

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BALDOCK, Bobby R.	2. Court or Organization U.S. Court of Appeals-10th Cir	3. Date of Report 4/15/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address P.O. Box 2388 Roswell, New Mexico 88202-2388	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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10TH CIRCUIT

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Alliance Defense Fund - The Blackstone Legal Fellowship	August 6-7, 2009	Phoenix, AZ	Legal Fellowship - Speaker	Transportation, meals, lodging
2. Northwestern School of Law of Lewis & Clark College	October 9-11, 2009	Portland, OR	Presenter of Distinguished Graduate Award	Transportation, meals, lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Pioneer Savings	Rental Property #2, Chaves County, NM	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch IRA	D	Dividend	M	T					
2. -XCEL									
3. -Aim Weingarten Mutual Fund									
4. -Lord Abbett (Mutual R Fund)									
5. -ING Small Cap (Mutual Fund)									
6. -Franklin Inves. Sec. TR Equity Income (Mutual Fund)									
7. -Merrill Lynch Retirement Reserves									
8. -Merrill Lynch Cash Equivalent Accounts									
9. -First Bank of Puerto Rico					Buy	6/9/09	J		
10.					Matured	09/14/09	K		
11. Hunker Commune (El Paso, Navajo, Western, Adventure*)	B	Royalty	J	W					*See VIII
12. Lot in South Spring Acres, Roswell, NM		None	J	W					
13. Stock in South Spring Acres, Roswell, NM	D	Dividend	K	W					
14. Minerals, Washita County, OK (BBX Oil Corp.)	C	Rent	J	W					
15. Silver and silver coins		None	J	T					
16. Gold coins		None	J	T					
17. Navajo, Hockley County, TX	B	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. Pioneer Savings Bank	C	Interest	L	T					
19. Working interest in Hodges - Hockley County, TX	B	Royalty	J	T					
20. Working interest in Jeffers-Hockley County, TX	A	Royalty	J	T					
21. Working interest in Pair- Hockley County, TX	A	Royalty	J	T					
22. Working interest in Reed-Hockley County, TX	A	Royalty	J	T					
23. Working interest in White-Hockley County, TX	A	Royalty	J	T					
24. Working interest in Terry-Hockley County, TX	A	Royalty	J	T					
25. Cash Value Life Insurance, Charlotte, NC (Money Market Acct)	A	Interest	J	T					
26. Oil & Gas Items:									
27. XTO Energy Production	A	Royalty	J	W					
28. BP Am Production Company	E	Royalty	K	W					
29. Conoco Phillips	E	Royalty	K	W					
30. Sunco Oil & Gas Production	A	Royalty	J	W					
31. Great Western Drilling Company	C	Royalty	K	W					
32. El Paso Production Company	C	Royalty	K	W					
33. Working interest in Mewbourn Oil, Eddy County, NM	B	Royalty	J	W					
34. Property #2, Chaves County, NM	A	Rent	L	W					

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35. Trust #1 (X)	D	Dividend	O	T					
36. -Citigroup									
37. -Computer Sciences									
38. -Halliburton Co.									
39. -Innsuites Hospitality Trust SBI									
40. -KB Home									
41. -Kimberly Clark Corp.									
42. -Meadwestvaco Corp.									
43. -3M Co.									
44. -Walgreen									
45. -Wells Fargo & Co. New									
46. -Columbia Tax Exempt Fund A									
47. -FT Franklin AZ T/F Inc. A									
48. -Oppenheimer Global Fund Class A									
49. -Van Kampen Muni Income Fd CL A									
50. -Glendale Ariz Uni High FGIC 4.875 070113 DTD 100198									
51. -Pima Cnty Ariz Str & Hwy Rev NPFG B/E R/4									

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52. -Arizona St Univ Revs Cpn FGIC OID @98.50 BE/R/5									
53. -Reserve Management Account Money Market									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Item 11 - Adventure Exploration purchased Rex Energy

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544