

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Lisi, Mary M	2. Court or Organization U.S. District Court - RI	3. Date of Report 4/19/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address U.S. District Court One Exchange Terrace Providence, RI 02903	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only, see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Selection C mmittee Chair	Hassenfeld Family Foundation Rhode Island Public Service Fellowships
2. Member	Temple University School of Law Board of Visitors
3. Member	University of Rhode Island President's Council
4. Member	ABA - Judges' Advisory Committee
5. Member	Federal Judges Association Board of Directors

II. AGREEMENTS. (Reporting individual only, see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

RECEIVED
2005 APR 21 A 10: 58
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lisi, Mary M

Date of Report

4/19/2005

III. NON-INVESTMENT INCOME. (Reporting individual and spouse, see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2004

self-employed attorney

IV. REIMBURSEMENTS - ~~transportation~~, lodging, food, ~~entertainment~~

(Includes those to spouse and dependent children, see pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. ABA - Judges Advisory Committee Meeting

San Antonio, TX 2/5-7/04

transportation, lodging, meals

2. University of Notre Dame - Moot Court Competition

South Bend, IN 2/12-13/04

transportation, lodging, meals

3. First Amendment Center "Justice & Journalism 2004" Conference

Boston, MA 3/26/04

transportation

4. Temple University Board of Visitors

Philadelphia, PA 11/18-19/04

transportation, lodging, meals

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

List, Mary M

Date of Report

4/19/2005

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting

Lisi, Mary M

Date of Report

4/19/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Lincoln National Life Insurance Co., deferred income	A	Interest	K	T					
2. Citizens Bank, Providence, RI NOW Account	A	Interest	K	T					
3. Nuveen Insured Municipal Bond Fund	B	Dividend	K	T					
4. Citizens Bank - IRA (cash equivalent)	A	Interest	J	T					
5. Citizens Bank - IRA (cash equivalent)	A	Interest	J	T					
6. Citizens Bank Money Market Account	B	Interest	M	T	op n	5/1			
7. Bank RI CD	A	Interest	J	T					
8. 401(K) Retirement Plan	E	Dividend	N	T					
9. - Dreyfus Bond Market Index Fund									
10. - Federated Capital Appreciation Fund A									
11. - Janus Advisor Flexible Income Fund									
12. - MFS Total Return Fund A									
13. - MFS Global Equity Fund A									
14. IRA - American Funds (See Part VIII)	B	Dividend	M	T					
15. - EuroPacific Growth Fund A									
16. - The Growth Fund of America A									
17. - American Mutual Fund A									
18. - The Bond Fund of America A									

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and B4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less (See Columns C1 and C5)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraised (See Column C2)	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting

Lisi, Mary M

Date of Report

4/19/2005

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transaction during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Annual Code 1 (A-H)	Type (e.g. div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. - Capitol World Bond Fund A									
20. American Funds (See Part VIII)	A	Dividend	K	T					
21. - EuroPacific Growth Fund A									
22. - The Growth Fund of America A									
23. - American Mutual Fund A									

1. Income/Gain Codes:

(See Columns B1 and D4) A = \$1,000 or less B = \$1,001-\$2,000 C = \$2,001-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes:

(See Columns C1 and D3) J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000

3. Value Method Codes

(See Column C2) Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lisi, Mary M

Date of Report

4/19/2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

These mutual funds were inadvertently omitted from previous reports. Please amend all previous reports on file to include these funds.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lisi, Mary M

Date of Report

4/19/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date April 19, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544