatel Lucent)		None	J	T	Merged	11/30	J	A	
17. Covad, Inc. (Common stock)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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24. Conoco Phillips (Custodial Account)	A	Dividend	J	T					
25. GenereX Biotech, Inc.		None	J	T	Buy	04/12	J		
26. Bank of America	A	Dividend	J	T	Buy	01/24	J		
27. WR Advisors (Cash mgmt)	A	Interest			Buy	04/01	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Adams, Henry L

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1) Part VII, values and income of assets listed on page 8, lines 72 - 76 of previous report were inadvertently reported. They neither produced more than \$200 in income or had a value greater than \$1,000.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-10-2007NOTES
AND C

S TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544