

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) WOLLMAN, ROGER L	2. Court or Organization U.S.C.A.-EIGHTH CIRCUIT	3. Date of Report 4/25/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 315 U.S. COURTHOUSE 400 S. PHILLIPS AVENUE SIOUX FALLS, SD 57104-6851	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulation. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Power of Attorney

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. As a former member of the South Dakota State Judiciary, I have a vested interest in the South Dakota Retirement System, South Dakota (cont'd Part VIII)

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2004	Retirement benefits from S.D. Retirement System	30,426.36

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Cornell Law School	March 5-7, Ithaca, NY, Judging Moot Court Competition (transportation, meals, lodging)
2. Duke Law School	April 8-10, Durham, NC, Judging Moot Court Competition (transportation, meals, lodging)

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V. GIFTS. (includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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WOLLMAN, ROGER L

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Unimproved pasture and crop land in Spink County, SD	C	Rent-Crop	M	W					
2.		Shares-ASCS							
3.		Payments							
4. Unimproved pasture and crop land in Spink County, SD (own	B	"	K	W					
5. an undivided 1/5 interest as tenant in common)									
6. Undivided 1/5 interest in farmland described immediately	B	"	K	W					
7. above									
8. American Century Investments Select Mutual Fund, IRA	A	Dividend	L	T					
9. Patronage account in SD Wheatgrowers Ass'n, Tulare, SD	A	Patronage	J	T					
10.		Earnings,							
11.		Dividends							
12. Interest in Wisconsin Educators Tax Sheltered Annuity Trust	A	Interest	J	T					
13. Fidelity Magellan Mutual Fund	A	Dividend			redemption	6-7	L	E	
14. Janus Venture Fund-Mutual Fund		None			redemption	6-7	M		
15. Farm Machinery		None	J	W					
16. American Century Investments Select Mutual Fund, IRA	A	Dividend	L	T					
17. Nicholas II, Inc.-Mutual Fund		None			redemption	6-7	L		
18. American Century Investments Select Mutual Fund	A	Dividend	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001-\$50,000 O = \$100,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Acquisition W = Estimated	T = Cash/Market	
	V = Other				

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WOLLMAN, ROGER L

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-D)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- D)	(5) Identity of buyer/seller (if private transaction)
19. Accounts, Wells Fargo Bank	D	Interest	N	T					
20. Janus Enterprise Fund-Mutual Fund		None			redemption	6-7	K	C	
21. Vanguard Index Balanced Fund-Mutual Fund	A	Dividend	K	T					
22. Vanguard 500 Index Fund - Mutual Fund	C	Dividend	N	T					
23. Vanguard Total Stock Market Index Fund - Mutual Fund	C	Dividend	N	T					
24. Northwestern Mutual Life Insurance Co.-Whole Life	C	Dividend	L	T					
25. American United Life Insurance Co.-Whole Life	A	Dividend	J	T					
26. Beginning on 4-5-04 I exercised a power of attorney									
27. The assets covered by the power									
28. are as follows (Lines 29-36):									
29. Accounts, Bank of the West (formerly known as Community	A	Interest	M	T					
30. First National Bank)									
31. Vanguard 500 Index Mutual Fund	A	Dividend	J	T					
32. Franklin Templeton Accumulator Mutual Fund	A	Dividend	K	T					
33. Franklin Templeton Accumulator Mutual Fund (IRA)	A	Dividend	K	T					
34. American Century Select Mutual Fund (IRA)	A	Dividend	J	T					
35. unimproved Spink Co., SD, farmland	B	Rent	K	W					
36. Account, First Hawaiian Bank	A	Interest	J	T					

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(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. Account, Dacotah Bank		None	M	T	opened	12-10			

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	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Last page of Report.)

Section II: Parties and Terms, continued...

Codified Laws Chapter 3-12. Upon my resignation from the Supreme Court of South Dakota on September 5, 1985, I left my contributions in the System. I began drawing retirement benefits in May 1989. These benefits will continue until my death. [REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

April 25, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544