

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J.	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow	New Hampshire Bar Foundation
2. Director	Challenger Center for Space Science Education
3. Trustee	Trust #1
4. Shareholder (50 percent)	Pleasant Lake Air, Inc., LLC
5. Partner	Pinchers' Investment Group (Neighborhood Investment Club)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

McAuliffe, Steven J.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

MCAULIFFE, STEVEN J.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. NOW Acct Merrimack Cty. Savings Bank	A	Interest	J	T					
2. IRA American Funds: Inv Co of America	B	Dividend	L	T					
3. IRA American Funds: Wash Mut. Inv Fund	A	Dividend	J	T					
4. Exxon Mobil Corp.	A	Dividend	J	T					
5. UBS Retirement Money Fund	A	Interest	J	T					
6. IRA Franklin Templeton For. Fund	B	Dividend	L	T					
7. Pinchers' Investment Group Ptnshp Share (See Part VIII)	A	Dividend	J	T					
8. -Dentsply Intl Inc.									
9. -Air Products & Chem.									
10. -Whiting Petroleum Corp.					Sold	06/06/10	J	A	Mkt
11. -Diageo (DEO)									
12. -BHP Billiton Ltd ADR									
13. -GileadSciences Inc.									
14. -Quest Diagnostics									
15. -Suntech Power Hldgs.					Sold	06/11/10	J	A	Mkt
16. -CDN Natl Rwy Co.									
17. -El Paso Corp.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -3M Co.									
19. -Apple (AAPL)									
20. -Ford Motor Co.									
21. -FLIR Systems									
22. -FSUMF					Sold	06/11/10	J	A	Mkt
23. -Terrex Corp.					Sold	03/12/10	J	A	Mkt
24. -Activision BLZ Inc.					Buy	10/18/10	J		Mkt
25. -Atwood Oceanics Inc.					Buy	03/12/10	J		Mkt
26. -Clarus Corp.					Buy	05/14/10	J		Mkt
27. -Coach Inc.					Buy	05/14/10	J		Mkt
28. -Corning Inc.					Buy	05/14/10	J		Mkt
29. -Dolby Lab Inc.					Buy	10/18/10	J		Mkt
30. -General Motors					Buy	11/18/10	J		Mkt
31. -Hewlett Packard Co.					Buy	10/18/10	J		Mkt
32. CVS Caremark Corp.	A	Dividend	J	T					
33. Praxair Inc.	A	Dividend			Sold	02/17/10	K	D	Mkt
34. IRA I Shares Russell Fund	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A.		B.		C.		D.				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
35. IRA Midcap SPDR TR	A	Dividend	K	T						
36. Fed. Prime MM Fund	A	Dividend	J	T						
37. Merrimack Cty. Sav. Bk. NOW Acct (2)	B	Interest	M	T						
38. Thermo Fisher Sci. (TMO)	A	Dividend	J	T						
39. Enterprise Prods. Ptnrs.	A	Dividend	K	T						
40. Chesapeake Energy Corp.	A	Dividend			Sold	05/03/10	J	A	Mkt	
41. Kinder Morgan Energy LP	A	Dividend	J	T						
42. Cisco Systems Inc.	A	Dividend			Sold	05/29/10	K	B	Mkt	
43. Myriad Pharmaceuticals Inc. (Spin Off of Myriad Genetics)	A	Dividend	J	T						
44. Ishares TR Russell 2000 Index Fund	A	Dividend	J	T						
45. Ishares TR Russell Midcap Val. Index Fund	A	Dividend	J	T						
46. Norfolk South. Corp.	A	Dividend	J	T						
47. Nicholas-Applegate Intl & Prem.	A	Dividend			Sold	03/01/10	J	A	Mkt	
48. IRA Ishares Russell Mid-cap	A	Dividend	K	T						
49. IRA Saytem Comp. Svcs.	A	Dividend	J	T						
50. Ishares Trust Russell Midcap Growth Index Fund	A	Dividend	J	T						
51. McDonald's Corp.	A	Dividend	K	T						

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. McDonald's Corp.	A	Dividend	K	T					
53. Apple Inc.	A	Dividend	K	T					
54. Johnson & Johnson Inc.	A	Dividend	K	T					
55. Deere & Co.	A	Dividend	J	T					
56. Praxair (M)	A	Dividend			Sold	02/17/10	J	D	Mkt
57. Express Scripts Inc.	A	Dividend	J	T	Sold (part)	05/03/10	J	A	Mkt
58. CR Bard Inc.	A	Dividend			Sold	05/03/10	J	A	Mkt
59. Colgate-Palmolive Co.	A	Dividend	J	T					
60. IBM Corp.	A	Dividend	K	T					
61. Northern Trust Prime Obligation Fund 9/1	A	Dividend	J	T					
62. Simon PPTY Group Inc.	A	Dividend	J	T					
63. Intel Corp.	A	Dividend			Sold	05/25/10	K	D	Mkt
64. Becton Dickinson	B	Dividend	L	T					
65. 3M Co.	B	Dividend	L	T	Sold (part)	02/17/10	K	C	Mkt
66. STHN Cmnty B&T NC US	A	Interest	L	T					
67. Fla. St. Brd Ed. Pub. Ed. Cap.	A	Interest	K	T					
68. Toyota Fin. Sve. Bk NV US	A	Interest			Sold	01/21/10	L	A	Mkt

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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P3 = \$25,000,001 - \$50,000,000

2. Value Codes
(See Columns C1 and D3)

Q = Appraisal
U = Book Value

3. Value Method Codes
(See Column C2)

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Huntington NAR Bk. OH US	B	Dividend	L	T					
70. IShares IBoxx Invst Gra. DE Corp. Bond Fund	A	Dividend	K	T					
71. Tenn. St. Ser. A	A	Interest	K	T					
72. Fairfax Cty. VA Pub. Ser. A	A	Interest	K	T					
73. Autoliv Inc.	A	Dividend	K	T					
74. Smucker JM Co. New	A	Dividend	K	T					
75. First Colebrook Bank	A	Dividend	J	T					
76. AFLAC Inc.	A	Dividend	J	T					
77. Danaher Corp.	A	Dividend	J	T					
78. Goldman Sachs Group Inc.	A	Dividend	J	T					
79. Google Inc.	A	Dividend	J	T					
80. J.P. Morgan Chase Co.	A	Dividend	J	T					
81. Oracle Corp.	A	Dividend	J	T					
82. Starbucks Corp.	A	Dividend	J	T					
83. Sysco Corp.	A	Dividend	J	T					
84. BHP Billiton Ltd.	A	Dividend	J	T					
85. IShare MSCI EAFE Index Fund	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B 1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C 1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
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3. Value Method Codes
(See Column C2)

Q = Appraisal
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W = Estimated

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P2 = \$5,000,001 - \$25,000,000

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86. IShare Trust MSCI Emerging Mkts	A	Dividend	J	T					
87. NH St. Genl Ob Ser A	A	Interest	K	T	Buy	01/29/10	K		Mkt
88. NH St. Genl Ob B/E OID	A	Interest	J	T	Buy	01/29/10	J		Mkt
89. NH Muni Bond Ser B	A	Interest	K	T	Buy	01/29/10	K		Mkt
90. Templeton Global Income Fund (DELA)	A	Dividend	K	T	Buy	02/22/10	J		Mkt
91. BP PLC ADR [C]	A	Dividend			Sold	06/01/10	J	A	Mkt
92. Northern Trust Prime Obligation Fund 9/1 [C]	A	Dividend	J	T					
93. CVS Caremark Corp. [C]	A	Dividend			Sold	06/01/10	J	A	Mkt
94. Chesapeake Energy [C]	A	Dividend	J	T					
95. Nicholas-Applegate Intl & Prem. Str. [C]	A	Dividend			Sold	03/01/10	J	A	Mkt
96. Johnson & Johnson [C]	A	Dividend	J	T					
97. Deere & Co. [C]	A	Dividend	K	T					
98. AFLAC Inc. [C]	A	Dividend	K	T					
99. Apple Inc. [C]	A	Dividend	K	T					
100. IBM Corp. [C]	A	Dividend			Sold	05/13/10	J	A	Mkt
101. McDonald's Corp. [C]	A	Dividend	J	T					
102. Abbott Labs [C]	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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2. Value Codes
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H2 = More than \$5,000,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Chevron Corp. [C]	A	Dividend	K	T					
104. Colgate-Palmolive Co. [C]	A	Dividend	J	T					
105. Danaher Corp. [C]	A	Dividend	K	T					
106. Dupont E.I. Dem. Co. [C]	A	Dividend	J	T					
107. Express Scripts Inc. [C]	A	Dividend	J	T					
108. Goldman Sachs GP Inc. [C]	A	Dividend	J	T					
109. J.P. Morgan Chase Co. [C]	A	Dividend	J	T					
110. Nike Inc. [C]	A	Dividend	J	T					
111. Oracle Corp. [C]	A	Dividend	K	T					
112. Procter & Gamble Co. [C]	A	Dividend	J	T					
113. Spectra Energy Corp. [C]	A	Dividend	K	T					
114. Starbucks Corp. [C]	A	Dividend	K	T					
115. Sysco Corp. [C]	A	Dividend	J	T					
116. United Health Group Inc. [C]	A	Dividend	J	T					
117. United Technologies Corp. [C]	A	Dividend	K	T					
118. Wells Fargo & Co. [C]	A	Dividend	K	T					
119. Buffalo Small Cap Fund [C]	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. BHP Billiton Ltd. [C]	A	Dividend	J	T					
121. Transocean Ltd. [C]	A	Dividend	K	T					
122. iShares Trust MSCI EAFE Index Fund [C]	A	Dividend	J	T					
123. iShares Trust MSCI Emerging Mkts [C]	A	Interest	J	T					
124. RMA Tax-Free Fund [K]	A	Interest	J	T					
125. UBS Pace MM Inv Fund Class P [K]	A	Dividend	J	T					
126. Am Funds Growth Fund of Am Class F [K]	A	Dividend	J	T					
127. John Hancock Classic Val Fund Class A [K]	A	Dividend	J	T					
128. Oppenheimer Main St. Small Cap Fund A [K]	A	Dividend	J	T					
129. RS Value Fund Class A [K]	A	Dividend	J	T					
130. Thornburg Intl Value Fund Class A [K]	A	Dividend	J	T					
131. DWS Core Fixed Income Fund Class A [K]	A	Dividend	J	T					
132. F. Templeton Global Bond A [K]	A	Dividend	J	T					
133. Manager's Bond Fund [K]	A	Dividend	J	T					
134. Abbott Labs [C]	A	Dividend	J	T	Buy	05/03/10	J		Mkt
135. Prudential Fin. Inc. [C]	A	Dividend	J	T	Buy	05/03/10	J		Mkt
136. United Technologies Corp. [C]	A	Dividend	J	T	Buy	05/03/10	J		Mkt

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TJX Companies [C]	A	Dividend	J	T	Buy	05/04/10	J		Mkt
138. Marvell Technology [C]	A	Dividend	J	T	Buy	05/13/10	J		Mkt
139. S&P 400 Midcap Index [C]	A	Dividend	J	T	Buy	05/13/10	J		Mkt
140. Marvell Technology [C]	A	Dividend	J	T	Buy	05/13/10	J		Mkt
141. Citrix Systems [C]		None	J	T	Buy	12/01/10	J		Mkt
142. Coach Inc. [C]		None	J	T	Buy	12/01/10	J		Mkt
143. Cognizant Technology Solutions [C]		None	J	T	Buy	12/01/10	J		Mkt
144. Cummings Inc. [C]		None	J	T	Buy	12/01/10	J		Mkt
145. Pace Money Mkt Inv Fund Class P [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
146. Blackrock Equity Div Fund A [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
147. Harbor Intl Fund Inv Class [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
148. Henderson Global Inv. Intl Opp Fund A [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
149. ING Global Real Est Fund Class A [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
150. John Hancock Lange Cap Equity Fund Class A [C]	A	Dividend	K	T	Buy	03/05/10	J		Mkt
151. Neuberger Berman Genesis Fund Inv Class [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
152. Oppenheimer Dev Mkts Fund Class A [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
153. Royce Premier Fund [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

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H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

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Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. F. Templeton Global Bond A [C]	A	Interest	J	T	Buy	03/05/10	J		Mkt
155. Pimco Total Return Fund Class A [C]	B	Dividend	K	T	Buy	03/05/10	K		Mkt
156. Wilmington Short/ Intermediate-Term Bond Fund [C]	B	Dividend	K	T	Buy	03/05/10	K		Mkt
157. Aberdeen Equity Long- Short Fund Class A [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
158. JP Morgan Multi-cap Mkt Neutral Fund [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt
159. Van Eck Global Hard Assets Fund Class A [C]	A	Dividend	J	T	Buy	03/05/10	J		Mkt

1. Income Gain Codes:
(See Columns B 1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
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P4 = More than \$50,000,000
S = Assessment
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D = \$5,001 - \$15,000
H2 = More than \$5,000,000
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P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I. POSITIONS.

Pleasant Lake Air, Inc., LLC, is a corporation formed to own a Cessna 182 Skylane single engine private airplane. I own a one-half interest in the corporation (and thus the airplane).

PART VI. LIABILITIES.

I own a summer cottage with a former law partner, Edward E. Shumaker, III, as tenants in common, which property is mortgaged in part. That liability is not disclosed since it is secured by real property which is a [REDACTED]

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation '[c]'."

PART VII. INVESTMENTS AND TRUSTS.

Line 7. Pinchers' Investment Group Ptnshp Share (specific holdings noted by " - " below) (investment club - aggregated per suggestion of Committee on Financial Disclosure 6/11/08).

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Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ STEVEN J. MCAULIFFE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544